

# Gender, apolipoprotein E genotype, and mesial temporal atrophy: 2-year follow-up in patients with stable mild cognitive impairment and with progression from mild cognitive impairment to Alzheimer's disease

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## Abstract

**Introduction** This study aimed to examine the relationship between gender, apolipoprotein E (APOE) genotype, and mesial temporal atrophy in mild cognitive impairment (MCI) with and without progression to Alzheimer's disease (AD). **Methods** We evaluated 236 MCI patients with ( $n = 121$ ) and without ( $n = 115$ ) AD progression. Longitudinal MRI-based hippocampal volumes (HV) and entorhinal cortex (ERC) thickness were obtained. The Clinical Dementia Rating Sum of Boxes (CDR-SB) score was used to assess disease severity. **Results** We found a significant effect of APOE, gender, and clinical course (stable MCI versus MCI-AD progression) on HV. There was a significant effect of clinical course and

APOE, but not gender, on ERC. Baseline HV and APOE4 status predicted MCI-AD progression in women. Baseline ERC and APOE4 status predicted MCI-AD progression in men. There were significant differences in CDR-SB scores between patients with and without MCI-AD progression, but not between males and females, or APOE4 carriers and non-carriers.

**Conclusions** HV, but not ERC, is strongly influenced by gender in MCI. The effects of gender and APOE4 on neuroimaging biomarkers have potentially important implications in the prediction of MCI-AD progression and should be taken into account in clinical trials.

**Keywords** Mild cognitive impairment · Sex differences · Apolipoprotein E4 · Hippocampus · Entorhinal cortex · MRI

Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database ([adni.loni.ucla.edu](http://adni.loni.ucla.edu)). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in the analysis or writing of this report. A complete listing of ADNI investigators can be found at [http://adni.loni.ucla.edu/wp-content/uploads/how\\_to\\_apply/ADNI\\_Acknowledgement\\_List.pdf](http://adni.loni.ucla.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf).

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## Introduction

Mild cognitive impairment (MCI) is a prodromal state of Alzheimer's disease (AD) [1, 2]. Previous research has shown that MRI-based mesial temporal atrophy measures, especially entorhinal cortex (ERC) thickness and hippocampal volume (HV) can predict MCI-AD progression [3–13]. The  $\epsilon 4$  allele of the apolipoprotein E (APOE4) is the strongest known genetic risk factor in sporadic AD [14–18]. Numerous studies have shown a relationship between APOE4 and the degree of hippocampal and entorhinal atrophy in MCI and AD [19–23].

In 2011, the Society for Women's Health Research made the recommendation to systematically analyze gender differences in AD and to integrate sex differences in the definition of biomarkers, diagnosis, and drug discovery [24]. Gender-specific differences in brain atrophy patterns have been demonstrated in MCI and AD [25–30]. The effect of gender on

brain volume loss may be at least as large as the APOE4 effect [31]. If atrophy patterns differ between men and women, then these differences should be taken into account when structural MR indices are used as AD biomarkers [32]. Although the relationship between gender and APOE has been previously evaluated in MCI and AD in a few studies using structural imaging [31, 33, 34], to our knowledge, none of these studies have evaluated the effects of gender and APOE4 on ERC and HV in MCI patients during the clinical transition from MCI to AD. Furthermore, it is imperative to integrate gender differences in mesial temporal atrophy in prediction models of MCI-AD progression.

Our primary objective was to assess whether gender and APOE4 have an influence on longitudinal patterns of ERC and HV in patients with stable MCI and MCI-AD progression. Furthermore, we evaluated whether gender has an influence on prediction models of MCI-AD progression, using as predictors the APOE4 status, ERC, and HV in a sample of MCI patients. Based on the prior literature, our hypothesis was that gender and APOE4 modulate structural MR biomarkers of AD.

