

# BIOSTATISTICS CORE ADNI 2 SUMMARY & ADNI3 PLANS

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# ADNI2 Results: Highlights

- The Biostatistics Core integrates data from all Cores to address implications for clinical trial design:
  - Comparing candidate biomarkers for potential for inclusion/exclusion, stratification, adjustment
    - Predictors of disease progression (to MCI or to AD)
    - Predictors of cognitive and functional decline
  - Comparing candidate biomarkers as outcome measures of change
    - Signal-to-noise ratio of change over 1-2 years
    - Correlation of change in biomarker with cognitive or functional change
  - Characterizing sequence of change, especially in preclinical and early stages
  - Identifying important subgroups in MCI

# Predictors of progression from MCI to AD within 24 months

| Marker          | Effect Size |      |        |     |
|-----------------|-------------|------|--------|-----|
| FDG-R-UCB       | 1.19        | Blue |        |     |
| AV45-R-UCB      | 1.06        | Blue |        |     |
| Entr thickness  | 1.00        | Blue |        |     |
| Hpc vol         | 0.93        | Blue | Orange |     |
| CSF pTau        | 0.92        | Blue | Orange |     |
| CSF abeta       | 0.91        | Blue | Orange |     |
| CSF tau         | 0.87        | Blue | Orange |     |
| Entr vol        | 0.71        | Blue | Orange | Red |
| Ventricles vol  | 0.38        | Blue | Orange | Red |
| Whole brain vol | 0.30        |      | Orange | Red |
| W mat hyp       | 0.22        |      |        | Red |

- Measures with highest effect size for predicting progression are at top
- Effect size: how many SD separate the means for those that progress and those that do not
- Measures sharing colored bar are not significantly different after multiple comparisons

# Predictors of change in ADAS-Cog in MCI (n=328)

| Marker          | Correlation | p-value |  |  |  |  |  |  |
|-----------------|-------------|---------|--|--|--|--|--|--|
| FDG-R-UCB       | -0.32       | <0.01   |  |  |  |  |  |  |
| Entr thickness  | -0.25       | <0.01   |  |  |  |  |  |  |
| AV45-R-UCB      | 0.22        | <0.01   |  |  |  |  |  |  |
| CSF pTau        | 0.19        | <0.01   |  |  |  |  |  |  |
| CSF tau         | 0.18        | <0.01   |  |  |  |  |  |  |
| CSF abeta       | -0.15       | <0.01   |  |  |  |  |  |  |
| Hpc vol         | -0.14       | <0.01   |  |  |  |  |  |  |
| Ventricles vol  | 0.12        | 0.02    |  |  |  |  |  |  |
| Entr vol        | -0.09       | 0.12    |  |  |  |  |  |  |
| Whole brain vol | 0.003       | 0.96    |  |  |  |  |  |  |

- Many baseline markers correlated with increase in ADAS-Cog
- The same top 3 as for progression to AD
- Measures sharing colored bar are not different after multiple comparisons

# Promising biomarkers for prediction in MCI

- Three different brain markers have at least a 1-SD difference between the baseline means for those that progress and those that do not and also correlate ( $|r| \geq 0.2$ ) with ADAS-Cog change
  - FDG-PET summary measure (UC Berkeley)
  - AV45 cortical summary measure (UC Berkeley)
  - Entorhinal cortex thickness (UCSF, FreeSurfer)
- These markers, singly or in combination, could be used to improve clinical trial design by:
  - Inclusion of people more likely to progress
  - Exclusion of people more likely to stay stable, or
  - Stratifying by risk group

# Assessing biomarkers in NC is harder

- Prediction of short-term progression to MCI is much weaker than MCI to AD
- Short-term change in ADAS-Cog is smaller and more variable, so harder to predict
- Instead, will see what does change

