NEUROSCIENCE

RESEARCH ARTICLE

A. Mehmood et al. / Neuroscience xxx (2021) xxx-xxx

A Transfer Learning Approach for Early Diagnosis of Alzheimer's Disease on MRI Images $\stackrel{\circ}{\sim}$

Atif Mehmood, ^a Shuyuan yang, ^a* Zhixi feng, ^a Min wang, ^b AL Smadi Ahmad, ^a Rizwan khan, ^c Muazzam Maqsood ^d and
 Muhammad Yaqub ^e

7 ^a School of Artificial Intelligence, Xidian University, Xi'an 710126, China

⁸ ^b Key Laboratory of Radar Signal Processing, Xidian University, Xi'an 710126, China

9 ^c School of Electronic Information and Communications, HUST University, Wuhan 4370074, China

10 ^d Department of Computer Science, COMSATS University Islamabad, Attock Campus, Attock 43600, Pakistan

^e Faculty of Information Technology, Beijing University of Technology, Beijing 10000, China

12 Abstract—Mild cognitive impairment (MCI) detection using magnetic resonance image (MRI), plays a crucial role in the treatment of dementia disease at an early stage. Deep learning architecture produces impressive results in such research. Algorithms require a large number of annotated datasets for training the model. In this study, we overcome this issue by using layer-wise transfer learning as well as tissue segmentation of brain images to diagnose the early stage of Alzheimer's disease (AD). In layer-wise transfer learning, we used the VGG architecture family with pre-trained weights. The proposed model segregates between normal control (NC), the early mild cognitive impairment (EMCI), the late mild cognitive impairment (LMCI), and the AD. In this paper, 85 NC patients, 70 EMCI, 70 LMCI, and 75 AD patients access form the Alzheimer's Disease Neuroimaging Initiative (ADNI) database. Tissue segmentation was applied on each subject to extract the gray matter (GM) tissue. In order to check the validity, the proposed method is tested on preprocessing data and achieved the highest rates of the classification accuracy on AD vs NC is 98.73%, also distinguish between EMCI vs LMCI patients testing accuracy 83.72%, whereas remaining classes accuracy is more than 80%. Finally, we provide a comparative analysis with other studies which shows that the proposed model outperformed the state-of-the-art models in terms of testing accuracy. © 2021 Published by Elsevier Ltd on behalf of IBRO.

Key words: Transfer learning, Alzheimer's disease, Image classification, Early diagnosis.

14

INTRODUCTION

Alzheimer's disease (AD) is a kind of brain disease,
causing dementia in the aged population. It is thought to
begin 15–20 years before syndromes arise. Syndromes
occur due to the destruction of neurons involved in
memory, thinking, and learning functions (Wee et al.,
2013). Over time, syndromes tend to escalate and
become intrusive with performing daily activities such as

*Corresponding author.

E-mail address: syyang@xidian.edu.cn (S. yang).

Abbreviations: EMCI, Early Mild Cognitive Impairment; LMCI, Late Mild Cognitive Impairment; GM, Gray Matter; WM, White Matter; LMCI, Mild cognitive impairment; MRI, Magnetic resonance image; CNN, Convolutional Neural Network.

planning family events, walking, and skill loss. At this 22 stage, cognitive decline is said to have dementia due to 23 Alzheimer's disease. The small changes in the brain that 24 progress normal control (NC) to mild cognitive impairment 25 (MCI) and ultimately reaches the last stage of AD (Zeng 26 et al., 2018). AD is the 6th leading cause of death in the 27 united states, official accounting for 121,404 deaths in 28 2017. It is predicted that 60 million people will be affected 29 by AD in the next 20 years. According to the World Alzhei-30 mer's Report, it will grow to 152 million patients in 2050 31 (Oh et al., 2019). The total estimated cost for long term 32 health care for dementia patients is about \$290 billion. 33 Researchers are ongoing early detection of AD to slow 34 down the abnormal degeneration of neurons of the brain. 35 it also produced the emotional and financial benefit for the 36 patient family (Mehmood et al., 2020). Brain imaging 37 modalities used for AD diagnose, such as functional mag-38 netic resonance imaging (fMRI), magnetic resonance 39 imaging (MRI), single-photon emission computed tomog-40 raphy (SPECT), positron emission tomography (PET), 41 and computed tomography (CT). If we compare these 42

https://doi.org/10.1016/j.neuroscience.2021.01.002

0306-4522/© 2021 Published by Elsevier Ltd on behalf of IBRO.

^A Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (http://adni.loni.usc.edu/). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: http://adni.loni.usc.edu/wp-content/uploads/howtoapply/ADNIAcknowl-edgementList.pdf.

2

A. Mehmood et al. / Neuroscience xxx (2021) xxx-xxx

modalities MRI images generally available in a standard-43 ized form for clinical practice (Bi et al., 2019). The 44 researcher developed functional connectivity modeling 45 for AD diagnose, such as sparse representation method, 46 graphical methods, and partial correlation-based tech-47 nique (Yue et al., 2019). The cortical thickness, as well 48 as gray matter density, ventricles enlargements, and 49 50 brain atrophy, are used by researchers. On the other hand, three main tissue in brain images such as white 51 matter (WM), gray matter (GM), and cerebrospinal fluid 52 (CSF) is of fundamental importance. In contrast, 53 researchers found GM atrophy correlates more with cog-54 nitive decline in MCI (Khedher et al., 2015). 55

56 Mild cognitive impairment (MCI) is an intermediate point to damage the memory neurons, more likely to 57 progress dementia due to AD. The investigated six-year 58 conversion rate between MCI to AD is 80%, respectively 59 (Wang et al., 2019). It is an ongoing topic for AD-related 60 researchers to identify MCI patients that are further 61 divided into two stages, such as early mild cognitive 62 impairment (EMCI) and late mild cognitive impairment 63 (LMCI) (Wang et al., 2010). The diagnosis at an early 64 65 stage of NC and MCI provides the information to clinicians 66 for treatment and take decisions on time. It was also help-67 ful to reduce costs and offer longtime care (Ahmed et al., 68 2017).

