



## Mitonuclear interactions influence Alzheimer's disease risk

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Shea J. Andrews<sup>a</sup>, Brian Fulton-Howard<sup>a</sup>, Christopher Patterson<sup>b,c</sup>, G Peggy McFall<sup>d,e</sup>, Alden Gross<sup>f</sup>, Elias K. Michaelis<sup>g</sup>, Alison Goate<sup>a</sup>, Russell H. Swerdlow<sup>h</sup>, Judy Pa<sup>b,c,\*</sup>, for the Alzheimer's Disease Neuroimaging Initiative<sup>1</sup>

<sup>a</sup> Department of Neuroscience, Ronald M. Loeb Center for Alzheimer's disease, Icahn School of Medicine at Mount Sinai, New York, NY, USA

<sup>b</sup> Mark and Mary Stevens Neuroimaging and Informatics Institute, Keck School of Medicine, University of Southern California, Los Angeles, CA, USA

<sup>c</sup> Department of Neurology, Alzheimer's Disease Research Center, Keck School of Medicine, University of Southern California, Los Angeles, CA, USA

<sup>d</sup> Department of Psychology, University of Alberta, Edmonton, Canada

<sup>e</sup> Neuroscience and Mental Health Institute, University of Alberta, Edmonton, Canada

<sup>f</sup> Department of Epidemiology, JHSPH Center on Aging and Health, Baltimore, MD, USA

<sup>g</sup> Higuchi Biosciences Center and Alzheimer's Disease Center, University of Kansas, Lawrence, KS, USA

<sup>h</sup> Department of Neurology, Alzheimer's Disease Center, University of Kansas Medical Center, Fairway, KS, USA

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### ABSTRACT

We examined the associations between mitochondrial DNA haplogroups (MT-hgs; mitochondrial haplotype groups defined by a specific combination of single nucleotide polymorphisms labeled as letters running from A to Z) and their interactions with a polygenic risk score composed of nuclear-encoded mitochondrial genes (nMT-PRS) with risk of dementia and age of onset (AOO) of dementia. MT-hg K (Odds ratio [OR]: 2.03 [95% CI: 1.04, 3.97]) and a 1 SD larger nMT-PRS (OR: 2.2 [95% CI: 1.68, 2.86]) were associated with elevated odds of dementia. Significant antagonistic interactions between the nMT-PRS and MT-hg K (OR: 0.45 [95% CI: 0.22, 0.9]) and MT-hg T (OR: 0.22 [95% CI: 0.1, 0.49]) were observed. Individual MT-hgs were not associated with AOO; however, a significant antagonistic interaction was observed between the nMT-PRS and MT-hg T (Hazard ratio: 0.62 [95% CI: 0.42, 0.91]) and a synergistic interaction between the nMT-PRS and MT-hg V (Hazard ratio: 2.28 [95% CI: 1.19, 4.35]). These results suggest that MT-hgs influence dementia risk and that variants in the nuclear and mitochondrial genome interact to influence the AOO of dementia.

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### 1. Introduction

Alzheimer's disease (AD) is a progressive neurodegenerative disease characterized by cognitive and functional deterioration resulting in a loss of independent living and ultimately death (Masters et al., 2015). The neuropathological hallmarks of AD are the abnormal aggregation and accumulation of amyloid-β peptides into extracellular amyloid plaques and hyperphosphorylated tau

intracellular neurofibrillary tangles, accompanied by neuroinflammation, gliosis, and neurodegeneration (Masters et al., 2015; Mhatre et al., 2015). As such, studies on AD pathogenesis and therapeutics have largely focused on the role of Aβ and tau. However, with several negative trials of drugs targeting Aβ pathways, there has been increasing interest in evaluating the role of other pathological features in AD, such as mitochondrial dysfunction (Panza et al., 2019; Perez Ortiz and Swerdlow, 2019).

Mitochondria are vital to cellular function, first as the major source of cellular energy through the generation of adenosine triphosphate via oxidative phosphorylation and also through regulation of calcium uptake, apoptosis, and production and sequestration of reactive oxygen species (Gorman et al., 2016). Each mitochondrion possesses its own 16,569 base pair circular genome (mtDNA) that encodes 37 genes: 13 protein-coding genes, 22 tRNAs, and 2 ribosomal RNAs (Taanman, 1999). Genetic variation in the mitochondria is often described by established haplotype groups defined by a specific combination of single nucleotide

\* Corresponding author at: 2025 Zonal Ave, Los Angeles, CA 90033, USA. Tel: 323-442-7246; fax: 323-442-0137

E-mail address: [jpa@ini.usc.edu](mailto:jpa@ini.usc.edu) (J. Pa).

