

Supplementary Data

Targeted Recruitment Using Cerebrospinal Fluid Biomarkers: Implications for Alzheimer's Disease Therapeutic Trials

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For a two-sample comparison of means, the required sample size is proportional to:

$$\frac{\text{Var}(X_1) + \text{Var}(X_0)}{\Delta^2}$$

where $\text{Var}(X_1)$ and $\text{Var}(X_0)$ denote the variance of the outcome measure in the treated and untreated groups respectively, and Δ is the difference in mean outcome between treatment groups. Let X_{low} , X_{high} , and X_{all} , denote the outcome in low- $A\beta$ level subjects, high- $A\beta$ level subjects, and all MCI subjects respectively. Further, let Δ_{low} and Δ_{all} denote the assumed treatment effects (difference in means) in a trial recruiting only low $A\beta$ -MCIs and all MCIs respectively.

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²Performed statistical analysis.

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We now derive the ratio of required sample sizes for a low- $A\beta$ targeted trial to the sample size for an all MCI trial, according to three alternative assumptions regarding the effect of a putative treatment:

- a) if treatment reduces the mean outcome proportionately by 100k% in the low- $A\beta$ and by 100k% in the high- $A\beta$, i.e., $\Delta_{low} = k\bar{X}_{low}$ and $\Delta_{all} = k\bar{X}_{all}$, the ratio is:

$$\frac{\frac{\text{Var}(X_{low}) + \text{Var}(X_{low})}{(k\bar{X}_{low})^2}}{\frac{\text{Var}(X_{all}) + \text{Var}(X_{all})}{(k\bar{X}_{all})^2}} = \frac{\text{Var}(X_{low})/\bar{X}_{low}^2}{\text{Var}(X_{all})/\bar{X}_{all}^2}$$

- b) if treatment reduces mean outcome by an amount $k\bar{X}_{low}$, irrespective of whether $A\beta$ is high or low, i.e. $\Delta_{low} = k\bar{X}_{low}$ and $\Delta_{all} = k\bar{X}_{low}$, the ratio is:

$$\frac{\frac{\text{Var}(X_{low}) + \text{Var}(X_{low})}{(k\bar{X}_{low})^2}}{\frac{\text{Var}(X_{all}) + \text{Var}(X_{all})}{(k\bar{X}_{low})^2}} = \frac{\text{Var}(X_{low})}{\text{Var}(X_{all})}$$

- c) if treatment benefits low- $A\beta$ subjects only (by reducing mean outcome by $k\bar{X}_{low}$) but not high- $A\beta$ subjects, i.e., $\Delta_{low} = k\bar{X}_{low}$ and $\Delta_{all} = p k\bar{X}_{low}$, where p denotes the proportion of low- $A\beta$ subjects, the ratio is:

$$\frac{\frac{\text{Var}(X_{low}) + \text{Var}(X_{low})}{(k\bar{X}_{low})^2}}{\frac{\text{Var}(X_{all}) + p\text{Var}(X_{low}) + (1-p)\text{Var}(X_{high}) + p(1-p)(\bar{X}_{high} - (1-k)\bar{X}_{low})^2}{(pk\bar{X}_{low})^2}}$$

This ratio is always less than p , but unlike in scenarios a) and b), the ratio depends on k . However, for small k (i.e., small treatment effects) it is approximately equal to:

$$\frac{\frac{\text{Var}(X_{low}) + \text{Var}(X_{low})}{(k\bar{X}_{low})^2}}{\frac{\text{Var}(X_{all}) + \text{Var}(X_{all})}{(pk\bar{X}_{low})^2}} = p^2 \frac{\text{Var}(X_{low})}{\text{Var}(X_{all})}$$

and hence again is independent of k .