69 Previous researcher studies have shown that the 70 machine learning algorithm predicts better results for the classification of AD as compared to clinicians. The early 71 AD classification achievement of has been 72 demonstrated by the support vector machine (SVM) 73 (López et al., 2011). Recently, deep learning-based meth-74 ods such as sparse autoencoder and convolutional neural 75 network (CNN) provide optimal solutions for classification 76 in many domains such as computer vision, speech recog-77 nition, and natural language processing (Xu et al., 2019). 78 However, deep learning methods have some limitations 79 80 during training the model on scratch data because the model required a massive amount of annotated medical 81 images. Due to privacy and cost issues, a vast amount 82 of annotated data availability complicated, alternative 83 solution to overcome this issue by using transfer learning 84 techniques for classification on medical scans (Khan 85 et al., 2019). The concept behind transfer learning is to 86 87 use the pre-trained model on different problems with a 88 smaller dataset (Liu et al., 2019).

In this paper, we investigate the transfer learning 89 framework, which is based on the most profound CNN 90 architecture for classification of Alzheimer's images into 91 four classes: NC. EMCI. LMCI. and AD. 92 The 93 fundamental motivation behind transfer learning is to transfer features from nature images to Alzheimer's 94 images and introduce the new technique for the 95 classification of AD, which can assist the fresh 96 physicians in creating objective opinion and correct 97 diagnosis. Our primary purpose of getting state-of-the-98 art results by using a smaller quantity of a dataset 99 without overfitting. To fulfill this requirement, we used 100 the data augmentation technique (Mehmood et al., 101 2020), which helps us to avoid the overfitting problem, 102 and we achieve the desired results (Hernández-García 103

and König, 2018). We apply layer-wise transfer learning 104 on a deep CNN architecture, where we redesign the last 105 fully connected layer and classifier layer. The proposed 106 model is divided into two groups, gradually trained on 107 some layers, whereas the rest of the others are frozen. 108 Applying transfer learning in this way, we predict the best 109 results on binary classification such as NC, EMCI, LMCI, 110 and AD. Another prominent problem faced in previous 111 studies is to overcome the less training data issue and 112 check the robustness of transfer learning and avoid over-113 fitting. This study is based on GM scans obtain from MRI 114 which correlate more with cognitive performance to help 115 out the early diagnosis of AD. 116

RELATED WORK

In the last decades, many types of modalities are used for 118 disease prediction in medical fields. Positron Emission 119 Tomography (PET), MRI, and Diffusion Tensor Image 120 (DTI) are used by the researcher in Alzheimer's 121 neuroimaging tools for classification of AD stages 122 (McGeer, 1986), Recently many development ongoings 123 in the field of computer vision to extract useful features 124 by using a machine learning algorithm and developing 125 models for the detection and classification of Alzheimer's 126 disease. These models are working on manually 127 designed features, for this purpose required professional 128 expertise and the need to allocate maximum resources. 129 These approaches are divided into three main categories 130 such as support vector machine (SVM), regression-131 based, and Bayesian methods (Chaddad et al., 2018). 132 The SVM approach is generally used for classification 133 purposes. Many researchers used SVM to find out the 134 MCI conversion rate. Young et al. (2013) have been 135 developed gaussian processes for predicting stable mild 136 cognitive impairment (sMCI). Experimental results have 137 been shown 74% accuracy for the prediction of AD con-138 version between three years of sMCI and converted 139 MCI(cMCI). In Badakhshannoory and Saeedi (2011) this 140 study random forest classification algorithm used for 141 MCI classification and achieved 82.3% accuracy. Wang 142 et al. (2010) described during the training of these models 143 many shortcomings occur, several machine learning algo-144 rithms perform better results on binary classification, but 145 accuracy declined when applies on multi-classification 146 images. 147

Recently, deep learning (DL) techniques overcome 148 the limitation for many medical computer-aided 149 diagnosis (CAD) systems, to extract the discriminative 150 features automatically on the raw image data. In end to 151 end learning four major steps involved to make an 152 accurate prediction of diseases such as feature 153 extraction, segmentation, skull stripping, normalization, 154 and smoothing (Hosseini-Asl et al., 2016). Many architec-155 tures have been demonstrating classification results on 156 1000 categories in the ImageNet dataset (Deng et al., 157 2009). The initial won the ImageNet challenge with a 158 seven-laver convolutional neural network and developed 159 efficient GPU implementation. They produced a 10% 160 improvement as compared to the previous winner. He 161 et al. (2016) developed the Deep Residual Network 162

259

(DRN) to solved the degradation of training accuracy. 163 164 CNN requires a large number of training data, which is difficult to apply directly on medical imaging due to the short-165 age of annotated datasets (Kingma and Ba, 2019). Suk 166 et al. (n.d.) produced promising results on binary classifi-167 cation such as MCI vs NC, MCI converter, and stable MCI 168 by using a deep Boltzmann machine(DBM). They 169 170 obtained 95.35% accuracy using MRI and PET 171 modalities.