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polymorphisms (SNPs) that represent major branch points in the mitochondrial phylogenetic tree (van Omen and Kayser, 2009). Mitochondrial haplogroups are named using capital letters running from A to Z, with further subclades defined using lower case letters and numbers. Mitochondrial haplogroups (MT-hgs) H, I, J, K, T, V, W, X, and U are predominantly found in Europe. The nuclear genome also plays a key role in mitochondrial function as it contains 1145 genes that encode proteins that influence mitochondrial function (mitonuclear genes) (Calvo et al., 2016). These mitonuclear genes encode most of the proteins involved in the oxidative phosphorylation system and are also essential for maintaining mtDNA replication and organelle network proliferation and destruction (Chinnery and Hudson, 2013). A recent systematic review of 43 studies examining the effects of mitonuclear incompatibility across vertebrates and invertebrates found significant effects on health, including gene expression, metabolic traits, anatomical or morphological traits, life span, and fecundity (Dobler et al., 2018), indicating that incompatibility between nuclear and mitochondrial genes can influence biological function. Furthermore, in 6 admixed human populations, increasing discordance between nuclear and mtDNA ancestry was associated with reduced mtDNA copy number—a proxy measure for mitochondrial function (Zaidi and Makova, 2019). Thus, mitochondrial function relies on fine-tuned mitonuclear interactions that require the nuclear and mitochondrial genomes to be compatible with each other.

The central nervous system is particularly vulnerable to impaired mitochondrial metabolism because of its high-energy demands. Increasing evidence links mitochondrial dysfunction to neurodegenerative diseases such as AD. Support for the role of mitochondria in AD comes from studies observing changes in the rate of metabolism, disruption of fusion and fission, altered concentration of mitochondria in cerebrospinal fluid, morphological changes, and aggregation of A $\beta$  in the mitochondria (Perez Ortiz and Swerdlow, 2019; Swerdlow, 2018). In addition, maternal history of AD confers an increased risk of AD, cognitive aging, and elevated biomarkers for AD, which is consistent with the maternal inheritance of mtDNA (Honea et al., 2012; Swerdlow, 2018). Despite this evidence, the role of the mitochondrial genome in AD remains inconclusive, as a recent systematic literature review of 17 studies reported few definitive findings on the association of mitochondrial genetic variation with AD (Ridge and Kauwe, 2018). In addition, although candidate gene studies have implicated several mitonuclear genes in AD risk, genome-wide association studies (GWAS)

have not supported the association of specific mitonuclear genes with AD, with the exception of TOMM40, which is in high linkage disequilibrium with apolipoprotein E (APOE) (Chiba-Falek et al., 2018; Kunkle et al., 2019). To date, no study has investigated whether the genetic variation in mitonuclear genes interacts with the mitochondrial genome to influence AD risk.

In this study, we investigate the association of mitonuclear interactions in AD by evaluating the interactions between an AD polygenic risk score that included only variants from mitonuclear genes (nMT-PRS) and MT-hgs on AD risk and survival.

## 2. Methods

### 2.1. Alzheimer's Disease Neuroimaging Initiative

Data used in the preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database ([adni.loni.usc.edu](http://adni.loni.usc.edu)). The ADNI was launched in 2003 as a public-private partnership, led by Principal Investigator Michael W. Weiner, MD. The primary goal of the ADNI has been to test whether serial magnetic resonance imaging (MRI), positron emission tomography (PET), other biological markers, and clinical and neuropsychological assessment can be combined to measure the progression of mild cognitive impairment (MCI) and early AD.

Descriptive characteristics of ADNI participants at baseline and last assessment are presented in Table 1.

### 2.2. Nuclear DNA

GWAS data for ADNI participants were obtained and processed as previously described (Saykin et al., 2015). Briefly, genomic DNA samples extracted from blood were genotyped on Illumina GWAS arrays (ADNI1: 610-Quad; ADNI GO/2 OmniExpress). Genotype data then underwent stringent quality control checks, with variants excluded if the call rate was <0.95, minor allele frequency was <1%, or were not in Hardy-Weinberg equilibrium ( $p < 1 \times 10^{-6}$ ) and samples excluded if call rate was <0.95, discordant sex was reported, cryptic relatedness, non-European ancestry, or outlying heterozygosity. To empirically determine ancestry, the samples were projected onto principal components from known ancestral populations in the 1000 Genomes Project, with samples determined to be European population outliers if they were  $\pm 6$  SD away from the EUR population mean on the first 10 principal components

