


# Quantitative validation of a visual rating scale for frontal atrophy: associations with clinical status, APOE e4, CSF biomarkers and cognition

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## Abstract

**Objectives** To validate a visual rating scale of frontal atrophy with quantitative imaging and study its association with clinical status, APOE ε4, CSF biomarkers, and cognition.

**Methods** The AddNeuroMed and ADNI cohorts were combined giving a total of 329 healthy controls, 421 mild cognitive impairment patients, and 286 Alzheimer's disease (AD) patients. Thirty-four patients with frontotemporal dementia (FTD) were also included. Frontal atrophy was assessed with the frontal sub-scale of the global cortical atrophy scale (GCA-F) on T1-weighted images. Automated imaging

markers of cortical volume, thickness, and surface area were evaluated. Manual tracing was also performed.

**Results** The GCA-F scale reliably reflects frontal atrophy, with orbitofrontal, dorsolateral, and motor cortices being the regions contributing most to the GCA-F ratings. GCA-F primarily reflects reductions in cortical volume and thickness, although it was able to detect reductions in surface area too. The scale showed significant associations with clinical status and cognition.

**Conclusion** The GCA-F scale may have implications for clinical practice as supportive diagnostic tool for disorders

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\* Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). As such the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: [http://adni.loni.usc.edu/wp-content/uploads/how\\_to\\_apply/ADNI\\_Acknowledgement\\_List.pdf](http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf).

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demonstrating predominant frontal atrophy such as FTD and the executive presentation of AD. We believe that GCA-F is feasible for use in clinical routine for the radiological assessment of dementia and other disorders.

#### Key points

- *The GCA-F visual rating scale reliably reflects frontal brain atrophy.*
- *Orbitofrontal, dorsolateral, and motor cortices are the most contributing regions.*
- *GCA-F shows significant associations with clinical status and cognition.*
- *GCA-F may be supportive diagnostic tool for disorders demonstrating predominant frontal atrophy.*
- *GCA-F may be feasible for use in radiological routine.*

**Keywords** Frontal atrophy · Neuroimaging · Alzheimer's disease · Mild cognitive impairment · Frontotemporal dementia

#### Abbreviations

MTA	medial temporal atrophy
PA	posterior atrophy
GCA	global cortical atrophy
GCA-F	global cortical atrophy – frontal sub-scale
SFG	superior frontal gyrus
MFG	middle frontal gyrus
IFG	inferior frontal gyrus
ORB	orbitofrontal cortex
DACC	and dorsal anterior cingulate gyrus

#### Introduction

Different clinical presentations of Alzheimer's disease (AD) are now recognized in the current diagnostic criteria [1]. The amnesic presentation is most common and depicts the typical phenotype of AD characterized by cognitive impairment in episodic memory. The other presentations are non-amnesic in their origin and their diagnosis is often challenging. Since neurodegeneration can be studied with imaging techniques, visual rating scales of regional atrophy may be valuable to support diagnosis of different AD presentations. Their advantage over automated methods is that they are already used in clinical work, can be implemented both in magnetic resonance and computerized tomography images (even in different protocols and quality), and are quick and easy to use. The medial temporal atrophy (MTA) scale [2] has been incorporated in the diagnostic algorithm of AD to assess hippocampal atrophy [1, 3], and shows a well-established association with the amnesic presentation [2, 4–6]. The posterior atrophy (PA) scale [7] has proved useful in cases with atrophy in the parietal and

occipital lobes [8], and may thus be useful for the visuospatial and language presentations. Given that executive dysfunction has been extensively associated with atrophy in the frontal lobe [9], a visual rating scale of frontal atrophy may support diagnosis of the executive AD presentation. However, no visual rating scales of frontal atrophy have been specifically validated for AD to date. Since the global cortical atrophy (GCA) scale [10, 11] includes a separate assessment of the frontal lobe (i.e., GCA-F), and has been extensively applied in AD [11–18], it could serve as a framework for assessing frontal atrophy. Such a scale may also be of value for other disorders with predominant frontal atrophy such as frontotemporal dementia (FTD).

In the current study we provide a comprehensive validation of the GCA-F scale using quantitative imaging as previously done for other visual rating scales [19–21]. Three different levels of anatomical detail were covered by performing analyses of the entire frontal lobe, the individual frontal sub-regions, and the whole cortical mantle providing a much finer analysis at the vertex level. We also analyzed three different markers of brain integrity, i.e., cortical volume, cortical thickness, and cortical surface area, and assessed which frontal sub-regions contributed most to the discrimination between GCA-F scores. Fully automated methods were used in a large sample including AD, MCI, and healthy control subjects, and gold standard manual tracings were used in a smaller sample including patients with FTD. Finally, we studied the association between GCA-F and clinical status, cerebrospinal (CSF) biomarkers, and cognition.

#### Materials and methods

##### Subjects

Data from AddNeuroMed and ADNI studies were combined providing a total of 1036 individuals: healthy controls ( $n=329$ ), mild cognitive impairment (MCI) ( $n=421$ ), and AD ( $n=286$ ). AddNeuroMed is part of the InnoMed European Union FP6 programme and was designed to develop and validate surrogate markers in AD [22]. ADNI was launched in 2003 by the National Institute on Aging, the National Institute of Biomedical Imaging and Bioengineering, the Food and Drug Administration, private pharmaceutical companies, and non-profit organizations [23]. The project was established to develop standardized imaging techniques and biomarkers in AD research. Data were obtained from the ADNI database ([adni.loni.usc.edu](http://adni.loni.usc.edu), PI Michael M. Weiner). Participant recruitment and eligibility criteria were very similar in both cohorts [22, 24].

In addition, thirty-four patients with FTD were enrolled from a previous study [25] in order to test the GCA-F scale in a disorder typically displaying atrophy in the frontal lobe. Briefly, this cohort included patients with the behavioural

variant of FTD (bvFTD,  $n=12$ ), progressive non-fluent aphasia (PNFA,  $n=9$ ), and semantic dementia (SD,  $n=13$ ). Patients were recruited from the Memory Clinic at Karolinska University Hospital Huddinge, Stockholm, Sweden. Clinical diagnoses were determined on multidisciplinary consensus according to Neary et al. criteria [26]. Further details are provided elsewhere [25]. The study was approved by the Regional Ethical Review Board in Stockholm, Sweden.