# Signal-to-noise properties of 1-year change in NC

| Marker               | n/group |  |  |  |  |  |  |  |  |
|----------------------|---------|--|--|--|--|--|--|--|--|
| CSF Abeta rate       | 13546   |  |  |  |  |  |  |  |  |
| WMHYP rate           | 7382    |  |  |  |  |  |  |  |  |
| AV45 rate            | 6873    |  |  |  |  |  |  |  |  |
| Entr volume rate     | 3810    |  |  |  |  |  |  |  |  |
| TOTAL13 rate         | 3223    |  |  |  |  |  |  |  |  |
| Hippocampal vol rate | 3173    |  |  |  |  |  |  |  |  |
| CDR-sb rate          | 2880    |  |  |  |  |  |  |  |  |
| MMSE rate            | 1582    |  |  |  |  |  |  |  |  |
| CSF Tau rate         | 1548    |  |  |  |  |  |  |  |  |
| CSF PTau rate        | 1389    |  |  |  |  |  |  |  |  |
| Entr thickness rate  | 1130    |  |  |  |  |  |  |  |  |
| Whole brain vol rate | 947     |  |  |  |  |  |  |  |  |
| TBM rate             | 516     |  |  |  |  |  |  |  |  |
| Ventricles vol rate  | 397     |  |  |  |  |  |  |  |  |

- Sample size required for 1-yr trial in NC to detect 25% reduction in change
- Best precision (smallest sample size) at bottom
- Measures sharing colored bar are not significantly different after multiple comparisons

# Validating change in markers: correlation with ADAS-Cog change in NC (n=206)

| Marker          | Correlation | p-value |  |  |  |  |  |  |
|-----------------|-------------|---------|--|--|--|--|--|--|
| AV45-R-UCB      | 0.14        | 0.049   |  |  |  |  |  |  |
| Entr thickness  | -0.12       | 0.09    |  |  |  |  |  |  |
| Entr vol        | -0.12       | 0.10    |  |  |  |  |  |  |
| CSF abeta       | -0.08       | 0.25    |  |  |  |  |  |  |
| CSF ptau        | 0.08        | 0.28    |  |  |  |  |  |  |
| Hpc vol         | -0.07       | 0.33    |  |  |  |  |  |  |
| CSF tau         | -0.06       | 0.41    |  |  |  |  |  |  |
| FDG-R-UCB       | 0.05        | 0.46    |  |  |  |  |  |  |
| Ventricles vol  | -0.05       | 0.51    |  |  |  |  |  |  |
| Whole brain vol | -0.03       | 0.64    |  |  |  |  |  |  |

- Increase in AV45 correlated with increase in ADAS-Cog
- No other association is significant
- Measures sharing colored bar are not significantly different after multiple comparisons

# Promising markers for prediction in NC

- Less consistent than in MCI
- Some imaging measures have promising signal-to-noise ratios
  - Ventricular volume (FreeSurfer, UCSF)
  - TBM (Mayo)
  - Not as correlated with change in ADAS-Cog
- Baseline AV45 summary measure most correlated with change in ADAS-Cog
- Best marker to use in NC depends on goal