**Table 1**  
Descriptive characteristics of Alzheimer's Disease Neuroimaging Initiative at baseline and last assessment (survival analysis)

Variable	Baseline analysis		Survival analysis		
	Controls (n = 301)	Alzheimer's disease (n = 187)	Controls (n = 273)	Mild cognitive impairment (n = 350)	Alzheimer's disease (n = 424)
Age, mean (SD)	75.49 (5.23)	75.67 (7.92)	78.82 (6.78)	77.83 (7.7)	74.77 (8.14)
Female, n (%)	135 (44.85)	82 (43.85)	126 (46.15)	134 (38.29)	172 (40.57)
Education, mean (SD)	16.3 (2.72)	14.96 (2.98)	16.44 (2.72)	15.84 (2.82)	15.42 (2.91)
APOE, n (%)					
e3/e3	201 (60.54)	60 (29.41)	172 (63)	183 (52.29)	140 (33.02)
e4+	92 (27.71)	139 (68.14)	69 (25.27)	139 (39.71)	278 (65.57)
e2+	39 (11.75)	5 (2.45)	32 (11.72)	28 (8)	6 (1.42)
nMT-PRS, mean (SD)	-0.37 (0.85)	0.47 (0.93)	-0.38 (0.86)	-0.08 (0.82)	0.4 (0.94)
Haplogroups, n (%)					
H	169 (50.9)	92 (45.1)	130 (47.62)	151 (43.14)	200 (47.17)
I	14 (4.22)	6 (2.94)	10 (3.66)	10 (2.86)	17 (4.01)
J	29 (8.73)	20 (9.8)	25 (9.16)	36 (10.29)	38 (8.96)
K	34 (10.24)	31 (15.2)	28 (10.26)	46 (13.14)	43 (10.14)
T	31 (9.34)	16 (7.84)	23 (8.42)	40 (11.43)	41 (9.67)
U	35 (10.54)	26 (12.75)	35 (12.82)	48 (13.71)	51 (12.03)
V	11 (3.31)	7 (3.43)	13 (4.76)	10 (2.86)	14 (3.3)
W	3 (0.9)	4 (1.96)	3 (1.1)	7 (2)	10 (2.36)
X	6 (1.81)	2 (0.98)	6 (2.2)	2 (0.57)	10 (2.36)

(1000 Genomes Project Consortium et al., 2015). Within-ancestry principal components were created using the –PCA function in PLINK (Purcell et al., 2007) to correct for residual population stratification within the European population subset. SNPs that were not directly assayed were imputed using the Haplotype Reference Consortium (McCarthy et al., 2016), with imputed variants excluded due to poor imputation quality (INFO <0.3) or low minor allele frequency (<1%).

### 2.3. Mitochondrial DNA

138 mtDNA variants were available for 757 samples from ADNI1 who were genotyped on the Illumina 610-Quad array. Additional mitochondrial genetic variants were made available via imputation of the mitochondrial genome, as previously described (McInerney et al., 2019), using a custom reference panel of mitochondrial genome sequences and the chromosome X imputation protocol in IMPUTE2 (Howie et al., 2009). An additional 809 samples with mitochondrial variants were made available via whole genome sequencing (Ridge et al., 2018). MT-hgs were assigned to the genotyped/imputed data set (SNPs with an info score >0.4) using HaploGrep2 (Weissensteiner et al., 2016), whereas in the whole genome sequenced data set, MT-hgs were assigned using Phy-Mer (Navarro-Gomez et al., 2015). We previously validated the imputation of mitochondrial variants in ADNI using 258 participants for whom whole genome sequencing and genotyping data were available (McInerney et al., 2019).

### 2.4. Polygenic risk scores

The software package PRSice was used to construct an AD PRS for nuclear-encoded mitochondrial polygenic risk scores (nMT-PRSSs) (Euesden et al., 2015). To generate a mitonuclear AD PRS, SNPs from stage 1 of the International Genomics of Alzheimer's Project (IGAP) (Lambert et al., 2013) were annotated to known protein-coding genes ( $\pm 50\text{kb}$ ) using MAGMA (de Leeuw et al., 2015) and those SNPs that were assigned to any of 1158 mitonuclear genes were extracted (Calvo et al., 2016). A *p*-value threshold of 0.5 was used for inclusion of SNPs into the nMT-PRS as this threshold has been previously shown to have the most significant association with case/control diagnosis (Escott-Price et al., 2015). To obtain independent loci, linkage disequilibrium clumping was performed by excluding SNPs that had an  $r^2 > 0.1$  with another variant with smaller *p*-value association within a 250kb window. SNPs were weighted by their effect sizes in IGAP. A total of 19,630 SNPs were included in the nMT-PRS (Supplementary Table 1).