(Gupta et al., 2013) has been developed as a key 172 technique for AD classification. They used a sparse 173 autoencoder on natural image for learning the set of 174 175 bases and convolution applied for feature extraction on 176 MRI scans. The diagnostic classification in three categories: i) AD versus NC, ii) MCI versus NC, and iii) AD 177 178 versus MCI. In each task produced superior classification results such as 94.74%, 86.35%, and 88.10%. Payan and 179 Montana (2015) introduced the combination of a sparse 180 autoencoder and convolutional neural networks (CNNs) 181 182 to improved AD classification results. They investigated 2D and 3D convolution and obtained an accuracy of the 183 system was 92.11% on NC vs MCI classification. In other 184 185 recent work (Li et al., 2015), the same classification prob-186 lem has been investigated to identify different AD stages 187 on two modalities. Anthimopoulos et al. (2016) used 188 CNN architecture and shown an average success rate 189 of 85.61% and consumed significant efforts for labeling 190 the training data. However, when only a small training dataset available of medical scans. to create the overfit-191 ting problems (Lyndon et al., 2015). Shin et al. (2016) 192 shown the impact of transfer learning when applied to 193 medical image classification. During an investigation on 194 different modalities, they shown the fine-tuning processes 195 produced outperforms results. Chen et al. (2015) intro-196 duced the transfer learning strategy applied to localize 197 plans in ultrasound scans, which can transfer the knowl-198 edge on fewer layers. In researcher (Magsood et al., 199 200 2019) developed a transfer learning technique by utilizing a pre-trained model for multi-class classification of AD. 201 202 They achieved a 92.80% success rate on un-segmented scans. Aderghal et al. (2018) proposed a cross model 203 transfer learning technique to reduce the overfitting issue 204 during less number of training data. They trained the 205 206 model on structural MRI and transferred on the diffusion tensor imaging (DTI) dataset. They have been investi-207 gated the model on two modalities and attained a 92% 208 performance rate on NC vs AD, 85% AD vs MCI, and 209 80% on MCI vs NC. In (Phong et al., 2017) researcher 210 proposed three models based on LeNet, Inception 211 ResNet and GoogLeNet. During the training phase, they 212 213 train only fully connected layers of two models instead of scratch but LeNet train all layers on medical images. 214 They achieved very promising results in terms of accu-215 racy of 99.70%, 98.20%, and 99.20%. 216

EXPERIMENTAL PROCEDURES

218 Image dataset

217

Individuals data used in this study were collected from the
 Alzheimer's Disease Neuroimaging Initiative (ADNI)
 publically available database (http://adni.loni.usc.edu).

ANDI began in 2004 with the help of a public-private 222 partnership under control of Dr. Michael W. Weiner. The 223 primary aims of ADNI to analyze more authentic and 224 sensitive techniques on different biomarkers such as 225 MRI, PET, structural magnetic resonance imaging 226 (sMRI), and clinical assessment to measure the 227 progression of MCI and early stages of AD (Jack et al., 228 2019). Secondly, introduced the new innovative data-229 access policy without restraint to all researchers in the 230 world. In this research work, we used 300 T1-weighted 231 MRI subjects, and all demographic information related 232 to four groups such as normal control (NC), EMCI, LMCI, 233 and AD are shown in Table 1. 234

Dataset preprocessing operations

In this research work, we applied a complete pipeline for 236 preprocessing on the T1-weighted images taken from 237 the ADNI database. We used the statistical parameter 238 mapping (SPM12: https://www.fil.ion.ucl.ac.uk/ 239 spm/software/spm12/) for preprocessing and all data in 240 neuroimaging informatics technology initiative (NIFTI) 241 format. Our work focuses on gray matter (GM) because 242 GM segmentation of the brain would be useful to 243 demonstrate early changes in sporadic AD. During 244 preprocessing segmentation, applied on brain data and 245 dividing them into three major parts such as WM, GM, 246 and CSF (Young et al., 2013). During processing the bias 247 regularization set on very light regularization (0.0001), 248 bias full width at half maximum (FWHM) is 60 mm cutoff, 249 and the ICBM space template is used for affine regular-250 ization on all datasets. We used the Montreal Neurologi-251 cal Institute (MNI) space for spatial normalization. In this 252 study image, voxel size is (2 2 2), and finally, Gaussian 253 kernel used for smoothing the images. The shape of the 254 data samples after segmentation is 256 \times 240. We 255 resized all images and get the final images in the form 256 of 224 \times 224 that is used for training and testing in our 257 proposed model. 258

Convolutional neural networks and transfer learning

Convolutional neural networks (CNNs) are multilayered 260 structures working in a group form. These multilayered 261 include convolution layer, pooling layer, number of 262 consecutive fully connected, and lastly softmax layer. 263 The main mechanism of CNNs to extract the local 264 features with convolution layers from input data. These 265 low-level features are extracted through intermediate 266 layers and used in pattern recognition problems to build 267 high-level features (Sezer and Sezer, 2019). In artificial 268 neurons, each neuron is connected to the next laver of 269 over-weighted connections. The CNNs mechanism can 270 increase the depth and breadth size of those images 271 which have a complex structure (leracitano et al., 2019). 272 For the reduction of computational complexity, another 273 important CNN parameter is pooling layers, which is 274 mostly used with nonlinear function in the form of max 275 and min pooling. The pooling layer provides another ben-276 efit in term of prevention of overfitting in the model 277 because the amount of computation and parameters are 278 reduced (Feng et al., 2019). In many studies, the max-279

Please cite this article in press as: Mehmood A et al. A Transfer Learning Approach for Early Diagnosis of Alzheimer's Disease on MRI Images. Neuroscience (2021), https://doi.org/10.1016/j.neuroscience.2021.01.002

A. Mehmood et al. / Neuroscience xxx (2021) xxx-xxx

Table 1. Demographic and clinical information from the ADNI dataset. Total of 300 patients data used for this study based on four classes. N shows the number of subjects in each class. M and F represent the male and female subjects, ± standard deviations, and mini-mental state examination (MMSE) score