## Methods

Data used in the preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database, launched in 2003 as a public-private partnership, led by Principal Investigator Michael W. Weiner, MD. The primary goal of ADNI has been to test whether serial MRI, positron emission tomography (PET), other biological markers, and clinical and neuropsychological assessment can be combined to measure the progression of MCI and early AD. For up-to-date information, see [www.adni-info.org](http://www.adni-info.org). The study was conducted according to the Good Clinical Practice guidelines, the Declaration of Helsinki, US 21 CFR part 50—Protection of Human Subjects—and part 56—Institutional Review Boards. Subjects were willing and able to undergo test procedures, including neuroimaging and follow-up, and written informed consent was obtained from participants. For details regarding the ADNI protocol procedures, see Appendix 1.

The ADNI1 cohort consisted of 819 subjects, of which 397 were with initial diagnosis of MCI [35]. Inclusion criteria were as follows:

1. MCI/AD progression: amnesic MCI at baseline, MCI-AD progression during the first 2 years of study participation, APOE genotyping results, and brain MRIs obtained 12 months prior to AD diagnosis (time 1) and at AD diagnosis (time 2). A third brain MRI obtained 12 months later was included when available (time 3).

2. Stable MCI: amnesic MCI at baseline and follow-up showing stable MCI for at least 36 months, APOE genotyping results, and brain MRIs obtained at the ADNI study baseline (time 1) and at the 12-month visit (time 2). A third brain MRI obtained at the 24-month visit was included when available (time 3).

Brain MRI included a 3D T1-weighted MPRAGE sequence collected using standardized protocols across 59 study sites in the USA and Canada with 1.5 Tesla MR scanners [36]. MCI subjects in the ADNI cohort were scheduled to undergo brain MRIs and clinical evaluations every 6 months for the first 2 years and then yearly. The MCI/AD progression group only included patients with progression to AD during the first 2 years of participation, when visits occurred every 6 months.

MR images were preprocessed using the following protocol: (a) gradient non-linearity correction via “GradWarp,” (b) intensity non-uniformity by using B1 calibration, and (c) residual intensity non-uniformity by using “N3.” The images also underwent scaling based on acquisitions of a phantom device. All acquisitions underwent quality-control evaluation and were performed by using a standardized protocol specifically developed for the ADNI, tailored for use with each model of MR scanner used at the different data collection sites [36]. Information on MR acquisition protocols can be found at <http://adni.loni.usc.edu/> [37]. Bilateral HV, ERC, and intracranial volume (ICV) measurements were obtained using the FreeSurfer image analysis suite, which is documented and freely available for download online (FreeSurfer 4.4, <http://surfer.nmr.mgh.harvard.edu/>) [38], as previously described [33, 39–41]. To extract reliable volume and thickness estimates, images were automatically processed with the longitudinal stream in FreeSurfer [42]. Specifically, an unbiased within-subject template space and image is created using robust, inverse consistent registration [43]. Several processing steps, such as skull stripping, Talairach transforms, atlas registration, as well as spherical surface maps and parcellation, are then initialized with common information from the within-subject template, significantly increasing reliability and statistical power [42]. All MR imaging data that did not pass the ADNI quality control evaluations, including segmentation quality control, were excluded.

Severity of symptoms of dementia was assessed using the Clinical Dementia Rating Sum of Boxes (CDR-SB) [44]. APOE genotype was determined from peripheral blood DNA [45, 46]. The cells were collected in plastic tubes (10 mL) coated with ethylenediaminetetraacetic acid and sent via overnight delivery to the University of Pennsylvania. Subjects were classified as APOE4 carriers (at least one  $\epsilon 4$  allele) and non-carriers. For more detailed information, see the ADNI 1 Procedures Manual [45].

Individual HVs were normalized for intersubject variation in head size by dividing HV (in  $\text{mm}^3$ ) by the estimated

baseline ICV (in  $\text{cm}^3$ ). In the remainder of the manuscript, HV will indicate normalized HV. Mean HV and mean ERC measurements were calculated for each subject and time point.