### 2.5. Statistical analysis

**Cross-sectional analysis:** The effect of the MT-hgs on baseline risk of dementia was assessed using binomial multivariate logistic regression models with MT-hg H used as the reference group and adjusting for age, APOE status, sex, and the first 2 principal components (model 1). To evaluate interactions between the MT-hgs and nMT-PRS, an interaction term was included in the model (model 2). As logistic regression tests for interactions on a multiplicative scale (that the combined effect is larger or smaller than the product of the individual effects), we used the relative excess risk due to interaction (RERI) to test for departures from additivity (that the combined effect is greater or smaller than the sum of the individual effects). The RERI can be interpreted as the risk that is additional to the risk that is expected on the basis of the addition of the odds ratio (OR) of the variables. In the absence of an interaction effect, the RERI is equal to 0. As MCI is an unstable diagnosis with individuals either converting to dementia, remaining stable or

reverting back to normal cognition (Canevelli et al., 2016), participants with MCI ( $n = 634$ ) were excluded from the analysis.

**Survival analysis:** In the survival analysis, age was used as the time to event scale. For subjects who were cognitively normal or suffering from MCI at baseline, AD age at onset was used. For participants with AD at baseline, the reported best estimate of onset of AD-dementia symptoms by the subject (or informant) was used (Osorio et al., 2015). A Cox proportional hazards model with adjustment for APOE status, sex, and the first 2 principal components was used to assess the effects of the nMT-PRS and MT-hgs on Alzheimer's age of onset (AOO) (model 1). To evaluate potential interactions between the MT-hgs and the nMT-PRS, an interaction term was included in the model (model 2).

**Sensitivity analysis:** For a sensitivity analysis, an additional polygenic score was constructed (PRS w/o nMT and APOE), composed of all SNPs associated with late-onset AD (LOAD) at  $p < 0.5$  in IGAP, except for those annotated to known mitonuclear genes and the APOE region ( $\pm 250$  kb of APOE). A total of 191,990 SNPs were included in the PRS. The cross-sectional and survival analyses were repeated introducing this additional PRS as a covariate.

All analyses were performed in the R 3.5.2 statistical computing environment. As this is an exploratory study, we have not corrected for multiple testing as this can result in a high risk of type 2 errors (Bender and Lange, 2001). As associations for 8 haplogroups and the nMT-PRS were tested, a significant *p*-value after Bonferroni correction would be  $p < 0.0056$  (0.05/9) for main effects models (model 1) and  $p < 0.0029$  (0.05/17) for the interaction models (model 2).

## 3. Results

### 3.1. Association of mitonuclear interactions with Alzheimer's risk

Binomial logistic regression was used to evaluate the main effects of the MT-hgs and nMT-PRS and their interaction on the likelihood of participants having AD (Table 2). In the main effects model (Table 2, model 1), MT-hg K was associated with an increased risk of developing AD (OR: 2.00 [95% CI: 1.04, 3.97]), whereas MT-hg U was nominally associated with increased risk (OR: 1.99 [95% CI: 0.99, 3.97]). A 1-SD increase in the nMT-PRS was associated with an increased likelihood of developing AD (OR: 2.2 [95% CI: 1.68, 2.86]).

In the interaction model (Table 2, model 2), a significant interaction was observed between the nMT-PRS and MT-hg T (OR: 0.22 [95% CI: 0.1, 0.49]) and MT-hg K (OR: 0.45 [95% CI: 0.22, 0.9]). Under an additive model, the RERI for MT-hgs T and K were RERI  $-2.8$  (95% CI:  $-4.33$ ,  $-1.26$ ) and  $-3.56$  (95% CI:  $-5.54$ ,  $-1.57$ ), respectively, indicating that the nMT-PRS and MT-hgs K and T acted antagonistically in relation to AD risk, such that the relative risk of AD was 2.8 and 3.56 times lower than expected from the addition of the separate effects of the nMT-PRS and MT-hg.

### 3.2. Association of mitonuclear interactions with Alzheimer's AOO

A Cox proportional hazard model was used to evaluate the main effects of the MT-hgs and nMT-PRS and their interaction on Alzheimer's AOO (Table 3). In the main effects model, a 1-SD increase in the nMT-PRS was associated with an earlier AOO (Hazard ratio [HR]: 1.44 [95% CI: 1.28, 1.61]). However, none of the MT-hgs were significantly associated with AOO.