	NC	EMCI	LMCI	AD
Ν	85	70	70	75
Age	72.13 ± 8.4	73 ± 7.60	72.15 ± 8.20	74 ± 9.25
Gender [M/F]	50/35	40/30	42/28	45/25
MMSE	28.4 ± 1.24	28 ± 1.5	27.5 ± 1.74	23.5 ± 2.15

pooling layer is commonly used with an activation func-280 281 tion. In this study rectified linear unit (RELU) activation 282 function used because it converts the negative values of the feature into zero and improved the speed of conver-283 gence of CNN. 284

The modern CNNs based model is manually designed 285 researchers with several different layers and 286 bv optimization approaches. During training the model with 287 varying parameters and learning rate, batch size, and 288 weight decay over ImageNet dataset (Krizhevsky and 289 Sutskever, n.d). Generally, in CNN lower layers can pro-290 duce the general feature extraction ability, and higher lay-291 ers capable to carry more relative information related to 292 the specific classification task (Chougrad et al., 2019). 293 Transfer learning has been produced promising results 294 295 on medical images such as classification of precancerous disease, cardiac images, and lung disease classification. 296 In researcher introduced the technique for classification of 297 medical imaging by using CNN and transfer learning. All 298 these results have been shown by the transfer learning 299 produced the high accuracy for classification in medical 300 domains and also achieved maximum results on AD clas-301 sification with less number of the dataset (Liu et al., 2018). 302

303 Proposed transfer learning model

GroupA: block 1–3 are freezing. 304

305

GroupB: block 1-4 are freezing.

Due to promising results by CNN, many well-306 established models have been developed bv 307 researchers to solve the binary and multi-class 308 309 classification problems. The ImageNet Large Scale Visual Recognition Challenge (ILSVRC) benchmark 310 provides a big breakthrough in object recognition. The 311 major challenge is to classify the 1000 different objects. 312 We investigate those architectures which are the winner 313 of this challenge for the classification of objects. In this 314 study, we proposed a transfer learning model by 315 customizing VGG family architecture (Mehmood et al., 316 2020). The reason behind choosing the VGG-19 architec-317 ture because it produced high accuracy results and more 318 effective performance on computer-aided diagnosis prob-319 lems. VGG-19 network which includes the 16 convolu-320 321 tional layers, 5 max-pooling layers with stride 2, and 322 three fully-connected layers with a final softmax layer. 323 We modify the last two fully connected layers and final classification layers as per our problem. These two fully 324 connected layers are 1000 and 512 with binary classifica-325 tion. Secondly, we apply transfer learning to freeze the 326 convolutional layers. In many applications during the 327 transfer learning process only focus on trained fully con-328

nected layer on training data and convolutional layers 329 are kept fixed. However, in our proposed model, we divide 330 our model into two groups and progressively frozen the 331 blocks of layers and training on with and without augmen-332 tation dataset. The proposed model as seen in Fig.2 and Fig.3. In GroupA, eight convolutional layers with three max-pooling, and in GroupB, twelve convolutional layers with four max-pooling layers are frozen. In the proposed model, we used different hyperparameters as seen in Table 2.

RESULTS

We check the performance of the proposed transfer 340 learning model on six binary classifications, which 341 include NC vs AD, NC vs EMCI, NC vs LMCI, EMCI vs 342 LMCI, EMCI vs AD, and LMCI vs AD. We also evaluate 343 our model with and without data augmentation. During 344 the experiment, we divide each class of data into three 345 steps. In the first step, we split data 20% for testing and 346 retain remaining data further for training 80% and 347 validation 20% as we have shown in the flow chart in 348 Fig. 4. During data augmentation technique rotation 349 range 10 degrees, width and height shift range 0.1 350 degrees, and shear range 0.15 degree, as shown in 351 Fig. 1. We used Keras library for the implementation of 352 our model on Z840 workstation Intel Xeon (R) E5-353 2630v3 @2.40 GHz*32 with 1 TB memory. 354

Finally, we check the performance of the proposed model through several measures sensitivity, specificity and accuracy are described in terms of True Positive (TP), True Negative (TN), False Negative (FN) and False Positive (FP).

Sensitivity = TP/(TP + FN)(1)

Specificity = TN/(TN + FP)(2)

Accuracy = (TN + TP)/(TN + TP + FN + FP)(3)

Performance evaluation without augmentation

We applied our proposed transfer learning model on 370 binary classification and established the results on 371 testing data. In this section, we showed two methods of 372 performance without augmentation in six binary classes. 373 In GroupA, three blocks have been frozen, and GroupB 374 froze the four blocks, as shown in Fig. 2 and Fig. 3. Our 375 model achieved an accuracy result 93.83% on NC vs 376 AD classification on GroupA, we also used the same 377 number of images in GroupB and obtained the accuracy 378 95.33% (sensitivity 94.31% and specificity 96.26 %) on 379

339

355

356

357

358

359

360

362

363

365

366

368

A. Mehmood et al. / Neuroscience xxx (2021) xxx-xxx



Fig. 1. Data for the proposed model in the form of gray matter. The first row showed the three views axial, coronal, and sagittal without augmentation. The second and third rows show data after augmentation, used for the classification.



Fig. 2. The architecture of the proposed network with frozen of block1, block2, and block3. The first two blocks have four conv layers with two maxpooling, and block three have four conv layers with one max-pooling layer. The kernel size of all conv layers is kept 3*3. Finally, FC layers are used to attain results. (Conv:Convolution; FC: Fully connected layer).