### Magnetic resonance imaging and visual rating of frontal atrophy

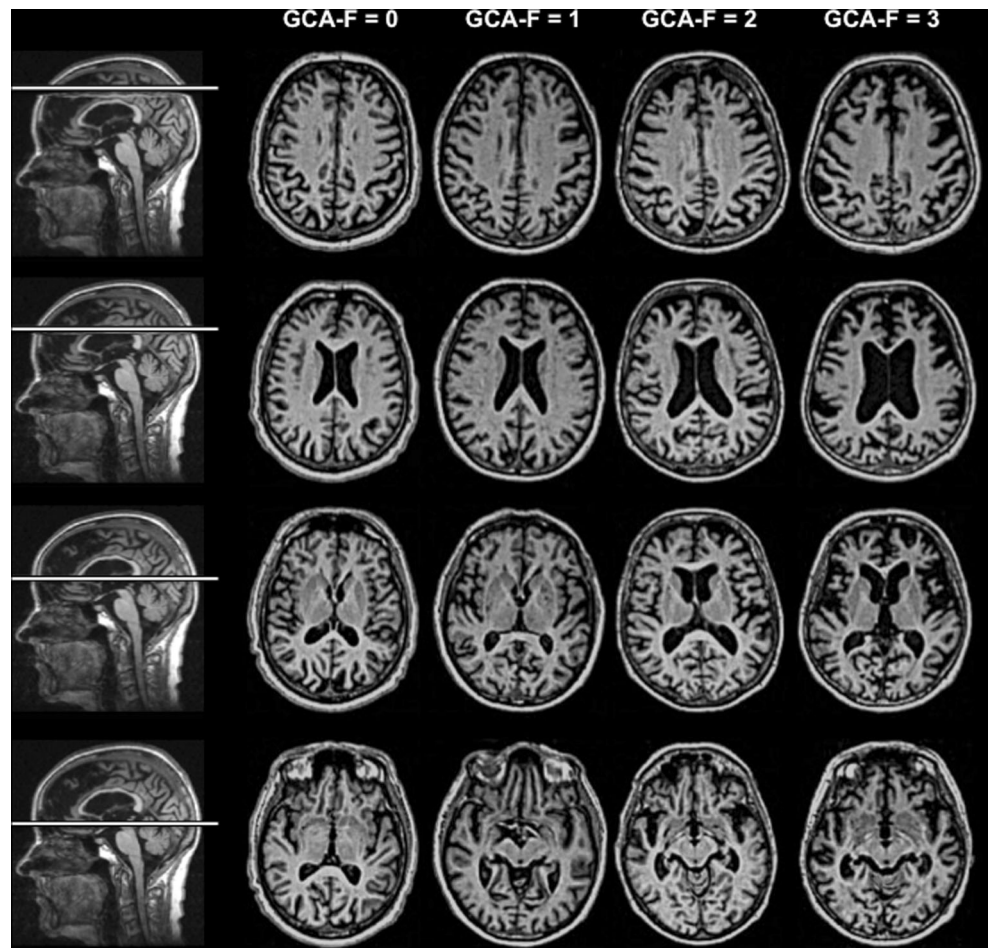
A 3D T1-weighted MPRAGE sequence was acquired in the three samples, AddNeuroMed, ADNI, and the Memory Clinic [22, 25, 27]. The GCA scale was applied in axial plane, restricting the original criteria from Pasquier et al. [10] to the frontal lobe in order to provide a measurement of frontal atrophy (i.e., GCA-F). Scores range from 0 (no atrophy) to 3 (end-stage degree of atrophy) (details in Fig. 1). Intra-rater (L.C.) and inter-rater (L.C. and T.G.) reliability were tested in 100 randomly selected

participants, providing weighted kappa values of 0.70 and 0.59, respectively. Intraclass correlation coefficients showed averaged absolute agreement of 0.79. L.C. has 8 years of experience using GCA and T.G. is newly trained. Both raters were blind to diagnosis, demographic, and clinical information.

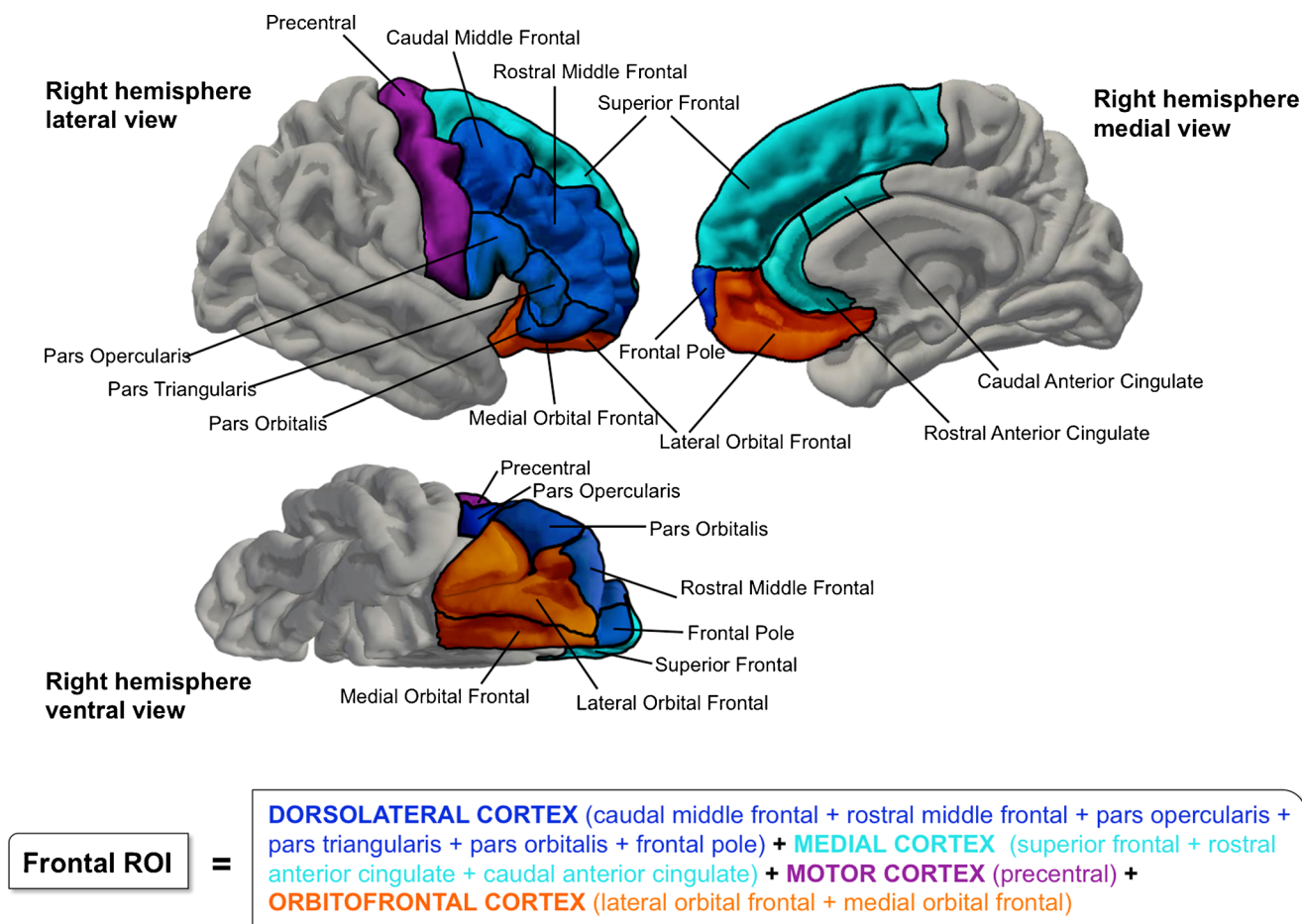
### Automated reconstruction and parcellation of the cortex, performed in AddNeuroMed and ADNI samples

Cortical reconstruction was performed using FreeSurfer 5.3.0 (<http://surfer.nmr.mgh.harvard.edu/>) (see Appendix Table 6 for full details and references). This procedure provides measurements of cortical volume, cortical thickness, and cortical surface area for 34 regions for each hemisphere, as well as a measurement of the total intracranial volume (TIV). Frontal regions were combined to provide four functional-anatomical relevant sub-regions, also reducing the number of multiple comparisons: dorsolateral, medial, motor, and orbitofrontal cortices (Fig. 2). In addition, a measurement of the entire frontal lobe was calculated by combining the four frontal sub-regions (i.e., frontal ROI).