Statistical analyses were conducted using SPSS 22.0. Results were considered significant when  $p < 0.05$ . First, we evaluated differences in patient characteristics using the Pearson  $\chi^2$  test (gender, race, APOE4) and ANOVA (age, years of education). Then, a mixed general linear model was used to assess longitudinal changes of CDR-SB, HV, and ERC. Gender, APOE genotype, and clinical course were included as between-subjects factors, and time (baseline, 12 months, 24 months) was the within-subjects factor. Bonferroni adjustment for multiple comparisons was applied across dependent variables and in the post hoc analyses. Effect sizes are reported using partial ETA-squared ( $\eta^2_p$ ) with the guidelines of 0.01 for a small effect, 0.06 for a medium effect, and 0.14 for a large effect [47]. Effect size is the proportion of variance accounted for by an effect.

Stepwise linear discriminant analysis was used to identify whether APOE4, HV, and ERC measures obtained *at baseline* (time 1) could predict subsequent MCI-AD progression in this MCI patient sample. Leave-one-out cross-validation was used to minimize the increase in sensitivity and specificity associated with the use of the entire dataset to train the classifier.

## Results

### Clinical data

Two hundred thirty-six patients, 121 MCI-AD progression and 115 stable MCI subjects, were included in the study. One hundred sixty-one of the 397 individuals who had a diagnosis of MCI at the onset of the ADNI study were excluded: 56 with MCI-AD progression because baseline and/or 12-month MR images were not available or did not pass MR quality control screening; 86 subjects with stable MCI because baseline and/or 12-month MRI were not available, MR images did not pass MR quality control screening, or clinical follow-up of greater than 36 months was not available; and 19 subjects due to reversion from MCI to normal control status. Demographic and clinical characteristics of the study population are reported in Table 1. There was no significant difference in racial composition ( $p = 0.13$ ), handedness ( $p = 0.76$ ), age ( $p = 0.20$ ), and years of education ( $p = 0.07$ ) between males and females. The proportion of APOE4 carriers did not differ between males and females ( $p = 0.23$ ); however, it significantly differed between stable MCI and MCI-AD converters ( $p < 0.001$ ). The mixed general linear model with Huynh-Feldt correction for sphericity violation was performed to investigate the effects of gender, APOE4, and clinical course on longitudinal CDR-SB, a measure of dementia severity. We found a significant worsening over time

**Table 1** Demographics and clinical characteristics

|                                   | Male ( $N = 75$ )    | Female ( $N = 46$ )  |
|-----------------------------------|----------------------|----------------------|
| MCI-AD progression                |                      |                      |
| Age range, median (SD)            | 75.9 ( $\pm 6.2$ )   | 75 ( $\pm 7.1$ )     |
| Caucasian/Asian/African American  | 70/2/3               | 44/2/-               |
| Handedness (right/left)           | 66/9                 | 44/2                 |
| Median education (SD)             | 16 ( $\pm 3$ ) years | 16 ( $\pm 3$ ) years |
| APOE4 <sup>a</sup>                | 53/75                | 30/46                |
| CSF A $\beta_{1-42}$ <sup>b</sup> | 153.6 (41.4)         | 139.7 (38.1)         |
| CMRglc <sup>c</sup>               | 1.21 (0.22)          | 1.21 (0.10)          |
| Stable MCI                        |                      |                      |
| Age range, median ( $\pm$ SD)     | 75.4 ( $\pm 6.8$ )   | 73.6 ( $\pm 8.3$ )   |
| Caucasian/Asian/African American  | 70/2/3               | 38/2/-               |
| Handedness (right/left)           | 70/5                 | 35/5                 |
| Median education ( $\pm$ SD)      | 16 ( $\pm 3$ ) years | 16 ( $\pm 3$ ) years |
| APOE4 <sup>a</sup>                | 34/75                | 13/40                |
| CSF A $\beta_{1-42}$ <sup>b</sup> | 143.4 (41.5)         | 178.6 (71.8)         |
| CMRglc <sup>c</sup>               | 1.30 (0.10)          | 1.34 (0.12)          |

<sup>a</sup> APOE4: at least one copy of apolipoprotein E epsilon 4

<sup>b</sup> CSF A $\beta_{1-42}$ :  $\beta$ -amyloid (A $\beta$  1-42) concentration in the cerebrospinal fluid (picograms/ml)

<sup>c</sup> CMRglc: 18-fluoro-deoxy-glucose (FDG)-PET cerebral metabolic rate of glucose consumption in the frontal, parietal, and temporal cortices normalized to pons