Please cite this article in press as: Mehmood A et al. A Transfer Learning Approach for Early Diagnosis of Alzheimer's Disease on MRI Images. Neuroscience (2021), https://doi.org/10.1016/j.neuroscience.2021.01.002

ARTICLE IN PRESS

A. Mehmood et al./Neuroscience xxx (2021) xxx-xxx



Fig. 3. The architecture of the proposed network with frozen of block1, block2, block3, and block4. The first two blocks have four conv layers with two max-pooling and block3, block4 have eight conv layer with two max-pooling layers. kernal size of all conv layers is kepr 3*3. The filters for all the blocks are: 64, 128, 256,512. Finally FC layers is used to obtain the results (Conv:Convolution; FC: Fully connected layer).

Table	2.	Hyper-par	ameters	for	the	proposed	method,	used	during
training	g ai	nd testing,	ReLU (r	ectif	ied I	inear unit)			

HYPERPARAMETERS	
Activation Function	ReLU
	Sigmoid
Base Learning Rate	1e ⁻⁵
Epochs	20
Batch Size	32
Optimizer	Adam
Loss Fucntion	Binary Cross Entropy

NC vs AD classification. Furthermore, when we compare
 the classification results of NC vs LMCI with two groups,
 then GroupB performs the 5% comparatively higher
 performance. As shown in Table 3, a proposed

technique can discriminate between EMCI vs LMCI 384 accuracy and specificity of more than 83% in GroupB. 385 However, on the other hand, in GroupA EMCI vs AD 386 produced optimal results 82.34% as compare to GroupB 387 in terms of accuracy and sensitivity. Detailed results of 388 both methods are shown in Fig. 5. 389

390

391

392

393

394

395

396

397

398

Performance evaluation with augmentation

Table 4 shows the effect of two groups based on transfer learning with the augmentation dataset. Here, we see that the proposed model has shown promising results on NC vs AD classification and obtained 98.73% accuracy shows in GroupB. Next, we examine in Table 4, EMCI vs LMCI results in almost the same on both groups and accuracy performance more than 81%. For the pair of NC vs LMCI, the best accuracy result was achieved by



Fig. 4. Framework of the proposed method on MRI data of each classification task (NC vs AD, NC vs EMCI, NC vs LMCI, EMCI vs LMCI, EMCI vs AD, LMCI vs AD). Augmentation is applied to all data samples, i.e, 80% for training, and 20% testing. NC = normal control, EMCI = early mild cognitive impairment, LMCI = late mild cognitive impairment, and AD = Alzheimer's disease.

Please cite this article in press as: Mehmood A et al. A Transfer Learning Approach for Early Diagnosis of Alzheimer's Disease on MRI Images. Neuroscience (2021), https://doi.org/10.1016/j.neuroscience.2021.01.002

ARTICLE IN PRESS

A. Mehmood et al. / Neuroscience xxx (2021) xxx-xxx

7

Table 3. Evaluation metric on testing data for GroupA and GroupB without data augmentation. These two groups showed the accuracy, sensitivity, and specificity rate on six binary classes as shown in Fig. 5

	GroupA			GroupB		
Image Classes	Accuracy	Sensitivity	Specificity	Accuracy	Sensitivity	Specificity
NC vs AD	93.83	92.15	95.13	95.33	94.31	96.26
NC vs EMCI	81.20	80.25	82.15	85.16	84.29	85.98
NC vs LMCI	82.72	81.63	83.81	87.91	86.61	89.01
EMCI vs LMCI	79.5	79.05	81.11	83.72	82.09	85.13
EMCI vs AD	82.34	81.22	83.17	81.93	81.63	81.98
LMCI vs AD	74.22	73.15	75.33	82.31	82.18	82.03



Fig. 5. Proposed model performance in term of accuracy, sensitivity and specificity on six binary classes without data augmentation. In above figure, from left to right in box plot A, B and C the overall performance achieved by Group B which are 95.33%, 94.31% and 96.26% respectively.

Table 4. Evaluation metric on testing data for GroupA and GroupB with data augmentation. These two groups showed the accuracy, sensitivity and specificity rate on six binary classes. NC vs Ad attained the highest rate 98.73% in term of accuracy as shown in Fig. 6

	GroupA			GroupB		
Image Classes	Accuracy	Sensitivity	Specificity	Accuracy	Sensitivity	Specificity
NC vs AD	95.38	95.93	94.61	98.73	98.19	99.09
NC vs EMCI	85.14	84.61	85.42	87.06	86.61	86.63
NC vs LMCI	85.89	86.17	85.39	89.15	89.24	88.86
EMCI vs LMCI	81.73	80.12	83.07	81.06	80.61	81.52
EMCI vs AD	83.69	83.64	83.43	84.15	83.76	83.12
LMCI vs AD	76.73	77.31	75.78	82.07	81.39	82.41

GroupB with an accuracy of 89.15% with 399 an augmentation approach. On the other hand, if we see 400 the EMCI vs AD classification performance in terms of 401 sensitivity, the GroupA obtained the highest value of 402 83.69%. Detailed results of both methods are shown in 403 Fig. 6. 404

DISCUSSIONS

406 Researchers have recently conducted many studies on 407 the early diagnosis of AD using machine learning and 408 deep learning approaches. Therefore, many researchers developed the computer-aided system, which helps to 409 diagnose the early stage of AD, especially in deep 410 learning. CNN produced promising results in video and 411 image processing (Barros et al., 2018). It is a fully train-412 able system that did not require the experts to manipulate 413

405

the datasets because of CNN, which can automatically 414 extract the features. Max pooling is the main part of CNN, which reduces the size of the feature map (Krizhevsky and Sutskever, n.d). However, the lack of an annotated dataset to train the model on scratch is a big problem. This study has developed a transfer learning or fine-tuning approach with MRI images to attain the automatic detection of different stages of Alzheimer's disease. In order to resolve the overfitting issue on a small dataset, augmentation plays a key role in the transfer learning model.