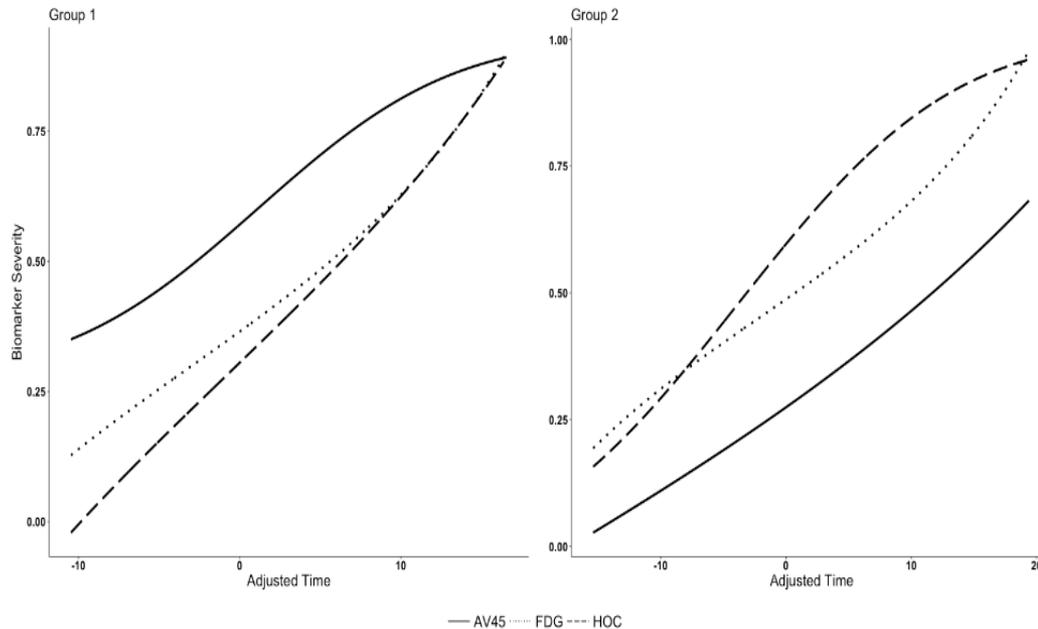
# What about sequences of change in markers in NC and MCI?

- Clustering methods developed by Teresa Filshtein
- Utilizes longitudinal sequence of multiple markers to group “similar” people
- Applied to 339 participants (106 NC and 233 MCI) from ADNI-GO/ADNI-2
- Based on 3 markers
  - Hippocampal Occupancy (HOC; derived from UCSF FreeSurfer)
  - AV45 SUVR Summary Measure (UC Berkeley)
  - FDG-PET Summary Measure (UC Berkeley)