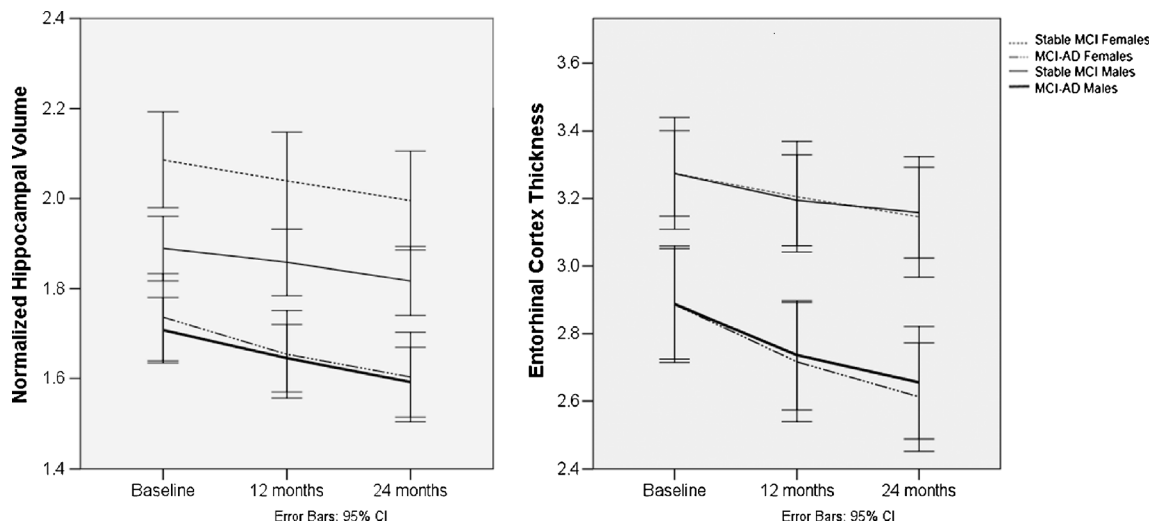
of CDR-SB ( $F = 100.8$ ,  $\eta^2_p = 0.50$ ,  $p < 0.001$ ), a significant time-by-clinical course (stable MCI versus MCI-AD progression) interaction on the trajectory of CDR-SB ( $F = 79.4$ ,  $\eta^2_p = 0.44$ ,  $p < 0.001$ ), but no other significant interactions.

### MR imaging

Time 1 and time 2 brain MRIs were available in all 236 subjects; time 3 brain MRIs were available in 196 subjects (88 MCI-AD progression patients, 51 males; 108 stable MCI patients, 72 males). The mixed general linear model with Huynh-Feldt correction for sphericity violation was performed to evaluate the effects of gender, APOE4, and disease course on longitudinal HV and ERC.

### Hippocampus

HV was the dependent variable of interest in this analysis (Figs. 1 and 2). Please see Table 2 for a summary of HV measurements in patients stratified by gender and clinical course. We found a significant HV decline over time ( $F = 223.1$ ,  $\eta^2_p = 0.54$ ,  $p < 0.001$ ), a significant time-by-APOE4 interaction on the trajectory of the HV ( $F = 14.7$ ,  $\eta^2_p = 0.07$ ,  $p < 0.001$ ), with worse atrophy over time in APOE4-positive patients. There was also a significant time-by-gender ( $F = 5.1$ ,  $\eta^2_p = 0.03$ ,  $p = 0.007$ ) and a significant time-by-clinical course interactions ( $F = 5.3$ ,  $\eta^2_p = 0.03$ ,  $p = 0.006$ ). The interaction between gender and APOE and



**Fig. 1** Average ( $\pm 95\%$  confidence interval) normalized hippocampal volume and entorhinal cortex thickness of patients stratified by gender and clinical course (MCI stable mild cognitive impairment over 4 years, MCI-AD progression from MCI to Alzheimer's disease)