In the interactive model, a significant interaction was observed between the nMT-PRS and MT-hg T (HR: 0.62 [95% CI: 0.42, 0.91]) and MT-hg V (HR: 2.28 [95% CI: 1.19, 4.35]). Under an additive model, RERI for MT-hgs T and V were  $-0.7$  (95% CI:  $-1.24$ ,  $-0.16$ ) and  $1.06$  (95% CI:  $-0.9$ ,  $3.02$ ), respectively, indicating that the

**Table 2**

Association of a mitochondrial PRS and mitochondrial haplogroups (model 1) and their interactions (model 2) with baseline risk of Alzheimer's disease

Variable	Model 1			Model 2		
	$\beta^a$	SE	p	$\beta$	SE	p
Age	0.02	0.02	0.213	0.03	0.02	0.122
Male	-0.01	0.22	0.975	-0.09	0.23	0.682
APOE status						
ε4+	1.2	0.24	6.47E-07	1.25	0.25	5.08E-07
ε2+	-0.7	0.58	0.227	-0.88	0.6	0.142
PC1	-0.14	0.13	0.274	-0.1	0.13	0.423
PC2	0.53	0.55	0.332	0.42	0.55	0.442
nMT-PRS	0.79	0.14	5.58E-09	1.09	0.2	5.68E-08
Haplogroup						
I	0.27	0.62	0.661	0.33	0.63	0.6
J	0.09	0.4	0.827	0.06	0.44	0.886
K	0.694	0.35	0.049	0.71	0.34	0.038
T	-0.23	0.4	0.564	0.04	0.38	0.909
U	0.687	0.35	0.052	0.81	0.38	0.033
V	0.01	0.63	0.988	0.07	0.76	0.926
W	0.66	0.9	0.464	0.74	0.86	0.391
X	-1.23	1.13	0.278	-2.04	1.77	0.247
Haplogroup × nMT-PRS						
I	-	-	-	-0.38	0.84	0.647
J	-	-	-	0.28	0.68	0.685
K	-	-	-	-0.8	0.36	0.026
T	-	-	-	-1.51	0.41	2.18E-04
U	-	-	-	0.07	0.52	0.886
V	-	-	-	1.01	1.44	0.482
W	-	-	-	-0.68	0.85	0.425
X	-	-	-	-2.31	1.97	0.24

Key: APOE, apolipoprotein E; nMT-PRS, nuclear-encoded mitochondrial polygenic risk score; PC1, principal component 1; PC2, principal component 2.

<sup>a</sup> Results in the main text are presented as the exponentiation of the beta. p-values are unadjusted.

relative risk of AD was 0.7 times lower for MT-hg T and 1.06 times higher for MT-hg V than expected from the addition of the separate effects of the nMT-PRS and MT-hg.

**Table 3**

Association of a mitochondrial PRS and mitochondrial haplogroups (model 1) and their interactions (model 2) with Alzheimer's disease age of onset

Variable	Model 1			Model 2		
	$\beta^a$	SE	p	$\beta^a$	SE	p
Male	-0.21	0.1	0.0034	-0.22	0.1	0.028
APOE status						
ε4+	0.8	0.12	6.50E-12	0.78	0.12	2.70E-11
ε2+	-0.85	0.39	0.029	-0.86	0.39	0.027
PC1	-0.11	0.06	0.073	-0.1	0.06	0.104
PC2	0.03	0.04	0.525	0.03	0.04	0.509
nMT-PRS	0.36	0.06	3.54E-10	0.37	0.08	2.55E-06
Haplogroup						
I	-0.01	0.26	0.958	0.04	0.28	0.879
J	-0.06	0.17	0.707	-0.09	0.19	0.635
K	-0.06	0.18	0.742	-0.05	0.18	0.78
T	-0.31	0.17	0.073	-0.14	0.18	0.422
U	-0.1	0.15	0.528	-0.16	0.17	0.35
V	0.17	0.28	0.549	-0.26	0.38	0.496
W	0.38	0.33	0.247	0.38	0.33	0.241
X	0.08	0.32	0.794	0.16	0.36	0.664
Haplogroup × nMT-PRS						
I	-	-	-	-0.14	0.29	0.617
J	-	-	-	0.08	0.22	0.72
K	-	-	-	-0.06	0.17	0.74
T	-	-	-	-0.48	0.2	0.015
U	-	-	-	0.14	0.15	0.371
V	-	-	-	0.82	0.33	0.013
W	-	-	-	0.13	0.34	0.697
X	-	-	-	-0.13	0.32	0.68

Key: APOE, apolipoprotein E; nMT-PRS, nuclear-encoded mitochondrial polygenic risk score; PC1, principal component 1; PC2, principal component 2.