**Fig. 1** Scoring of the visual rating scale for frontal atrophy (GCA-F). The GCA scale was applied to axial T1-weighted images, restricting the original criteria from Pasquier et al. [10] to the frontal lobe in order to provide a measurement of frontal atrophy (i.e., GCA-F). Briefly, sulcal dilatation is determined as absent (GCA-F=0), mild (GCA-F=1), moderate (GCA-F=2), or severe (GCA-F=3). Clear guidelines for determining sulcal dilatation are provided in Pasquier et al. [10]. The anatomical boundaries of the frontal lobe were defined by the central sulcus at the posterior part, the frontal bone at the anterior and dorsal parts, and the fissure of Sylvius at the ventral posterior part. Ratings were performed on axial reconstructions







**Fig. 2** Cortical parcellation and calculation of the frontal ROI and frontal sub-regions. FreeSurfer 5.3.0 parcellates the cortical surface in to 34 regions for each hemisphere. Regions of the frontal lobe were combined to provide four frontal sub-regions: dorsolateral cortex (also including ventrolateral cortex), medial cortex, motor cortex, and orbitofrontal cortex. The superior frontal gyrus was assigned to the

medial cortex since most of this area is displayed in the medial part of the frontal lobe. In addition, a measurement of the entire frontal lobe was calculated by combining the four frontal sub-regions (i.e., frontal ROI). Values of cortical volume, cortical thickness, and cortical surface area are available for all the regions. Non-frontal regions are displayed in gray

### Manual tracing of frontal sub-regions, performed in the Memory Clinic sample

Volumetric values for the FTD patients including the following frontal regions (left and right) and the TIV were taken from our previous study [25]: superior frontal gyrus (SFG), middle frontal gyrus (MFG), inferior frontal gyrus (IFG), orbitofrontal cortex (ORB), and dorsal anterior cingulate gyrus (DACC). Methods for manual tracing and volume calculation are fully described elsewhere [25] and in Appendix Table 7.

### Clinical status, CSF biomarkers, and cognitive variables

Procedures for assessing clinical status, CSF biomarkers, and cognitive performance are described elsewhere [24, 28]. Clinical status was assessed with MMSE, CDR, GDS, FAQ, and APOE  $\epsilon 4$  status. CSF levels of  $A\beta_{1-42}$ , total tau (T-tau) and phosphorylated tau (p-tau) were also studied. The following cognitive tests were included: trail making test (TMT), digit

symbol, digit span, semantic fluency (animals), auditory verbal learning test (AVLT), Boston naming test (BNT), and clock test.

### Statistical analysis

One-way independent ANOVA/ANCOVA was used for continuous variables and the Chi-square test for dichotomous variables. Mixed ANCOVA was used to analyze the interaction between two or more independent variables. Following previous studies [19], age and gender were not included as covariates because the GCA-F rating was blind to this information. Binary logistic regression was performed to assess which frontal sub-regions contributed most to a higher score on GCA-F. The  $P$ -values in all principal and post-hoc analyses were adjusted using Bonferroni correction for multiple comparisons. Results were considered significant when  $p \leq 0.05$ . Analyses were performed using SPSS 22.0 for Mac.

Vertex analyses across the cortical mantle were conducted using FreeSurfer software. A general linear model was fitted

at each vertex using cortical volume, thickness, or area as dependent variables. Results were tested against an empirical null distribution of maximum cluster size across 5,000 iterations. Z Monte Carlo simulations were used with a cluster-forming threshold of  $p \leq 0.05$  (two-sided), yielding clusters corrected for multiple comparisons across the cortical mantle.

## Results

Table 1 shows the demographics. Patients with bvFTD and PNFA evidenced the greatest degree of frontal atrophy as measured by the GCA-F scale, followed by the AD and MCI groups (Fig. 3). Since asymmetry is key finding in FTD, the GCA-F scale was also applied separately to the two hemispheres in the FTD patients. Interestingly, a significant interaction between FTD subtype and hemisphere was found ( $F_{(2, 31)} = 5.512$ ;  $p = 0.009$ ) (Appendix Fig. 5). BvFTD patients had qualitatively more frontal atrophy in the right hemisphere, PNFA patients had qualitatively more frontal atrophy in the left hemisphere, and there were no between-hemispheric differences in SD patients.

All the participants were then classified according to their GCA-F ratings for the validation analyses. The three patients rated as GCA-F=3 (one AD, one bvFTD, and one PNFA) were added to the GCA-F=2 group in order to provide three large GCA-F groups. Demographics for these three groups are displayed in Appendix Table 8.

### Association of GCA-F with automated imaging

These analyses were performed only in AddNeuroMed and ADNI samples. ANCOVA performed at the frontal ROI level showed that higher scores in GCA-F were associated with smaller volume ( $F_{(2, 1032)} = 85.163$ ;  $p < 0.001$ ), thickness ( $F_{(2, 1032)} = 90.338$ ;  $p < 0.001$ ), and area ( $F_{(2, 1032)} = 13.738$ ;  $p < 0.001$ ) (Table 2).

Several mixed ANCOVA were performed to study the interaction between GCA-F group (between-subjects factor),

frontal sub-region (within-subjects factor), and hemisphere (within-subjects factor). Interactions involving hemispheres by GCA-F group were not significant. Therefore, measures from left and right hemispheres were combined and a new mixed ANCOVA was performed for the GCA-F group and the frontal sub-region. Results showed significant interactions between the GCA-F group and the frontal sub-region for the three markers: volume ( $F_{(4.843, 2498.848)} = 41.163$ ;  $p < 0.001$ ); thickness ( $F_{(4.344, 2241.461)} = 5.712$ ;  $p < 0.001$ ), and area ( $F_{(4.273, 2204.665)} = 8.550$ ;  $p < 0.001$ ). Regarding volume, there were significant differences among the three GCA-F groups in all frontal sub-regions, but the magnitude of the difference was smaller in motor cortex ( $\eta_p^2 = 0.04$ ), than in the other three regions ( $\eta_p^2 \geq 0.12$ ) (Table 2). Regarding thickness, there were significant differences among the three GCA-F groups in all frontal sub-regions, but the magnitude of the difference was smaller in the medial and motor cortices ( $\eta_p^2 = 0.05$ ), than in the dorsolateral and orbitofrontal cortices ( $\eta_p^2 = 0.15$ ) (Table 2). Finally, results for area showed that GCA-F had a significant effect in all frontal sub-regions except the motor cortex. Moreover, there were significant differences among the three GCA-F groups only in the the dorsolateral cortex. For both medial and orbitofrontal cortices, there were significant differences only between GCA-F=2 and the other two GCA-F groups, but not between GCA-F=0 and GCA-F=1 (Table 2).

Analyses at the vertex level showed that higher scores in GCA-F were associated with less volume and cortical thickness in the whole frontal lobe. Results showed larger significant clusters when comparing GCA-F=0 vs. GCA-F=1 than when comparing GCA-F=1 vs. GCA-F=2 (Fig. 4 and Appendix Table 9). Higher GCA-F scores were also associated with smaller surface area but to a lesser extent as compared with volume and cortical thickness. In addition, higher GCA-F scores showed a significant association with smaller volume, thickness, and area in several temporal and posterior areas.

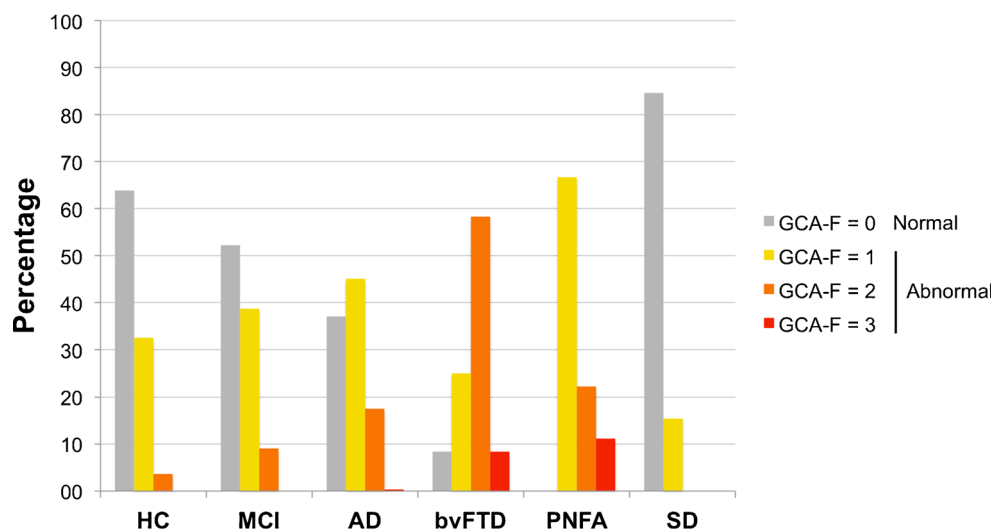
Logistic regression analyses showed that different frontal sub-regions contributed to the GCA-F ratings depending on the marker considered (Table 3). Regarding volume, dorsolateral cortex was the only region that contributed statistical