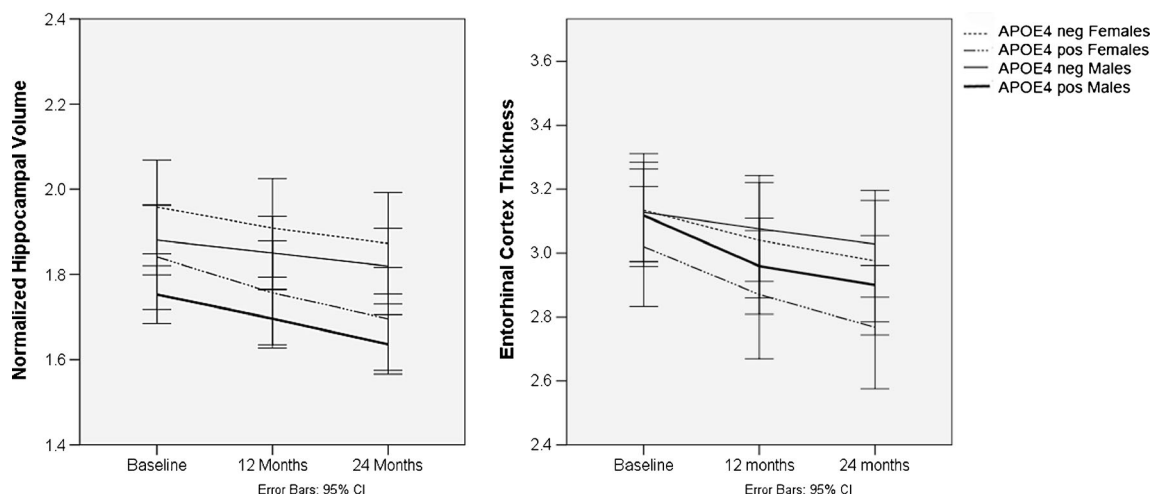
between gender, APOE, and clinical course was not significant. Post hoc tests revealed that HVs were significantly lower in men than in women ( $p = 0.03$ ). Evaluation of gender-by-APOE4 interaction revealed a trend toward lower HV in APOE4-positive men than women ( $p = 0.08$ ). Evaluation of gender-by-clinical course interaction revealed significantly lower HV in men than in women with stable MCI ( $p = 0.003$ ), but there were no significant differences between men and women with MCI-AD progression. HVs were also lower in men with MCI-AD progression than in men with stable MCI ( $p = 0.001$ ) and in women with MCI-AD progression than in women with stable MCI ( $p < 0.001$ ).

### Entorhinal cortex

ERC was the dependent variable of interest in this analysis. Please see Table 2 for a summary of ERC measurements in

patients stratified by gender and clinical course. We found a significant longitudinal decrease in ERC ( $F = 131.3$ ,  $\eta^2_p = 0.44$ ,  $p < 0.001$ ), a significant interaction between time and clinical course on the trajectory of the ERC W scores ( $F = 10.8$ ,  $\eta^2_p = 0.06$ ,  $p < 0.001$ ). There was also a significant time-by-APOE4 interaction ( $F = 7.1$ ,  $\eta^2_p = 0.04$ ,  $p = 0.001$ ), with worse atrophy over time in APOE4-positive patients, but no significant time-by-gender interaction. The interactions between gender and APOE; gender and clinical course; and gender, APOE, and clinical course were not significant. Post hoc analyses revealed that ERC was significantly lower in individuals with MCI-AD progression than in those with stable MCI ( $p < 0.001$ ).

Next, we tested the hypothesis that prediction models of MCI-AD progression differ between men and women. For this purpose, we performed a stepwise discriminant analysis with HV, ERC thickness, and APOE4 status as predictors of



**Fig. 2** Average ( $\pm 95\%$  confidence interval) hippocampal volume and entorhinal cortex thickness of patients stratified by gender and apolipoprotein E  $\epsilon 4$  status (APOE4 neg no apolipoprotein E  $\epsilon 4$  allele, APOE4 pos at least one apolipoprotein E  $\epsilon 4$  allele)