The designed model tests the performance based on three parameters such as accuracy, sensitivity, and specificity. In the clinical field, these measures help correctly classify healthy and ill patients. MRI is a potent modality for the Alzheimer's patient's classification and helps the doctors to diagnose this at an early stage.

430

A. Mehmood et al. / Neuroscience xxx (2021) xxx-xxx



Fig. 6. Proposed model performance in term of accuracy, sensitivity and specificity on six binary classes data with augmentation. In above figure, from left to right in box plot A, B and C the overall performance achieved by Group B which are 98.73%, 98.19% and 99.09% respectively.

MCI is a critical stage for Alzheimer's patients (Liu et al., 431 2018). MCI is divided further into two stages showing the 432 conversion of patients on early-stage or late stages. EMCI 433 has demonstrated the early stage of AD and provides the 434 option of treatment to overcome the dementia risk factor. 435 In aging research fields, many CAD systems are devel-436 oped for the classification of AD stages. This research 437 work focused on segregation, such as NC people, EMCI, 438 439 LMCI, and AD patients. These prediction results focused on the specific gray matter (GM) region, which is more 440 useful in predicting AD's early diagnosis. In the first step, 441 the method prediction of average performance without 442 augmentation on GroupA 82.58% and 86.18%, which 443 showed the effectiveness of the proposed model. More-444 over, we investigate our model prediction with augmenta-445 tion, and we attain the 98.73% performance accuracy for 446 NC vs AD and averages accuracy of Group 1, is 84.76% 447 and 87.06% for Group-2, which is the highest perfor-448 mance amongst the proposed models. 449

We observed the model which used the 3D 450 convolutional neural network to classify without skull 451 452 stripping data. Secondly, to improve the effectiveness 453 and performance accuracy using transfer learning on ADNI datasets attained 99.33% results during the binary 454 classification of normal control and AD patients 455 (Hosseini-Asl et al., 2016). MCI conversion is linked with 456 the number of risk factors that affect to convert in AD. In 457 terms of gray matter, density showed a clear difference 458 between healthy subjects and AD. Therefore, the MCI 459

Table 5. Evaluation results coincide with the AD/NC classification. Our proposed model results compared with different five studies in term of accuracy, sensitivity and specificity

Methods	Accuracy	Sensitivity	Specificity
Ortiz et al. [45]	90.09	86.12	94.1
Wee et al. [1]	92.35	90.35	94.31
Khedher et al. [7]	87.53	88.65	86.17
Basaia et al. [46]	98.2	98.1	98.3
Ahmed et al. [10]	90.2	82.92	97.2
Proposed model	98.73	98.19	99.09

Table 6. Evaluation results coincide with the EMCI/LMCI classification. Our proposed model results compared with different four studies in term of accuracy, sensitivity and specificity. It attained the highest classification results 83.72%

Methods	Accuracy	Sensitivity	Specificity
Wee et al. [1]	75.05	63.5	84.41
Basaia et al. [46]	75.1	75.8	74.1
Lei et al. [47]	78.05	81.58	75
Yang et al. [48]	72.19	73.82	73.05
Proposed model	83.72	82.09	85.13

conversion part shown gray matter intensity reduction compared to the non-conversion part, which is useful for diagnoses (Yang and Liu, 2020). However, the pretrained model helps diagnose the disease in daily clinical practice because it took less time to process and produce high-performance results on a less annotated dataset. In this approach, they introduced the convolutional network to achieve a state of the art results (Wu et al., 2018). The major advantage produced by the model to reduce the parameters which directly impact in term of regularization and improve the results of classification.

Tables 5 and 6 shows the comparison with several studies that have investigated the early diagnosis of AD patients. However, the proposed model produces the best results in terms of accuracy on AD vs NC 98.73% score and EMCI vs LMCI 83.72%. In researcher (Basaia et al., 2019) produced the 98.10% results in terms of sensitivity for AD vs NC. In addition, our model also outperforms for the remaining four classification tasks, such as NC vs EMCI 87.06%, NC vs LMCI 89.15%, EMCI vs AD 84.15%, and LMCI vs AD 82.31% in term of accuracy. It can be seeming transfer learning extract the more useful features for the classification of AD on the brain's GM segmentation.

In this study, we propose a layer-wise transfer learning approach for six classification tasks. We selected the approved architecture capable of earlystage diagnosis of AD. The distinction between EMCI and LMCI to help out the experts to treat on time of

480

481

482

483

484

485

486

487

488

460

461

A. Mehmood et al. / Neuroscience xxx (2021) xxx-xxx

dementia disease. To overcome this issue on a small 489 number of annotated data, we apply transfer learning 490 the augmentation technique and improve with 491 performance accuracy. We investigate proposed models 492 with detailed experiments on 300 ADNI subjects with six 493 binary classes. We also checked the effects on 494 performance after frozen the number of blocks in our 495 model. Furthermore, we compared our proposed 496 technique results with state-of-the-art methods. We 497 discovered that our model significantly outperforms on 498 AD vs NC classification and achieved 98.73% in terms 499 of testing accuracy. Future implication includes applying 500 the proposed model for lungs and breast cancer 501 502 detection.