**Table 1** Demographic characteristics and GCA-F across clinical groups

	HC	MCI	AD	bvFTD	PNFA	SD	<i>p</i>
Group size, n	329	421	286	12	9	13	-
Age, mean (Sd)	75.0 (5.8)	74.9 (6.7)	75.8 (6.9)	59.5 (6.9) <sup>a,b,c</sup>	64.9 (7.2) <sup>a,b,c</sup>	63.8 (7.1) <sup>a,b,c</sup>	<0.001
Gender, % female	50	39 <sup>a</sup>	55 <sup>b</sup>	75	67	62	<0.001
Years of education, mean (Sd) <sup>1</sup>	14.2 (4.4)	13.8 (4.6)	12.0 (4.9) <sup>a,b</sup>	12.4 (3.8)	8.0 (1.2) <sup>a</sup>	10.5 (2.7)	<0.001
GCA-F score, mean (Sd)	0.40 (0.56)	0.57 (0.65) <sup>a</sup>	0.81 (0.73) <sup>a,b</sup>	1.67 (0.78) <sup>a,b,c</sup>	1.44 (0.73) <sup>a,b</sup>	0.15 (0.38) <sup>c,d,e</sup>	<0.001

<sup>1</sup>  $n = 1061$  (missing cases: 1 MCI, 2 AD, 2 bvFTD, 4 PNFA); <sup>a</sup> Significantly different from CTRL; <sup>b</sup> Significantly different from MCI; <sup>c</sup> Significantly different from AD; <sup>d</sup> Significantly different from bvFTD; <sup>e</sup> Significantly different from PNFA; Bonferroni correction for three comparisons:  $p \leq 0.017$ ; All post-hoc analyses were also adjusted using Bonferroni correction for multiple comparisons; GCA-F = global cerebral atrophy – frontal sub-scale; Sd = standard deviation; HC = healthy controls; MCI = mild cognitive impairment; AD = Alzheimer's disease; bvFTD = behavioural variant of frontotemporal dementia; SD = semantic dementia; PNFA = progressive non-fluent aphasia

**Fig. 3** GCA-F scores across clinical groups. Bars represent percentage of cases with a given GCA-F score within each diagnostic group. GCA-F=0 is considered normal, and GCA-F $\geq$ 1 is considered abnormal according to a previously proposed cut-off [18]. GCA-F=global cerebral atrophy – frontal sub-scale; HC=healthy controls; MCI=mild cognitive impairment; AD=Alzheimer’s disease; bvFTD=behavioural variant of frontotemporal dementia; SD=semantic dementia; PNFA=progressive non-fluent aphasia



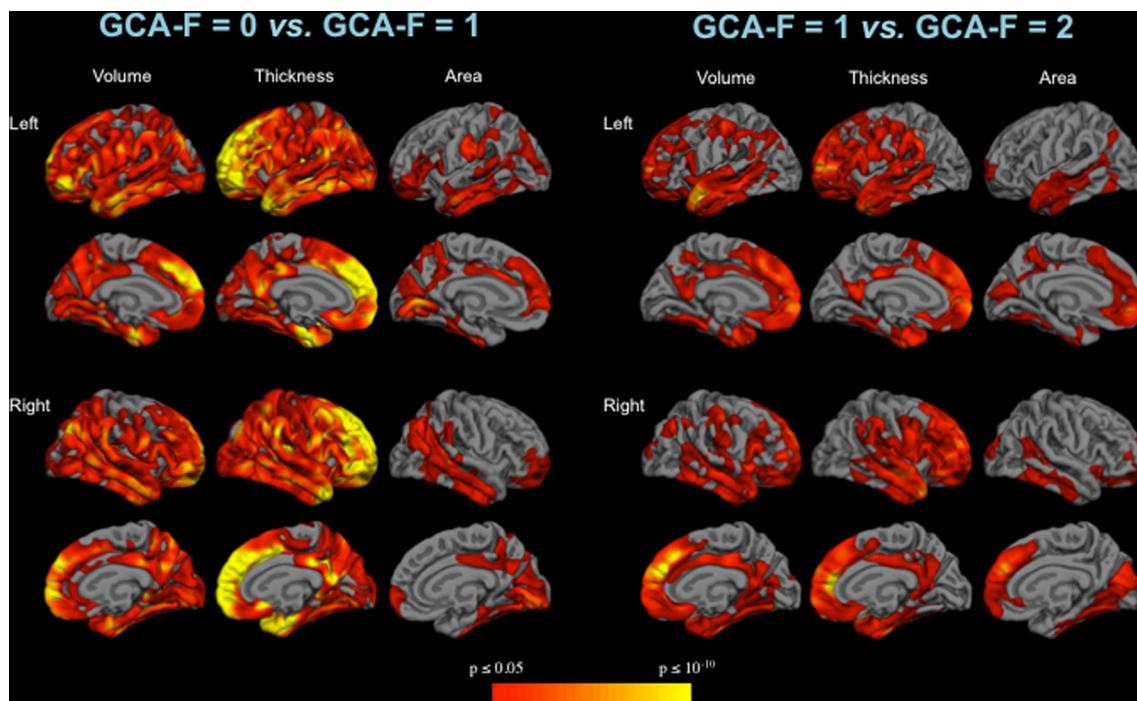
significance to the discrimination between GCA-F=0 and GCA-F=1 ( $\beta=-0.198$ ), and orbitofrontal cortex to the discrimination between GCA-F=1 and GCA-F=2 ( $\beta=-0.265$ ). Regarding thickness, dorsolateral cortex ( $\beta=-0.674$ ), orbitofrontal cortex ( $\beta=-0.443$ ), and motor cortex ( $\beta=0.282$ ), were the regions that contributed statistically

significantly to the discrimination between GCA-F=0 and GCA-F=1, and orbitofrontal cortex to the discrimination between GCA-F=1 and GCA-F=2 ( $\beta=-0.610$ ). Regarding area, motor cortex was the only region that contributed statistically significantly to the discrimination between GCA-F=0 and GCA-F=1 ( $\beta=0.210$ ).