**Table 2** Average hippocampal volume (HV) and entorhinal cortex thickness (ERC) (standard deviation) in stable MCI and MCI-AD progression

|     | MCI-AD conversion                   |                      | Female (N = 46)                     |  | p value <sup>a</sup> |
|-----|-------------------------------------|----------------------|-------------------------------------|--|----------------------|
|     | Male (N = 75)                       | Time 1/time 2/time 3 | Time 1/time 2/time 3                |  |                      |
| HV  | 1.71 (0.26)/1.65 (0.27)/1.59 (0.28) |                      | 1.74 (0.29)/1.65 (0.29)/1.60 (0.30) |  | 0.867                |
| ERC | 2.89 (0.54)/2.74 (0.54)/2.66 (0.55) |                      | 2.89 (0.47)/2.71 (0.48)/2.61 (0.43) |  | 0.821                |
|     | Stable MCI                          |                      | Female (N = 40)                     |  | p value <sup>a</sup> |
|     | Male (N = 75)                       | Time 1/time 2/time 3 | Time 1/time 2/time 3                |  |                      |
| HV  | 1.89 (0.31)/1.86 (0.32)/1.81 (0.33) |                      | 2.09 (0.31)/2.04 (0.31)/2 (0.32)    |  | 0.003                |
| ERC | 3.27 (0.53)/3.20 (0.56)/3.16 (0.56) |                      | 3.27 (0.46)/3.20 (0.45)/3.14 (0.50) |  | 0.922                |
|     | Females                             |                      | Stable MCI (N = 40)                 |  | p value <sup>a</sup> |
|     | MCI-AD progression (N = 46)         | Time 1/time 2/time 3 | Time 1/time 2/time 3                |  |                      |
| HV  | 1.74 (0.29)/1.65 (0.29)/1.60 (0.30) |                      | 2.09 (0.31)/2.04 (0.31)/2 (0.32)    |  | <0.001               |
| ERC | 2.89 (0.47)/2.71 (0.48)/2.61 (0.43) |                      | 3.27 (0.46)/3.20 (0.45)/3.14 (0.50) |  | <0.001               |
|     | Males                               |                      | Stable MCI (N = 75)                 |  | p value <sup>a</sup> |
|     | MCI-AD progression (N = 75)         | Time 1/time 2/time 3 | Time 1/time 2/time 3                |  |                      |
| HV  | 1.71 (0.26)/1.65 (0.27)/1.59 (0.28) |                      | 1.89 (0.31)/1.86 (0.32)/1.81 (0.33) |  | 0.001                |
| ERC | 2.89 (0.54)/2.74 (0.54)/2.66 (0.55) |                      | 3.27 (0.53)/3.20 (0.56)/3.16 (0.56) |  | 0.001                |

<sup>a</sup> Post hoc analyses results of patients stratified by gender and clinical course after Bonferroni correction for multiple comparisons

MCI-AD progression. For these analyses, we used structural imaging metrics obtained *at baseline*, when all patients were deemed to have mild cognitive impairment but did not meet diagnostic criteria for dementia. In the female cohort, HV (discriminant function coefficient = 0.89) and APOE4 (discriminant function coefficient = 0.42), but not ERC, contributed to the prediction model ( $\chi^2 = 37.3$ ,  $p < 0.001$ ), with correct classification of 71.7 % of MCI-AD converters. In the male cohort, ERC (discriminant function coefficient = 0.84) and APOE4 (discriminant function coefficient = 0.57), but not HV, contributed to the prediction model ( $\chi^2 = 29.8$ ,  $p < 0.001$ ), with correct classification of 72 % of MCI-AD converters.

## Discussion

We evaluated the longitudinal course of HV and ERC thickness in stable MCI and MCI-AD progression over 2 years. We found a significant effect of gender, APOE4 status, and clinical course on HV. HVs were lower in MCI-AD converters, APOE4 carriers, and in men. On the other hand, only the clinical course and APOE4, but not gender, were found to have an effect on ERC.