- Each measurement type transformed via weighted empirical distribution to get pseudo-centiloid measures (on 0 to 1 scale; 0=normal, 1=fully realized dementia)

# Determining number of clusters

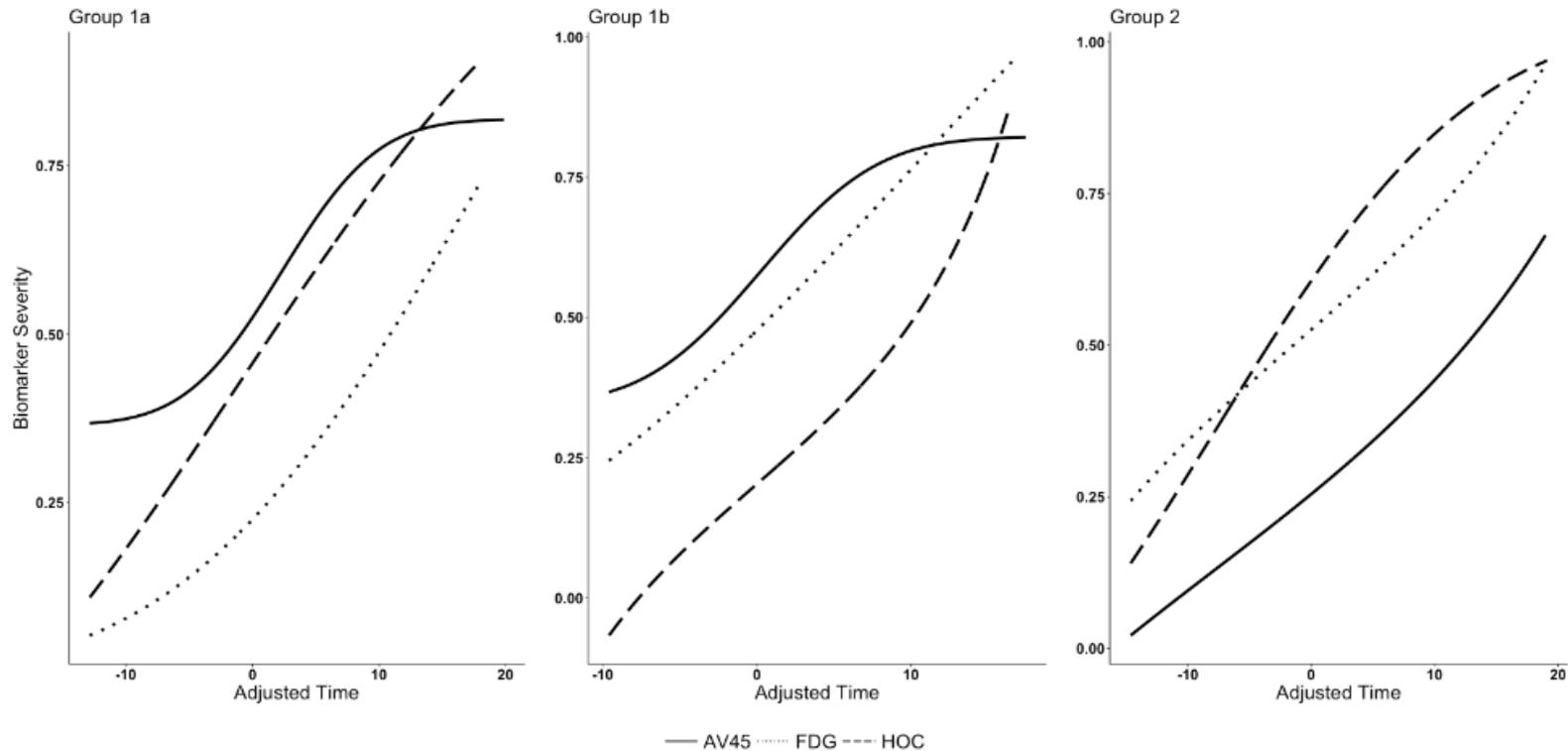
- Caliskin and Harabatz criterion – identified two syndromes
- Clinical relevance
  - Adapted a technique from Mike Donohue's approach for estimating trajectories within a single well-defined syndrome.
  - Technique identified 3 subgroups (essentially split one of the C-H groups)