**Table 2** Association of GCA-F with automated imaging: frontal ROI and frontal sub-regions (AddNeuroMed+ADNI)

	GCA-F=0 (N=536)	GCA-F=1 (N=399)	GCA-F=2 (N=101)	<i>p</i>	$\eta_p^2$
<b>Frontal ROI</b>					
Volume, mm <sup>3</sup>	141111 (15277)	135054 (16251) <sup>a</sup>	127489 (17140) <sup>a,b</sup>	<0.001	0.14
Area, mm <sup>2</sup>	55126 (5578)	54567 (6035) <sup>a</sup>	53260 (6139) <sup>a,b</sup>	<0.001	0.03
Thickness, mm	2.39 (0.13)	2.31 (0.13) <sup>a</sup>	2.23 (0.14) <sup>a,b</sup>	<0.001	0.15
<b>Dorsolateral</b>					
Volume, mm <sup>3</sup>	52936 (6366)	50348 (6557) <sup>a</sup>	47386 (7134) <sup>a,b</sup>	<0.001	0.14
Area, mm <sup>2</sup>	21279 (2413)	20964 (2518) <sup>a</sup>	20441 (2702) <sup>a,b</sup>	<0.001	0.02
Thickness, mm	2.30 (0.14)	2.20 (0.14) <sup>a</sup>	2.12 (0.15) <sup>a,b</sup>	<0.001	0.15
<b>Medial</b>					
Volume, mm <sup>3</sup>	43658 (5067)	41818 (5323) <sup>a</sup>	39299 (5689) <sup>a,b</sup>	<0.001	0.12
Area, mm <sup>2</sup>	15725 (1737)	15569 (1938)	15126 (1921) <sup>a,b</sup>	<0.001	0.02
Thickness, mm	2.58 (0.16)	2.52 (0.17) <sup>a</sup>	2.46 (0.19) <sup>a,b</sup>	<0.001	0.05
<b>Orbitofrontal</b>					
Volume, mm <sup>3</sup>	21453 (2283)	20611 (2395) <sup>a</sup>	19430 (2547) <sup>a,b</sup>	<0.001	0.12
Area, mm <sup>2</sup>	8379 (887)	8295 (923)	8064 (943) <sup>a,b</sup>	<0.001	0.02
Thickness, mm	2.36 (0.14)	2.26 (0.15) <sup>a</sup>	2.17 (0.17) <sup>a,b</sup>	<0.001	0.15
<b>Motor</b>					
Volume, mm <sup>3</sup>	21469 (2685)	20784 (2950) <sup>a</sup>	19986 (2768) <sup>a,b</sup>	<0.001	0.04
Area, mm <sup>2</sup>	9284 (935)	9295 (1033)	9195 (959)	0.428	0
Thickness, mm	2.18 (0.20)	2.11 (0.20) <sup>a</sup>	2.05 (0.17) <sup>a,b</sup>	<0.001	0.05

<sup>a</sup> Significantly different from GCA-F=0; <sup>b</sup> Significantly different from GCA-F=1; Values in the table represent mean (standard deviation); TIV was included as covariate in all analyses except for dorsolateral thickness and motor thickness, where one-way independent ANOVA was performed since these two measures showed no significant correlation with TIV (dorsolateral:  $r=-0.036$ ,  $p=0.247$ ; motor:  $r=0.018$ ,  $p=0.567$ ); Bonferroni correction for three comparisons (ANCOVA for frontal ROI):  $p\leq 0.017$ ; Bonferroni correction for twelve comparisons (ANOVA/ANCOVA for frontal sub-regions):  $p\leq 0.004$ ; All post-hoc analyses were also adjusted using Bonferroni correction for multiple comparisons; GCA-F=global cerebral atrophy – frontal sub-scale; ROI=region of interest; mm=millimetres;  $\eta_p^2$ =partial eta squared



**Fig. 4** Association of GCA-F and automated imaging: analysis at the vertex level (AddNeuroMed+ADNI). Vertex analyses across the cortical mantle were conducted using FreeSurfer software. Maps were smoothed using a circularly symmetric Gaussian kernel across the surface with a full width at half maximum (FWHM) of 10 mm. A general linear model was fitted at each vertex. GCA-F group was entered as independent variable (GCA-F=0 vs. GCA-F=1; and GCA-F=1 vs. GCA-F=2), with TIV entered as a covariate. Z Monte Carlo simulations were conducted for cluster-forming with a threshold of  $p \leq 0.05$  (two-sided), yielding clusters corrected for multiple comparisons

across the cortical mantle. Only vertexes belonging to clusters surviving this correction are displayed. Significant clusters arising from the comparison between GCA-F groups were mapped on standard templates, depicted in lateral (first and third rows) and medial (second and fourth rows) views, both for left and right hemispheres. The coloured regions illustrate less cortical volume, cortical thickness, or cortical surface area in the group with higher GCA-F score. The coloured bar illustrates the significance level of the differences from red ( $p \leq 0.05$ ) to yellow ( $p \leq 10^{-10}$ ). GCA-F=global cerebral atrophy – frontal sub-scale

#### Association of GCA-F with manual tracing

These analyses were performed only in the Memory Clinic sample (FTD patients). Higher scores in GCA-F were associated with smaller volume in all the manually traced frontal sub-regions (bilateral SFG and ORB, left IFG, and right MFG and DACC, see Table 4).

#### Association of GCA-F with clinical status, CSF biomarkers, and cognition

Regarding AddNeuroMed and ADNI samples, higher GCA-F scores were associated with worse clinical status (MMSE, CDR, and FAQ), but not with depressive symptomatology (GDS) and presence of the APOE  $\epsilon 4$  allele (Table 5). There was no significant association between GCA-F and CSF A $\beta_{1-42}$ , T-tau, and p-tau. Regarding cognitive variables, higher GCA-F scores were associated with worse cognitive performance in TMT, digit symbol, semantic fluency, AVLT learning, AVLT delayed, BNT, and clock test. Effect sizes revealed that

GCA-F had a larger effect on TMT-B, digit symbol, and AVLT learning.

Regarding the sample from the Memory Clinic (FTD patients), no significant association was found between GCA-F scores and MMSE ( $F_{(2, 31)}=1.228$ ;  $p=0.308$ ) (Table 5).

#### Discussion

This study shows that the GCA-F scale reliably reflects frontal atrophy in several clinical groups (i.e., AD, MCI, and FTD) as well as in healthy controls. The orbitofrontal, dorsolateral, and motor cortices were the regions contributing most to the GCA-F ratings. The scale primarily reflects reductions in volume and cortical thickness, although it was able to reflect reductions in surface area as well. Finally, the scale showed significant associations with clinical status and cognition.

Providing a visual rating scale of frontal atrophy for AD is important. Such a scale may also be valuable for other disorders with predominant frontal atrophy.



**Table 3** Regional contribution to the GCA-F ratings (AddNeuroMed+ADNI)

Marker	Model				Predictors						
	$\chi^2$	<i>p</i>	$R^2_N$	%	IV (Y)	<i>p</i>	$\beta$	SE	Exp(B)	95 % CI	
<b>Volume</b>											
GCA-F=0 vs. GCA-F=1	8.521	0.004	0.012	57.3	Dorsolateral	0.004	-0.198	0.068	0.821	0.718 – 0.938	
					Medial	0.604					
					Orbitofrontal	0.887					
					Motor	0.093					
GCA-F=1 vs. GCA-F=2	5.848	0.016	0.018	79.8	Dorsolateral	0.823					
					Medial	0.813					
					Orbitofrontal	0.017	-0.265	0.111	0.767	0.617 – 0.953	
					Motor	0.284					
<b>Area</b>											
GCA-F=0 vs. GCA-F=1	10.026	0.002	0.014	59.0	Dorsolateral	0.083					
					Medial	0.554					
					Orbitofrontal	0.543					
					Motor	0.002	0.210	0.067	1.234	1.083 – 1.407	
GCA-F=1 vs. GCA-F=2	<i>ns.</i>	<i>ns.</i>	<i>ns.</i>	<i>ns.</i>	Dorsolateral	0.735					
					Medial	0.867					
					Orbitofrontal	0.937					
					Motor	0.272					
<b>Thickness</b>											
GCA-F=0 vs. GCA-F=1	5.969	0.015	0.167	65.3	Dorsolateral	<0.001	-0.674	0.153	0.510	0.378 – 0.689	
					Medial	0.326					
					Orbitofrontal	<0.001	-0.443	0.112	0.642	0.516 – 0.799	
					Motor	0.015	0.282	0.117	1.326	1.055 – 1.667	
GCA-F=1 vs. GCA-F=2	28.184	<0.001	0.086	80.0	Dorsolateral	0.076					
					Medial	0.803					
					Orbitofrontal	<0.001	-0.610	0.120	0.543	0.429 – 0.687	
					Motor	0.837					