Gender dimorphism occurs in AD in multiple domains, including incidence, cognition, language ability, behavior, and brain structure [24, 48]. The reasons for gender differences in AD remain incompletely understood and may be related to postmenopausal estrogen reduction, differences in brain reserve capacity, cortical cytoarchitecture, brain size, neuron count, or sex differences in AD pathology [24, 49–51]. We found that gender had a significant effect on the

degree of mesial temporal atrophy in MCI and early AD. Longitudinal HV measurements were greater in women with stable MCI than in all the other groups, including men with stable MCI. While sex differences in HV existed in the stable MCI cohort, HV was comparable between women and men with MCI-AD progression. Conversely, ERC was not influenced by the gender. Our results show that HV measurements obtained at the clinical disease stage of MCI may be a stronger structural predictor of MCI-AD progression in women than in men. Conversely, only ERC and APOE4, but not HV, were found to be strong predictors of MCI-AD progression in the male group. This suggests that there is a stronger association between hippocampal atrophy and clinical manifestations of AD in women than in men.

Few longitudinal studies have assessed sex differences in brain atrophy in aMCI and AD [29, 31]. Hua et al. evaluated the progression of whole-brain atrophy and temporal lobe atrophy over 12 months in a large sample of elderly controls, aMCI, and AD patients and found greater brain atrophy rate in aMCI females than in males [29]. Hua et al. did assess subgroups of aMCI patients with and without progression and found greater brain atrophy in converters than in non-converters, but the gender-by-clinical course interaction was not evaluated or reported. Skup et al. evaluated gender differences in brain volume between stable aMCI and AD patients using longitudinal structural MRI data [30]. In the aMCI cohort, Skup et al. found greater atrophy of the caudate nuclei, thalami, and right middle temporal gyrus in men than in women and greater atrophy of precuneus in females than in males, but there were no reported gender differences in hippocampal atrophy. The discrepancy between our results and those of Skup et al. may be explained by the use of different postprocessing

techniques. In this prior study, voxel-wise comparisons across the entire brain and 24 brain regions of interest were performed [30]. As a result, any differences in hippocampal atrophy between aMCI men and women may have been obscured by the use of corrections for multiple comparisons across the entire brain or large cerebral volumes. Furthermore, Skup et al. only evaluated clinically stable aMCI patients and specifically excluded MCI-AD converters. The novelty of our approach compared to these previous studies is twofold. First, our analysis focused on the longitudinal effects of gender and APOE4 on two practical and clinically relevant structural imaging metrics, widely used in clinical trials. Secondly, we evaluated amnesic MCI patients who were clinically stable and MCI-AD converters, a group who may theoretically benefit from early therapeutic interventions, and we studied the gender-by-clinical course interaction.

Figure 2 shows longitudinal HV and ERC W scores in males and females with and without the APOE4 allele. There was a significant effect of APOE4 on HV and ERC thickness, with lower HV and ERC in APOE4 carriers than non-carriers. These findings are in agreement with prior studies on the effect of APOE4 on hippocampal and ERC atrophy in MCI and AD [21, 23, 31, 52–59]. Despite a large number of studies that examined the effect of APOE4 on imaging biomarkers of AD, the effects of the gender-by-APOE4 interaction on the manifestations of AD remain not well understood [60]. An autopsy study found that female APOE4 carriers are at higher risk of greater amyloid plaque and neurofibrillary tangle pathology [61]. Another autopsy study revealed an interaction between APOE4 and gender on amyloid plaque formation in females between the ages of 60 and 79, but not in older women, while an association between APOE4 and greater amyloid plaque formation in men of all ages [62]. While the pathology literature provides evidence of a gender-by-APOE4 interaction and it is known from epidemiology reports that women are at greater risk for AD dementia, the mechanisms of the gender-by-APOE4 interaction remain unknown. The interaction between gender, APOE, and pathophysiology of AD may certainly be sex hormone mediated, and hormone replacement therapy may play a role [60]. Fleischer et al. have evaluated the relationship between HV, gender, and numbers of copies of the APOE allele  $\epsilon 4$  in MCI [33]. In agreement with our results, the authors also reported significantly smaller normalized HV in homozygote APOE4-positive males than females, although there were no significant gender-related differences between heterozygote APOE4-positive MCI patients. Liu et al. explored the effect of APOE4 on regional cortical thickness and brain volume in MCI and AD using cross-sectional MRI data [34]. In the female MCI group, the volume of the amygdala was smaller in APOE4-carriers than non-carriers. Furthermore, in the