# Caliskan-Harabatz grouping



- Two syndromes
- Left side: amyloid 1<sup>st</sup>, then FDG, then HOC (54% of sample)
- Right side: FDG and HOC first, then amyloid (46% of sample)

# Clinical relevance grouping

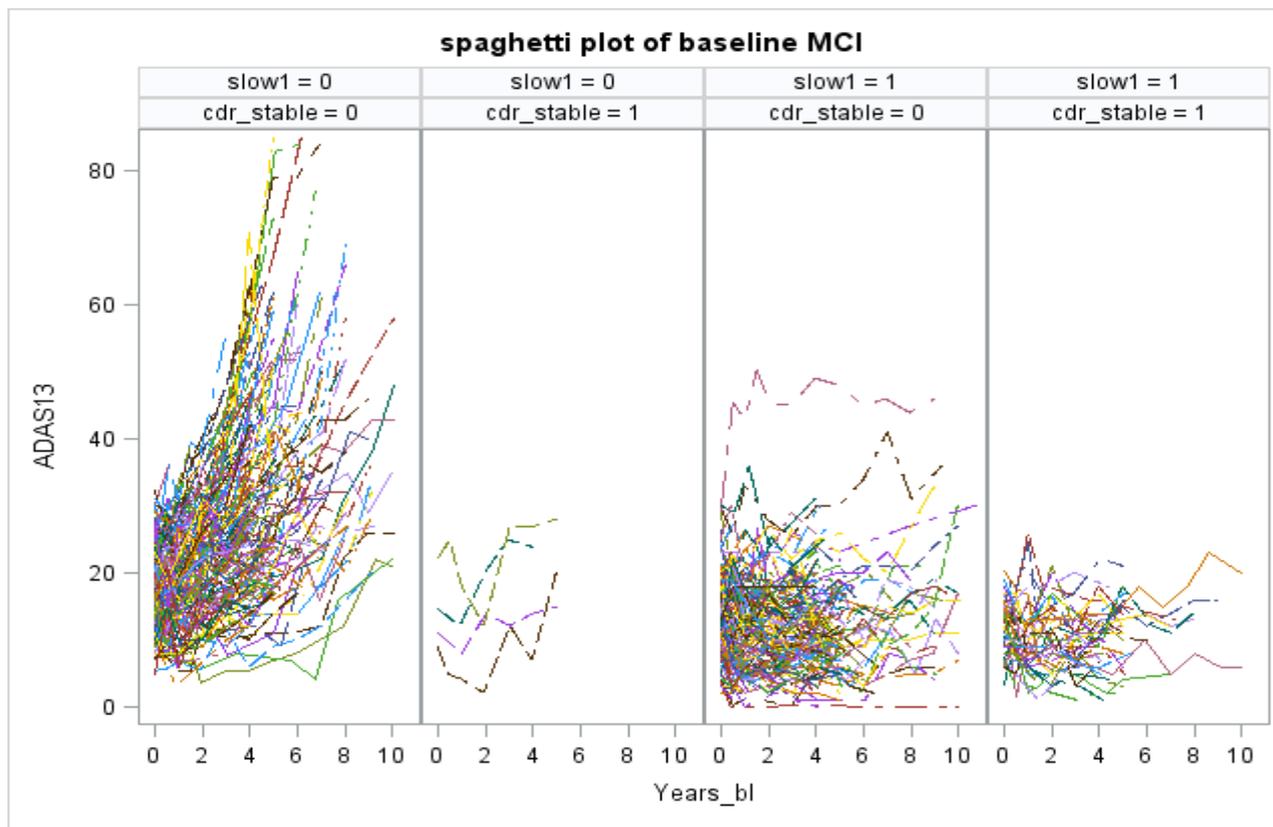


- Left side: amyloid first, then HOC, then FDG (31% of sample)
- Center: amyloid and FDG first, then HOC (29% of sample)
- Right side: FDG and HOC first, then amyloid (40% of sample)

# What about subgroups in MCI?

- Interested in subgroup of MCI that remains stable
- Considered MCI (at baseline) from ADNI-1, GO, 2 (includes EMCI)
- Computed person-specific slopes in ADAS-Cog
  - Split according to slow changer (slope  $< 1$ ) or not
- Further evaluated change in CDR Sum of Boxes (stable=1<sup>st</sup> and last score equal)

# Spaghetti plots of ADAS-Cog

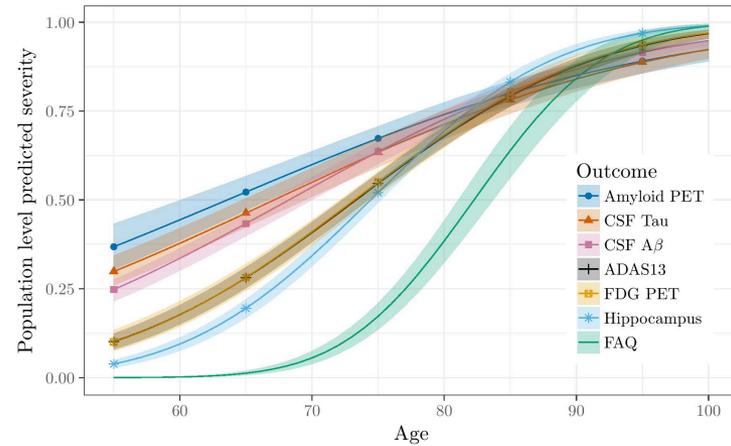
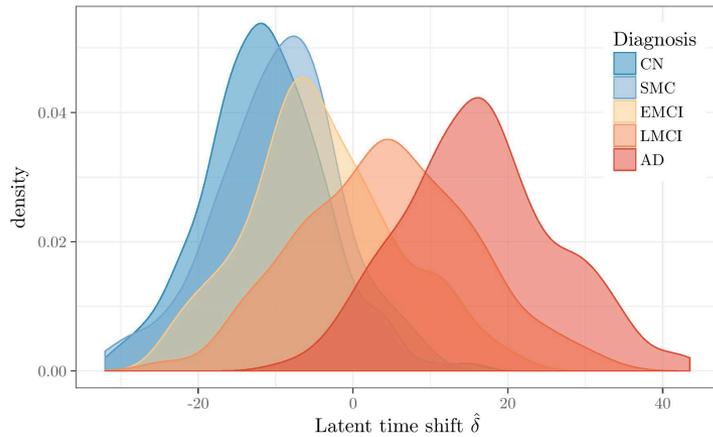


Which markers differ between those that remain stable and those that change? Something informative/protective in those that remain stable?