503 DECLARATION OF COMPETING INTEREST

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

ACKNOWLEDGEMENT

This work was supported by the National Natural Science 509 Foundation of China (Nos. 61771380, 61906145, 510 U1730109, 91438103, 61771376, 61703328, 91438201, 511 512 U1701267, 61703328); the Equipment pre-research of the 13th Five-Years Plan 513 project (Nos. 6140137050206, 414120101026, 6140312010103, 514 6141A020223, 6141B06160301, 6141B07090102), the 515 Major Research Plan in Shaanxi Province of China 516 (Nos.2017ZDXM-GY-103, 017ZDCXL -GY-03-02), the 517 Foundation of the State Key Laboratory of CEMEE 518 (Nos.2017K0202B, 2018K0101B 2019K0203B, 519 2019Z0101B), the Science Basis Research Program in 520 521 Shaanxi Province of China (Nos.16JK1823, 2017JM6086, 2019JQ-663). Data collection and sharing 522 for this project was funded by the Alzheimer's Disease 523 Neuroimaging Initiative (ADNI) (National Institutes of 524 Health Grant U01 AG024904) and DOD ADNI 525 (Department of Defense award number W81XWH-12-2-526 0012). ADNI is funded by the National Institute on 527 Aging, the National Institute of Biomedical Imaging and 528 Bioengineering, and through generous contributions 529 from the following: AbbVie, Alzheimer's Association; 530 Alzheimer's Drug Discovery Foundation; Araclon 531 Biotech; BioClinica, Inc.; Biogen; Bristol-Myers Squibb 532 Company; CereSpir, Inc.; Cogstate; Eisai Inc.; Elan 533 Pharmaceuticals, Inc.; Eli Lilly and Company; 534 EuroImmun; F. Hoffmann-La Roche Ltd and its affiliated 535 536 company Genentech, Inc.; Fujirebio; GE Healthcare; IXICO Ltd.; Janssen Alzheimer Immunotherapy 537 Research & Development, LLC.; Johnson & Johnson 538 Pharmaceutical Research & Development LLC.; 539 Lumosity; Lundbeck; Merck & Co., Inc.; Meso Scale 540 Diagnostics, LLC.; NeuroRx Research; Neurotrack 541 Technologies; Novartis Pharmaceuticals Corporation; 542 Piramal Imaging: Servier: Takeda Pfizer Inc.: 543 Pharmaceutical Company; and Transition Therapeutics. 544 The Canadian Institutes of Health Research is providing 545 funds to support ADNI clinical sites in Canada. Private 546

sector contributions are facilitated by the Foundation for 547 the National Institutes of Health (www.fnih.org). The 548 grantee organization is the Northern California Institute 549 for Research and Education, and the study is 550 coordinated by the Alzheimer's Therapeutic Research 551 Institute at the University of Southern California. ADNI 552 data are disseminated by the Laboratory for Neuro 553 Imaging at the University of Southern California. 554

REFERENCES

- Aderghal K, Khvostikov A, Krylov A, Benois-Pineau J, Afdel K, Catheline G (2018) Classification of Alzheimer disease on imaging modalities with deep cnns using cross-modal transfer learning. In: 2018 IEEE 31st International Symposium on Computer-Based Medical Systems (CBMS). p. 345–350.
- Ahmed OB, Benois-Pineau J, Allard M, Catheline G, Amar CB, Initiative ADN, et al. (2017) Recognition of Alzheimer's disease and mild cognitive impairment with multimodal image-derived biomarkers and multiple kernel learning. Neurocomputing 220:98–110.
- Anthimopoulos M, Christodoulidis S, Ebner L, Christe A, Mougiakakou S (2016) Lung pattern classification for interstitial lung diseases using a deep convolutional neural network. IEEE Trans Med Imaging 35(5):1207–1216.
- Badakhshannoory H, Saeedi P (2011) A model-based validation scheme for organ segmentation in ct scan volumes. IEEE Trans Biomed Eng 58(9):2681–2693.
- Barros MT, Silva W, Regis CDM (2018) The multi-scale impact of the Alzheimer's disease on the topology diversity of astrocytes molecular communications nanonetworks. IEEE Access 6:78904–78917.
- Basaia S, Agosta F, Wagner L, Canu E, Magnani G, Santangelo R, Filippi M, Initiative ADN, et al. (2019) Automated classification of Alzheimer's disease and mild cognitive impairment using a single Mri and deep neural networks. NeuroImage: Clinical 21 101645.
- Bi X, Li S, Xiao B, Li Y, Wang G, Ma X (2019) Computer aided Alzheimer's disease diagnosis by an unsupervised deep learning technology. Neurocomputing 21:1232–1245.
- Chaddad A, Desrosiers C, Niazi T (2018) Deep radiomic analysis of Mri related to Alzheimer's disease. IEEE Access 6:58213–58221.
- Chen H, Ni D, Qin J, Li S, Yang X, Wang T, Heng PA (2015) Standard plane localization in fetal ultrasound via domain transferred deep neural networks. IEEE J Biomed Health Inform 19(5):1627–1636.
- Chougrad H, Zouaki H, Alheyane O (2019) Multi-label transfer learning for the early diagnosis of breast cancer. Neurocomputing 11:835–847.
- Deng J, Dong W, Socher R, Li L-J, Li K, Fei-Fei L (2009) Imagenet: A large-scale hierarchical image database. In: 2009 IEEE conference on computer vision and pattern recognition. p. 248–255.
- Feng C, Elazab A, Yang P, Wang T, Zhou F, Hu H, Xiao X, Lei B (2019) Deep learning framework for Alzheimer's disease diagnosis via 3d-cnn and fsbi-lstm. IEEE Access 7:63605–63618.
- Gupta A, Ayhan M, Maida A (2013) Natural image bases to represent neuroimaging data. International Conference on Machine Learning:987–994.
- He, K., Zhang, X., Ren, S., Sun, J., 2016. Deep residual learning for image recognition. 2015. arXiv preprint arXiv:1512.03385.
- Hernández-García A, König P (2018) Further advantages of data augmentation on convolutional neural networks. In: International Conference on Artificial Neural Networks. p. 95–103.
- Hosseini-AsI E, Keynton R, El-Baz A (2016) Alzheimer's disease diagnostics by adaptation of 3d convolutional network. In: 2016 IEEE International Conference on Image Processing (ICIP). p. 126–130.
- Ieracitano C, Mammone N, Bramanti A, Hussain A, Morabito FC (2019) A convolutional neural network approach for classification