Binary logistic regression analysis. GCA-F groups were entered as dependent variables, in two conditions: GCA-F=0 vs. GCA-F=1; and GCA-F=1 vs. GCA-F=2; Values of cortical volume, thickness, and area of the four frontal sub-regions were transformed to z-scores and included as independent variables; the forward likelihood ratio method was used; Exp(B) is an indicator of the change in odds resulting from a unit change in the predictor: values greater than one indicate that as the predictor increases, the odd of the outcome occurring increases, and values lesser than one indicate that as the predictor increases, the odds of the outcome occurring decrease; DV=dependent variable; IV=independent variable; GCA-F=global cerebral atrophy – frontal sub-scale;  $R^2_N$ =Nagelkerke's adjusted R squared; SE=standard error; 95 % CI=95 % confidence interval; ns. = non-significant

Although some approaches have been reported in the previous literature, they are not specific for the frontal lobe and are not validated for AD [21, 29, 30]. On the contrary, the global cortical atrophy (GCA) scale [10, 11], has been extensively applied in AD [11–18]. Three previous studies specifically assessed frontal atrophy in AD based on GCA-F [11, 14, 18]. Scheltens et al. showed that GCA-F was the GCA sub-scale with the best inter-observer agreement [11]. Doody et al. showed that GCA-F was not correlated with APOE  $\epsilon 4$  status [14]. We proposed a list of practical cut-offs for GCA-F, MTA, and PA, and demonstrated that their

combination increases accuracy in AD diagnosis and prediction of progression from MCI to AD [18]. However, GCA-F had not been quantitatively validated to date.

In this study we show that GCA-F reliably reflects cortical atrophy in the frontal lobe at three levels of anatomical detail: the entire frontal lobe, specific frontal sub-regions, and at the vertex level across the cortical mantle. The orbitofrontal, dorsolateral, and motor cortices were the regions that contributed the most to the GCA-F ratings in AD, MCI, and healthy control groups. This finding was also confirmed in the FTD sample, especially for the



**Table 4** Association of GCA-F with manual tracing (Memory Clinic)

	GCA-F=0 (N=12)	GCA-F=1 (N=11)	GCA-F=2 (N=11)	<i>p</i>	$\eta_p^2$
L SFG	1.708 (0.283)	1.589 (0.221)	1.408 (0.192) <sup>a</sup>	0.017	0.23
R SFG	1.823 (0.166)	1.644 (0.253)	1.406 (0.253) <sup>a</sup>	0.001	0.39
L MFG	1.133 (0.190)	1.058 (0.141)	0.955 (0.187)	0.066	0.16
R MFG	1.128 (0.201)	1.055 (0.119)	0.868 (0.084) <sup>a,b</sup>	0.001	0.38
L IFG	0.682 (0.112)	0.774 (0.131)	0.583 (0.121) <sup>b</sup>	0.004	0.31
R IFG	0.650 (0.093)	0.669 (0.131)	0.615 (0.175)	0.646	0.03
L ORB	0.938 (0.094)	0.940 (0.073)	0.762 (0.081) <sup>a,b</sup>	<0.001	0.52
R ORB	0.923 (0.095)	0.923 (0.083)	0.760 (0.134) <sup>a,b</sup>	0.001	0.36
L DACC	0.128 (0.033)	0.121 (0.020)	0.110 (0.029)	0.334	0.07
R DACC	0.124 (0.022)	0.163 (0.033) <sup>a</sup>	0.129 (0.017) <sup>b</sup>	0.001	0.34

<sup>a</sup> Significantly different from GCA-F=0; <sup>b</sup> Significantly different from GCA-F=1; Values in the table represent mean (standard deviation); All volumetric values are divided by total intracranial volume (TIV) and multiplied by 100; Bonferroni correction for ten comparisons:  $p \leq 0.005$ ; All post-hoc analyses were also adjusted using Bonferroni correction for multiple comparisons; GCA-F=global cerebral atrophy – frontal sub-scale; L=left; R=right; SFG=superior frontal gyrus; MFG=middle frontal gyrus; IFG=inferior frontal gyrus; ORB=orbitofrontal cortex; DACC=dorsal anterior cingulate cortex;  $\eta_p^2$ =partial eta squared

superior part of the dorsolateral cortex and the orbitofrontal region. Since the frontal lobe is large, this information is important for potential simplification of the GCA-F scale, as well as to guide radiologists to specific anatomical landmarks, and to know which regions are less well captured by the GCA-F scale. Analyses at the vertex level complemented these results showing significant associations also with temporal and posterior cortices. A possible explanation for this is that GCA-F primarily reflects frontal atrophy, but since this finding occurs in the context of a more global pattern of AD-related atrophy [18], the scale also reflects atrophy in other key regions for AD. This finding was supported by the pattern of associations with clinical and cognitive variables. Although effect sizes revealed that GCA-F had a larger effect on TMT-B and digit symbol (two executive tasks), as well as AVLT learning (a memory task with high involvement of the frontal lobe during the learning phase [31]), higher GCA-F scores were also associated with cognitive measures involving episodic memory (AVLT delayed and recognition), semantic fluency, naming (BNT), and visuoconstructive skills (clock test), primarily associated with temporal and posterior cortices; as well as global clinical impairment (MMSE, CDR, FAQ).

Different markers of brain integrity were analyzed in this study. Volume measures were included as in previous validation studies [19–21]. Since cortical volume is a product of cortical thickness and surface area, these two markers were also assessed in order to further understand differences in volume. Higher GCA-F scores were associated with less cortical volume, primarily explained by differences in thickness but also in area to a lesser extent. This finding is important for the clinical applicability of

GCA-F given that different neurodevelopmental and neurodegenerative disorders have a differential impact on the cerebral cortex. Reductions in thickness but not area have been described in AD, Parkinson's disease, and multiple sclerosis [32–34]; reductions in area but not thickness have been reported in Williams syndrome [35]; and reductions in both thickness and area have been found in schizophrenia [36]. Our results support the generic applicability of GCA-F. Results obtained in the FTD sample also support the use of GCA-F in disorders displaying an asymmetric pattern of frontal atrophy. The GCA-F results in this study strongly correspond with what previously demonstrated using quantitative imaging in the same FTD sample [25] and other studies [37]. The GCA-F scale also captured greater frontal atrophy in FTD than in AD, as previously described using quantitative imaging [38–40].

The main strengths of this study are the inclusion of the largest cohort to date for the validation of a visual rating scale of frontal atrophy; the application of an advanced automated imaging technique and gold standard manual tracing; analysis of association with clinical status, CSF biomarkers, and cognition; and the inclusion of different disorders. Some limitations should also be discussed. We observed a ceiling effect on the GCA-F scores especially in the healthy controls, MCI, and SD groups, where perhaps more fine-grained techniques might be needed in order to capture very subtle atrophy. The only three individuals rated GCA-F=3 were added to the group of GCA-F=2 in order to provide three large severity groups. Despite this slightly reduced scale range, comparison between GCA-F=0 and GCA-F=1 is clinically relevant because abnormality is determined by GCA-F scores  $\geq 1$  [18]. Comparison between GCA-F=1