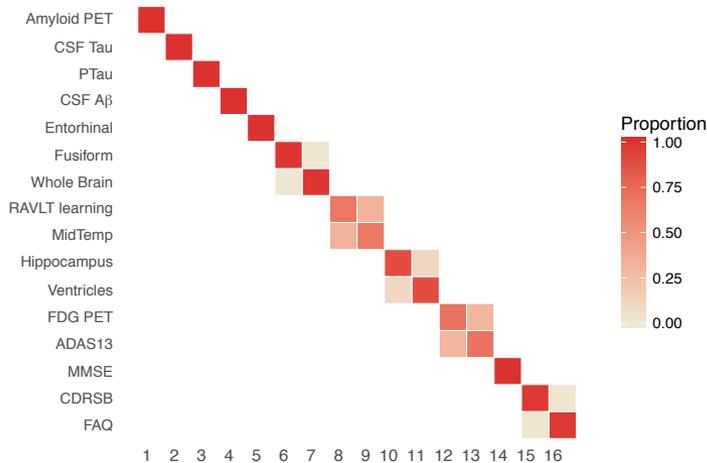
# ADNI3: toward better clinical trials

- We will assess new candidate markers (including from tau imaging), looking for markers with:
  - Sensitivity to change in early disease (at baseline, over time)
  - Good signal-to-noise properties
  - Correlated with relevant clinical change
  - Plausibility as surrogate marker and intervention target
- Also consider new clinical outcome measures (such as CogState) and how they might help in early disease
  - Sensitivity to early change
  - Good signal-to-noise properties

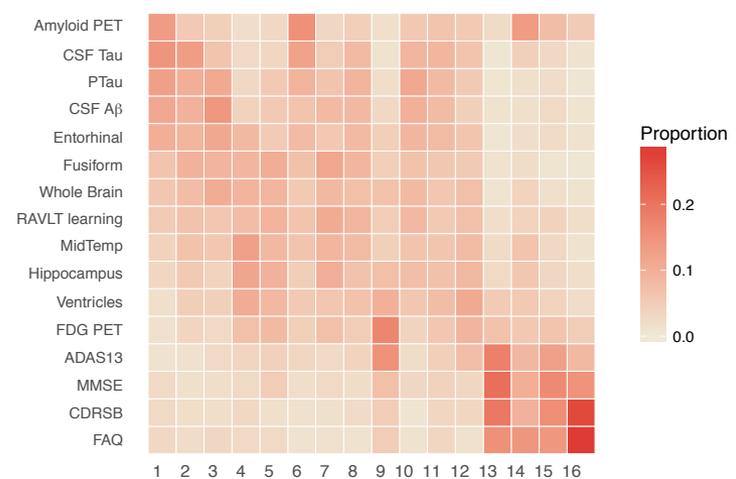
# Bayesian Latent Time Joint Mixed-Models



Population-level predicted order



Subject-level predicted order (*APOE* $\epsilon$ 4+)



Submitted to *Stat Meth Med Res*. Preprint:

<https://arxiv.org/abs/1703.10366>

# AAIC Workshop on Clin Trials Methods

Friday July 14 8am-5pm

- Longitudinal data analysis, MMRM, Missing Data
  - Mike Donohue, USC
- Simulation and Trial Enrichment
  - Jeannie-Marie Leoutsakos, Johns Hopkins
- Adaptive Trial Design
  - Joyce Chang, University of Pittsburgh
- Expedition trial design: Delayed Start Analyses
  - Hong Liu-Seifert, Eli Lilly