<sup>a</sup> Results in the main text are presented as the exponentiation of the beta.

### 3.3. Sensitivity analysis

The effect of adjusting the baseline logistic model and the survival model with a PRS composed of non-nuclear mitochondrial SNPs and non-APOE region SNPs are presented in [Tables 4 and 5](#). The effect of the nMT-PRS on AD risk and AOO was attenuated but remained statistically significant. The significant interactions observed between the nMT-PRS and MT-hg T in the baseline model and MT-hg V remained statistically significant after covarying for the PRS without nMT and APOE. However, the interaction with MT-hg K in the baseline model was nominally significant, whereas the interaction with MT-hg T was no longer significant in the survival model.

### 4. Discussion

In this study, we investigated whether mitonuclear interactions influence Alzheimer's risk and survival by evaluating the interactive effects of MT-hgs and a polygenic risk score composed of mitonuclear genes (nMT-PRS) on baseline risk of AD and AOO of dementia. We observed that nMT-PRS was associated with an increased risk of AD and an earlier AOO, even after adjusting for a PRS composed of SNPs from the rest of the genome. In addition, we observed that MT-hg K was associated with an increased baseline risk of AD, and in the interactive model, modified the risk associated with the nMT-PRS in an antagonistic manner, such that the combined effect of the nMT-PRS and MT-hg K was smaller than expected given their additive effects. In effect, they are less harmful together than they are on their own, although the potential underlying mechanisms of this compensatory effect are complex ([Lehner, 2011](#)). MT-hg T was also observed to modify the risk associated with the nMT-PRS in an

**Table 4**

Association of a mitochondrial PRS and mitochondrial haplogroups (model 1) and their interactions (model 2) with baseline risk of Alzheimer's disease adjusting for PRS excluding nMT genes and APOE

Variable	Model 1			Model 2		
	$\beta^a$	SE	p	$\beta^a$	SE	p
Age	0.001	0.02	0.953	0.01	0.02	0.686
Male	0.16	0.28	0.58	0.03	0.29	0.92
APOE status						
ε4+	1.66	0.32	1.78E-07	1.72	0.33	2.20E-07
ε2+	-0.6	0.72	0.407	-0.74	0.74	0.312
PC1	-0.59	0.17	3.42E-04	-0.55	0.17	9.86E-04
PC2	0.84	0.69	0.224	0.69	0.7	0.327
PRS w/o nMT & APOE	3.26	0.33	1.05E-22	3.26	0.34	3.65E-22
nMT-PRS	0.42	0.17	0.012	0.68	0.24	0.004
Haplogroup						
I	0.55	0.74	0.459	0.63	0.74	0.395
J	0.53	0.55	0.331	0.55	0.57	0.333
K	0.48	0.45	0.289	0.44	0.44	0.311
T	0.43	0.47	0.357	0.56	0.48	0.248
U	1.01	0.46	0.027	1.11	0.48	0.021
V	0.5	0.8	0.532	0.81	1.02	0.423
W	0.19	1.14	0.87	0.55	1.14	0.631
X	-0.29	1.23	0.813	-1.51	2.48	0.543
Haplogroup × nMT-PRS						
I	-	-	-	-0.36	1.15	0.752
J	-	-	-	0.11	0.8	0.893
K	-	-	-	-0.9	0.46	0.052
T	-	-	-	-1.25	0.58	0.032
U	-	-	-	0.24	0.61	0.702
V	-	-	-	1.16	1.93	0.546
W	-	-	-	-1.02	0.99	0.305
X	-	-	-	-3.29	2.78	0.237

Key: APOE, apolipoprotein E; nMT-PRS, nuclear-encoded mitochondrial polygenic risk score; PC1, principal component 1; PC2, principal component 2.

<sup>a</sup> Results in the main text are presented as the exponentiation of the beta.

**Table 5**

Association of a mitochondrial PRS and mitochondrial haplogroups (model 1) and their interactions (model 2) with Alzheimer's disease age of onset adjusting for PRS excluding nMT genes and APOE