**Table 5** Association of GCA-F with clinical status, CSF biomarkers, and cognitive impairment

	<i>N</i>	GCA-F=0	GCA-F=1	GCA-F=2	<i>p</i>	$\eta_p^2$
AddNeuroMed+ADNI						
Clinical variables						
MMSE	1020	27.2 (2.9)	25.9 (3.8) <sup>a</sup>	24.3 (4.1) <sup>a,b</sup>	<0.001	0.07
CDR	1022	0.4 (0.3)	0.5 (0.5) <sup>a</sup>	0.7 (0.5) <sup>a,b</sup>	<0.001	0.07
GDS	1023	2.1 (2.2)	2.3 (2.4)	2.2 (2.4)	0.656	0
FAQ	663	3.8 (5.8)	5.6 (6.9) <sup>a</sup>	9.9 (8.5) <sup>a,b</sup>	<0.001	0.07
ApoE $\epsilon$ 4, % carriers	1011	43	48	52	0.183	0
CSF biomarkers						
A $\beta$ <sub>1-42</sub> (pg/mL)	345	176.4 (59.1)	161.2 (49.4)	158.9 (53.6)	0.036	0.02
T-tau (pg/mL)	345	95.1 (53.3)	96.0 (54.5)	98.3 (54.5)	0.946	0
p-tau (pg/mL)	342	31.7 (16.9)	35.8 (20.4)	32.9 (16.2)	0.160	0.01
Cognitive variables						
TMT-A	664	44.5 (26.1)	48.5 (25.9)	58.7 (30.5) <sup>a,b</sup>	0.001	0.02
TMT-B	656	118.3 (72.5)	143.4 (81.7) <sup>a</sup>	173.8 (81.2) <sup>a,b</sup>	<0.001	0.05
Digit Symbol	662	40.0 (13.3)	35.4 (12.3) <sup>a</sup>	30.0 (11.7) <sup>a,b</sup>	<0.001	0.06
Digit span forward	665	8.3 (2.1)	8.1 (2.0)	8.2 (2.0)	0.664	0
Digit span backward	661	6.3 (2.3)	6.2 (2.1)	5.4 (1.6) <sup>a</sup>	0.014	0.01
Semantic fluency	665	17.1 (5.8)	15.9 (5.6) <sup>a</sup>	13.5 (5.7) <sup>a,b</sup>	<0.001	0.03
AVLT learning	661	34.9 (11.1)	31.2 (10.9) <sup>a</sup>	26.0 (10.5) <sup>a,b</sup>	<0.001	0.06
AVLT delayed	664	4.2 (4.1)	3.4 (4.1) <sup>a</sup>	2.0 (2.8) <sup>a</sup>	<0.001	0.03
AVLT recognition	664	10.5 (3.9)	9.8 (4.3)	9.2 (4.4)	0.027	0.01
BNT	660	26.2 (4.5)	25.1 (4.8) <sup>a</sup>	23.4 (5.9) <sup>a,b</sup>	<0.001	0.03
Clock test	665	4.3 (1.0)	4.0 (1.1) <sup>a</sup>	3.7 (1.3) <sup>a,b</sup>	<0.001	0.03
Memory Clinic						
MMSE	32	23.4 (7.0)	18.0 (9.5)	21.0 (7.3)	0.308	0.08

Data on clinical status, CSF biomarkers, and cognition were available for 1023, 345, and 665 participants, respectively (AddNeuroMed and ADNI samples). <sup>a</sup> significantly different from GCA-F=0; <sup>b</sup> significantly different from GCA-F=1; Values in the table represent mean (standard deviation); Bonferroni correction for five comparisons (ANOVA for clinical variables):  $p \leq 0.010$ ; Bonferroni correction for three comparisons (ANOVA for CSF biomarkers):  $p \leq 0.017$ ; Bonferroni correction for eleven comparisons (ANOVA for cognitive variables):  $p \leq 0.005$ ; All post-hoc analyses were also adjusted using Bonferroni correction for multiple comparisons; GCA-F=global cerebral atrophy – frontal sub-scale;  $\eta_p^2$ =partial eta squared; MMSE=Mini-Mental State Examination; CDR=Clinical Dementia Rating; GDS=geriatric depression scale; FAQ=functional activity questionnaire; A $\beta$ <sub>1-42</sub>=amyloid- $\beta$ -peptide 1-42; T-tau=total level of tau protein; p-tau=level of phosphorylated tau protein; TMT=trail making test; AVLT=auditory verbal learning test; BNT=Boston naming test; pg/mL: picograms per millilitre

and GCA-F=2 extends investigation of disease severity. Another possible drawback is an inter-rater reliability of 0.59 (weighted kappa). However, agreement is almost substantial [41], and is superior to what was previously reported by the developers of the scale, with Fleiss' kappa values ranging from 0.29 to 0.48 (our Fleiss' kappa is 0.55) [11]. In addition, our intraclass correlation coefficient was optimal. Further, although a significant interaction was found indicating bvFTD patients having more frontal atrophy in the right hemisphere, and PNFA patients in the left hemisphere, this observation was only qualitative and together with absence of asymmetry in the SD subtype reflects limited statistical power due to the small sample size. Finally, GCA-F

was applied in the axial plane as originally proposed by Pasquier et al. for the GCA scale [10]. Rating GCA-F in the three anatomical planes as proposed by the PA scale [7] might add some advantage and warrants future investigation.

In conclusion, GCA-F reliably reflects atrophy in the frontal lobe and shows associations with clinical and cognitive impairment. This scale may have implications for clinical practice as a supportive tool for disorders demonstrating predominant frontal atrophy such as FTD subtypes and the executive presentation of Alzheimer's disease. Future research is warranted to continue validating the GCA-F scale in these specific subgroups. GCA-F is simple, quick, and can be

performed both on magnetic resonance and computed tomography images. Due to this, we believe that GCA-F is feasible for use in clinical routine for the radiological assessment of dementia and other disorders.

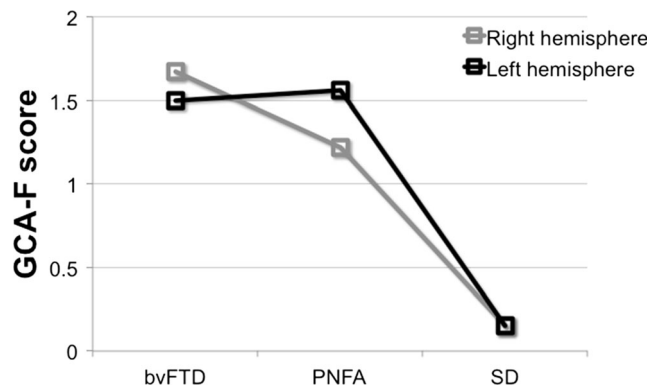
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One of the authors has significant statistical expertise. Institutional Review Board approval was not required because this study includes data from AddNeuroMed and ADNI, two public and available datasets for imaging research. Data collection was subject to ethical review and approval by committees from each participating centre. This study also includes data from the Memory Clinic at Karolinska University Hospital. This sample has been previously investigated and the study was approved by the Regional Ethical Review Board in Stockholm, Sweden. Written informed consent was not required for this study because this study includes data from AddNeuroMed and ADNI, two public and available datasets for imaging research. Data collection was subject to ethical review and approval by committees from each participating center. This study also includes data from the Memory Clinic at Karolinska University Hospital. This sample has been previously investigated and written informed consent was already available for all the subjects. Some study subjects or cohorts have been previously reported. Data from the AddNeuroMed and the ADNI studies have been extensively used and reported. Given the amount of manuscript published using these two datasets, it is not possible to mention the number of patients previously

published. This study also includes data from thirty-four patients previously investigated in the studies listed below.

- Looi et al. AJNR Am J Neuroradiol 2008
  - Lindberg et al. AJNR Am J Neuroradiol 2009
  - Looi et al. AJNR Am J Neuroradiol 2009
  - Looi et al. Neuroimage 2010
  - Looi et al. Psychiatry Res 2011
  - Lindberg et al. J Alzheimers Dis 2012
  - Lindberg et al. AJNR Am J Neuroradiol 2012
  - Lindberg et al. Front Aging Neurosci 2012
  - Walterfang et al. J Alzheimers Dis 2014
- Methodology: prospective, cross sectional study, multicenter study.