male MCI group, more widespread volumetric differences were found between carriers and non-carriers, with lower volumes of the hippocampi, amygdala, deep gray nuclei, as well as regions of the occipital, temporal, and frontal lobes [50]. Although we did not find a significant effect of the gender-by-APOE4 interaction on HV and ERC thickness, we also found a trend toward lower HV in APOE4-positive men than women. Holland et al. examined the effect of age, APOE4, and gender on annual brain atrophy rates and clinical decline [31]. They found that the annual atrophy rates of ERC and HV were higher in MCI and AD women and in APOE4 carriers. Although we did not assess annual atrophy rates in our cohort, our results imply faster HV declines in women along the MCI-AD continuum. In fact, we found that HV was greater in women than in men with mild cognitive symptoms. However, gender differences were attenuated in more severely impaired subjects with early AD dementia. Overall, evidence from the literature and our findings suggest that APOE4 and gender may independently and cooperatively influence the AD phenotype.

A study limitation was the uneven gender balance between groups, a bias present in the ADNI MCI cohort. Also, despite the ADNI study including a large patient cohort, sample size in certain subgroups is suboptimal. Specifically, the inclusion of only 13 APOE4-positive women with stable MCI is not ideal when trying to assess the effect of the female gender, APOE4, and clinical course on mesial temporal atrophy. History of hormone replacement therapy and menopausal age was not available. We were unable to investigate in detail the relationship between structural MR metrics and other established AD biomarkers because CSF and PET data were available only for about half of the patients. Future investigations will clarify whether current AD biomarker models should be modified to take into consideration gender differences and the gender-by-APOE interaction.

## Conclusions

We found that the severity of mesial temporal atrophy during the progression from MCI to AD, especially hippocampal atrophy, is strongly influenced by gender and APOE genotype. Furthermore, there may be a role for gender-specific imaging paradigms to predict MCI-AD progression. In fact, the MCI-AD progression prediction model for the female group included HV and APOE4 status. Conversely, ERC and APOE4, but not HV, were predictors of AD progression in males. Gender- and APOE-related differences should be taken into account when using structural MR indices, specifically HV and ERC, as markers of AD diagnosis, to monitor progression and treatment response. Future studies should

investigate whether gender differences in AD warrant gender-specific preventive or therapeutic strategies.

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**Compliance with ethical standards** We declare that all human and animal studies have been approved by the Medical University of South Carolina Institutional Review Board and have therefore been performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki and its later amendments. We declare that all patients gave informed consent prior to inclusion in this study.

**Conflict of interest** We declare that we have no conflict of interest.

## Appendix 1

**ADNI study protocol summary** Enrolled subjects were between 55 and 90 (inclusive) years of age, had a study partner able to provide an independent evaluation of functioning, and spoke either English or Spanish. All subjects were willing and able to undergo all test procedures including neuroimaging and agreed to longitudinal follow up. Between 20 and 50 % must have been willing to undergo two lumbar punctures, spaced 1 year apart. Specific psychoactive medications were excluded. General inclusion/exclusion criteria for the MCI subjects enrolled in the ADNI 1 study were as follows:

**MCI subjects** MMSE scores between 24 and 30 (inclusive), a memory complaint, have objective memory loss measured by education-adjusted scores on Wechsler Memory Scale Logical Memory II, a CDR of 0.5, absence of significant levels of impairment in cognitive domains other than memory, essentially preserved activities of daily living, and an absence of dementia.

All subjects underwent clinical/cognitive assessments and 1.5 T structural MRI at specified intervals (6 or 12 months) for 2–3 years. Approximately 50 % of the subjects also had FDG PET scans at the same time intervals. MCI subjects at high risk for conversion to AD ( $n=400$ ) were studied at 0, 6, 12, 18, 24, and 36 months. All MRI and PET scans were rapidly assessed for quality so that subjects

may be rescanned if necessary. All clinical data were collected, monitored, and stored by the Coordinating Center at the ADCS. University of Pennsylvania collected biomarker samples. All raw and processed image data were archived at LONI.

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