Variable	Model 1			Model 2		
	$\beta^a$	SE	p	$\beta^a$	SE	p
Male	-0.34	0.1	8.06E-04	-0.35	0.1	6.78E-04
APOE status						
ε4+	0.78	0.11	6.42E-12	0.77	0.12	3.32E-11
ε2+	-0.71	0.39	0.069	-0.73	0.39	0.064
PC1	-0.3	0.07	7.63E-06	-0.28	0.07	3.26E-05
PC2	0.05	0.04	0.207	0.05	0.04	0.194
PRS w/o nMT & APOE	1.03	0.09	3.56E-29	1.03	0.09	2.63E-28
nMT-PRS Haplogroup	0.15	0.06	0.018	0.17	0.08	0.041
I	-0.26	0.26	0.302	-0.15	0.28	0.597
J	0.08	0.18	0.632	0.06	0.19	0.748
K	-0.11	0.18	0.521	-0.09	0.19	0.623
T	-0.14	0.17	0.417	-0.08	0.18	0.631
U	-0.13	0.16	0.396	-0.14	0.17	0.413
V	0.22	0.28	0.426	-0.29	0.41	0.489
W	0.47	0.33	0.149	0.5	0.33	0.124
X	-0.02	0.33	0.949	0.21	0.37	0.57
Haplogroup × nMT-PRS						
I	-	-	-	-0.26	0.28	0.366
J	-	-	-	0.09	0.22	0.668
K	-	-	-	-0.11	0.17	0.505
T	-	-	-	-0.22	0.21	0.282
U	-	-	-	0.01	0.16	0.927
V	-	-	-	0.88	0.39	0.025
W	-	-	-	0.26	0.35	0.455
X	-	-	-	-0.37	0.34	0.278

Key: APOE, apolipoprotein E; nMT-PRS, nuclear-encoded mitochondrial polygenic risk score; PC1, principal component 1; PC2, principal component 2.

<sup>a</sup> Results in the main text are presented as the exponentiation of the beta.

antagonistic manner for both baseline risk of AD and AOO. Finally, we observed that MT-hg V was associated with an increased risk of AD beyond that expected in the additive model with the nMT-PRS.

These results suggest that epistasis between nuclear and mitochondrial genomes, in which one gene's effect is dependent on the presence of another gene or set of genes, influences the risk of AD. Although, to date, no previous study to our knowledge has investigated the interaction between mitonuclear genes and the mitochondrial genome in the context of AD, several studies have investigated associations between mitochondrial genetic variation and APOE. In APOE ε4 carriers, MT-hgs K and U were observed to have neutralizing effect (Carriero et al., 2001; Maruszak et al., 2011) on AD risk. Conversely, SNP mt7028C, a defining SNP for MT-hg H, and MT-hg H5a acted synergistically with APOE ε4 to increased risk of AD (Coto et al., 2011; Maruszak et al., 2011). Finally, SNP mt4336C, which defines MT-hg H5a, was associated with an increased risk of AD only in APOE ε4 carriers (Edland et al., 2002). Outside of AD, mitonuclear interactions have also been implicated in altering the penetrance of primary pathological mutations underlying mitochondrial disease or modifying the pathogenic phenotype of other diseases, such as nonsyndromic sensorineural deafness (Kokotas et al., 2007; Morrow and Camus, 2017). The results of this study, in addition to the prevalence of mitonuclear epistasis in other diseases, suggests that the inconclusive results of mitochondrial genetic variation in AD may not only be due to small sample sizes, limited genetic data collection, and inadequate approaches to association analysis (Ridge and Kauwe, 2018) but could also be attributed to the modifying effects of nuclear-encoded mitochondrial genes. As such, future studies investigating the association of mtDNA with AD should consider evaluating the modifying effect of nDNA.

The MT-hg association analysis is in agreement with two previous studies conducted in ADNI. Lakatos et al. (Lakatos et al., 2010)

investigated the association of 4 MT-hg clusters (HV, JT, UK, and IWX) with AD in 358 participants and found that the UK MT-hg cluster was associated with an increased risk of AD. Ridge et al. (Ridge et al., 2013) utilized a TreeScanning approach to assess the relationship of mitochondrial genetic variation with structural MRI and cognitive biomarkers and found that SNPs defining either MT-hg K1A1B or K1A1B2A1 and MT-hg U5B1 or U5B1B2 were associated with reduced temporal pole thickness, which is considered evidence of increased risk for AD. However, within the context of the wider literature, MT-hgs U, K, and T have been associated with conflicting reports, with different studies reporting either protective, risk, or nonsignificant effects (Ridge and Kauwe, 2018). In cybrid cell lines, MT-hg T in comparison with MT-hg H has a higher capability to cope with oxidative stress (Mueller et al., 2012), whereas cybrids containing MT-hg K express higher levels of APOE (Thaker et al., 2016).