## Appendix



**Fig. 5** Interaction between FTDT subtype and hemisphere. GCA-F= global cerebral atrophy – frontal sub-scale; bvFTD=behavioural variant of frontotemporal dementia; SD=semantic dementia; PNFA=progressive non-fluent aphasia

**Table 6** FreeSurfer 5.3.0 methods for cortical reconstruction and parcellation

- 1) Motion correction [56].
- 2) Removal of non-brain tissue [57].
- 3) Automated Talairach transformation.
- 4) Segmentation of the subcortical structures [51, 52].
- 5) Intensity normalization [59].
- 6) Tessellation of the gray matter white matter boundary.
- 7) Automated topology correction [50, 58].
- 8) Surface deformation following intensity gradients to optimally place the gray/white and gray/cerebrospinal fluid borders at the location where the greatest shift in intensity defines the transition to the other tissue class [43, 44, 49].
- 9) Surface inflation [47], registration to a spherical atlas [48].
- 10) Parcellation of the cerebral cortex into units based on gyral and sulcal structure [45, 53].
- 11) Creation of a variety of surface based data.

**Table 7** Detailed methods for manual tracing of frontal sub-regions

- 1) The software program MRIcro (<http://www.mccauslandcenter.sc.edu/mricro/>) was used for parcellation of the cortex. Measurements were subsequently performed on the HERMES MultiModality software package (Nuclear Diagnostics, Stockholm Sweden).
- 2) Regions of interest were traced manually on contiguous coronal sections including the following areas:
  - Superior frontal gyrus (SFG) (Brodmann areas 6, 8, 9, and 32).
  - Middle frontal gyrus (MFG) (Brodmann area 46).
  - Inferior frontal gyrus (IFG) (Brodmann areas 44 and 45).
  - Orbitofrontal cortex (ORB) (Brodmann areas 10, 11, 12, and 47).
  - Dorsal anterior cingulate gyrus (DACC) (Brodmann area 24).
 The following established protocols were used for parcellation of SFG, MFG, IFG and ORB [42] and for DACC [55]. For the posterior border of the DACC, the protocol by Fornito et al [54] was preferred, stopping tracing one section after the disappearance of the anterior commissure, moving from anterior to posterior on coronal sections.
- 3) Following the landmarks proposed by Eritaia et al. [46], the total intracranial volume (TIV) was obtained using a stereology-based technique on every fourth section of the brain,
- 4) All regional volumes were then normalized by the TIV (regional volume / TIV).
- 5) All volumetric data were obtained by O.L., who was completely blind to clinical data. The intraclass correlation coefficient on ten repeated measurements (at least one month apart) was over 0.90. Inter-rater reliability was not calculated but the delineation of each region on approximately ten brains was scrutinized and approved by an experienced neuroanatomist.

**Table 8** Demographic characteristics of the three GCA-F groups

AddNeuroMed+ADNI, n	GCA-F=0 536	GCA-F=1 399	GCA-F=2 101	p
Age, mean (Sd)	73.4 (6.3)	76.9 (6.1) <sup>a</sup>	78.1 (6.3) <sup>a</sup>	<0.001
Gender, % female	52	42	40	0.003
Years of education, mean (Sd) <sup>1</sup>	14.0 (4.4)	12.9 (5.0) <sup>a</sup>	12.8 (4.8) <sup>a</sup>	0.001
Diagnosis. HC, n (%)	210 (39)	107 (27)	12 (12)	<0.001
MCI, n (%)	220 (41)	163 (41)	38 (38)	
AD, n (%)	106 (20)	129 (32)	51 (50) <sup>*</sup>	
Memory Clinic, n	12	11	11	
Age, mean (Sd)	63.7 (7.4)	63.2 (7.4)	60.6 (7.3)	0.560
Gender, % female	58	73	73	0.813
Years of education, mean (Sd) <sup>2</sup>	11.6 (3.2)	9.6 (3.7)	11.0 (2.6)	0.376
Diagnosis. bvFTD, n (%)	1 (8)	3 (27)	8 (73) <sup>*</sup>	<0.001
PNFA, n (%)	0 (0)	6 (55)	3 (27) <sup>*</sup>	
SD, n (%)	11 (92)	2 (18)	0 (0)	

<sup>1</sup> n=1033; <sup>2</sup> n=28; <sup>\*</sup> One AD patient, one bvFTD patient, and one PNFA patient rated as GCA-F=3 were added to the GCA-F=2 group in order to provide three large GCA-F groups. <sup>a</sup> significantly different from GCA-F=0; <sup>b</sup> significantly different from GCA-F=1; Bonferroni correction for four comparisons: p≤0.013; All post-hoc analyses were also adjusted using Bonferroni correction for multiple comparisons; GCA-F=global cerebral atrophy – frontal sub-scale; Sd=standard deviation; HC=healthy controls; MCI=mild cognitive impairment; AD=Alzheimer’s disease; FTD=frontotemporal dementia; bvFTD=behavioural variant of frontotemporal dementia; PNFA=progressive non-fluent aphasia; SD=semantic dementia

**Table 9** Summary of significant clusters in analyses at the vertex level (AddNeuroMed+ADNI)

Comparison	Marker, hemisphere	Cluster	Size (mm <sup>2</sup> )	MNI coordinates			Location of cluster maxima
				x	y	z	
GCA-F=0 vs. GCA-F=1 (n=935)							
Volume, left	Cluster 1	57489	-36.8	-18.3	64.5	precentral	
Volume, right	Cluster 1	57141	30.6	-47.5	44.4	superior parietal	
Thickness, left	Cluster 1	66410	-11.5	-7.7	47.3	superior frontal	
Thickness, right	Cluster 1	68818	27.7	-14.4	60.2	precentral	
Area, left	Cluster 1	15623	-53.1	-24	-4	superior temporal	
	Cluster 2	7166	-11.5	-7.7	47.3	superior temporal	
	Cluster 3	2775	-7.8	-72.8	46.2	precuneus	
	Cluster 4	2150	-49.6	-48	44.6	supramarginal	
Area, right	Cluster 1	18487	45.9	-43.8	7.5	temporal banks	
	Cluster 2	3337	27.7	57.8	-9.5	rostral middle frontal	
	Cluster 3	2647	9.4	-50.8	47.8	precuneus	
GCA-F=1 vs. GCA-F=2 (n=500)							
Volume, left	Cluster 1	18058	-49.6	-48	44.6	supramarginal	
	Cluster 2	15682	-22.1	41.5	24.1	rostral middle frontal	
	Cluster 3	1485	-37.6	15.5	9.8	pars opercularis	
	Cluster 4	1272	-12	-67.1	34.7	precuneus	
Volume, right	Cluster 1	34784	38.7	41	24	rostral middle frontal	
	Cluster 2	3141	34	-71.1	28.7	inferior parietal	
Thickness, left	Cluster 1	35680	-22.1	41.5	24.1	rostral middle frontal	
		2037	-12	-67.1	34.7	precuneus	
Thickness, right	Cluster 1	38308	38.7	41	24	rostral middle frontal	
Area, left	Cluster 1	10157	-53.1	-24	-4	superior temporal	
	Cluster 2	4241	-12.5	53.1	3.1	superior frontal	
	Cluster 3	1828	-15.1	-78.4	10.8	pericalcarine	
	Cluster 4	1640	-16.5	-45.3	54.7	precuneus	
Area, right	Cluster 1	11106	21.3	-98.7	5.3	lateral occipital	
	Cluster 2	5928	13.8	22.4	30.5	superior frontal	
	Cluster 3	1800	45.9	-43.8	7.5	temporal banks	

GCA-F=global cerebral atrophy – frontal sub-scale; MNI=Montreal Neurological Institute

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