AD polygenic risk scores have been widely used to evaluate whether genetic liability for AD is associated with AD endophenotypes and in the prediction of disease status (Chasioti et al., 2019; Ibanez et al., 2019). These PRSs, however, have generally been applied to variants across the entire genome. Using biological knowledge to incorporate variants located in genes that are part of specific pathways in the calculation of PRS, instead of considering the entire genome, allows for the construction of pathway specific PRS (Darst et al., 2017; Ibanez et al., 2019). In contrast to univariate analysis which is often underpowered due to the small effect sizes of individual SNPs, the joint analysis of the combined effect of all SNPs within a pathway may have a larger combined effect size and greater statistical power to detect an association. Pathway-based analysis may also be more powerful predictor for understanding how specific biomarkers may contribute to disease pathogenesis. Furthermore, as a large proportion of the heritability of AD is explained by variants that lie below the genome-wide significant threshold, the inclusion of subthreshold variants allows the PRS to encompass more of the causal variants (Escott-Price et al., 2015). To date, there has been a limited application of pathway-specific PRS in AD, with only one study evaluating the association of PRSs for the immune, Aβ clearance, and cholesterol pathways with AD-related biomarkers (Darst et al., 2017; Ibanez et al., 2019). However, these PRSs were poor predictors of cognition, amyloid PET deposition, and cerebrospinal fluid Aβ, tau, and P-tau levels, potentially due to only including genome-wide significant loci (Darst et al., 2017). In the present study, we show that a pathway-specific PRS composed of SNPs located within nuclear-encoded mitochondrial genes is associated with both risk of AD and an earlier AAO, suggesting that mitochondrial function moderates AD pathogenesis. Our findings are supported by another recent study that built a molecular network using modules of coexpressed genes and identified 3 modules enriched for gene ontology categories related to mitochondria. These modules were associated with histopathological β-amyloid burden, cognitive decline, and clinical diagnosis of AD (Mostafavi et al., 2018). Interestingly, a pathway analysis conducted by IGAP tested for overrepresentation of genes containing significantly associated SNPs within a series of functional gene sets found no evidence of enrichment in mitochondrial pathways (Jones et al., 2015). This analysis, however, only examined mitochondrial pathways that contained a subset of the mitochondria-related genes relevant to that gene set, whereas our study examined the aggregate effect of all nuclear encoded genes related to mitochondrial function.

The results of this study should be interpreted in conjunction with some study limitations. First, ADNI has a relatively small sample size, which can contribute to unreliable findings as a result of (a) a low probability of finding true effects, (b) a lower probability that an observed effect that is statistically significant reflects a true

effect, or (c) an extracted estimate of the magnitude of an effect when a true effect is discovered (Button et al., 2013). In addition, as this is an exploratory study, the findings of this study need to be replicated in a larger cohort. Second, when constructing PRS, sample overlap between the base data set (i.e., IGAP) and the target data sets (i.e., ADNI) can result in inflation of the association between the PRS and trait tested in the target data set (Choi et al., 2018). However, it should be noted that IGAP consists of 54,162 participants, with ADNI only contributing 441 samples to IGAP, or 0.81% of IGAP's total sample size. In addition, the samples included in the IGAP analysis are a subset of those included in this analysis. As such, the sample overlap between the base and target data sets is unlikely to substantially bias the results of this study. Third, the subjects in this study were of European ancestry and European MT-hg, and thus the results presented may not be generalizable to other racial/ethnic populations. In particular, in admixed populations that have a greater discordance between nuclear and mitochondrial ancestry, it could be expected that mitonuclear interactions may contribute to even more phenotypic variation in disease (Zaidi and Makova, 2019). The primary strength of this paper was evaluating the effect of MT-hgs in the context of a participant's nuclear polygenic risk for LOAD. Second, by imputing mtDNA variants, we were able to more accurately assign MT-hgs to individuals who were included in a previous ADNI study (Lakatos et al., 2010). Finally, we utilized both cross-sectional and longitudinal data to evaluate the baseline risk of LOAD and AOO.

In conclusion, this is the first study to investigate the interactive effects of a LOAD PRS composed of mitonuclear genes and MT-hgs on Alzheimer's risk and survival. We found that the nMT-PRS was associated with increased risk of AD and an earlier AOO. MT-hg T was observed to attenuate the effect of the nMT-PRS on the risk of AD and AOO, whereas MT-hgs K and V were observed to attenuate the effect of the nMT-PRS on baseline risk and strengthen the effect of the nMT-PRS on AOO, respectively. The results from this study need to be replicated in independent cohorts to validate our findings. These findings suggest that interactions between the nuclear and mitochondrial genomes may influence AD pathogenesis.

## Disclosure

AMG served on the scientific advisory board for Denali Therapeutics from 2015–to 2018. She has also served as a consultant for Biogen, AbbVie, Pfizer, GSK, Eisai, and Illumina.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.neurobiolaging.2019.09.007>.

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