555

556

557

558

559

560

561

562

563

608 609 610

611

612

666

667

668

669

670

671

672

673

674

675

676

677

678

679

680

681

682

683

684

685

686

687

688

689

690

691

692

693

694

695

696

697

698

699

700

701

702

703

704

705

706

707

708

709

710

711

712

10

613

614

A. Mehmood et al. / Neuroscience xxx (2021) xxx-xxx

of dementia stages based on 2d-spectral representation of eeg recordings. Neurocomputing 323:96–107.

- Jack Jr, C.R., Bernstein, M.A., Fox, N.C., Thompson, P., Alexander,
 G., Harvey, D., Borowski, B., Britson, P.J., L. Whitwell, J., Ward,
 C., et al., 2008. The Alzheimer's disease neuroimaging initiative
 (adni): Mri methods. Journal of Magnetic Resonance Imaging: An
 Official Journal of the International Society for Magnetic
 Resonance in Medicine, 27(4), 685–691..
- Khan NM, Abraham N, Hon M (2019) Transfer learning with intelligent training data selection for prediction of Alzheimer's disease. IEEE
 Access 7:72726–72735.
- Khedher, L., Ramrez, J., Grriz, J.M., Brahim, A., Segovia, F., s
 Disease Neuroimaging Initiative, A., et al., 2015. Early diagnosis
 of Alzheimer disease based on partial least squares, principal
 component analysis and support vector machine using
 segmented Mri images. Neurocomputing, 151, 139–150..
- Kingma, D.P., Ba, J., 2014. Adam: A method for stochastic
 optimization. arXiv preprint arXiv:1412.6980.
- Krizhevsky, A., Sutskever, I., n.d.. Ge + lqwrqł, pdjh1hwfodvvlilfdwlrq
 with deep convolutional neural network. Communications of the
 ACM, 60(6), 84a–90.
- Li F, Tran L, Thung K-H, Ji S, Shen D, Li J (2015) A robust deep model for improved classification of ad/mci patients. IEEE J Biomed Health Inform 19(5):1610–1616.
- Liu M, Cheng D, Wang K, Wang Y, Initiative ADN, et al. (2018) Multi modality cascaded convolutional neural networks for Alzheimer's
 disease diagnosis. Neuroinformatics 16(3–4):295–308.
- Liu X, Wang C, Bai J, Liao G (2019) Fine-tuning pre-trained convolutional neural networks for gastric precancerous disease classification on magnification narrow-band imaging images. Neurocomputing 9:7030–7039.
- López M, Ramrez J, Górriz JM, Álvarez I, Salas-Gonzalez D, Segovia
 F, Chaves R, Padilla P, Gómez-Río M, Initiative ADN, et al. (2011)
 Principal component analysis-based techniques and supervised
 classification schemes for the early detection of Alzheimer's
 disease. Neurocomputing 74(8):1260–1271.
- Lyndon D, Kumar A, Kim J, Leong PHW, Feng D (2015)
 Convolutional neural networks for medical clustering. CLEF
 (Working Notes).
- Maqsood M, Nazir F, Khan U, Aadil F, Jamal H, Mehmood I, Song O Y (2019) Transfer learning assisted classification and detection of
 Alzheimer's disease stages using 3d Mri scans. Sensors 19
 (11):2645.
- McGeer PL (1986) Brain imaging in bluealzheimer'sdisease. British
 Med Bull 42(1):24–28.
- Mehmood A, Maqsood M, Bashir M, Shuyuan Y (2020) A deep siamese convolution neural network for multi-class classification of Alzheimer disease. Brain Sci 10(2):84.
- Oh K, Chung Y-C, Kim KW, Kim W-S, Oh I-S (2019) Classification
 and visualization of blue alzheimer's disease using volumetric
 convolutional neural network and transfer learning. Sci Rep 9
 (1):1–16.
- 716
- 717 718

- Payan, A., Montana, G., 2015. Predicting Alzheimer's disease: A neuroimaging study with 3d convolutional neural networks. arXiv preprint arXiv:1502.02506.
- Phong TD, Duong HN, Nguyen HT, Trong NT, Nguyen VH, Van Hoa
 T, Snasel V (2017) Brain hemorrhage diagnosis by using deep learning. In: Proceedings of the 2017 International Conference on Machine Learning and Soft Computing. p. 34–39.
- Sezer A, Sezer HB (2019) Convolutional neural network based diagnosis of bone pathologies of proximal humerus. Neurocomputing 19:1929–1938.
- Shin H-C, Roth HR, Gao M, Lu L, Xu Z, Nogues I, Yao J, Mollura D, Summers RM (2016) Deep convolutional neural networks for computer-aided detection: Cnn architectures, dataset characteristics and transfer learning. IEEE Trans Med Imaging 35(5):1285–1298.
- Suk, H.-I., Lee, S.-W., Shen, D., n.d. Alzheimer's disease, and neuroimaging i.(2014). Hierarchical feature representation and multimodal fusion with deep learning for AD/MCI diagnosis. Neuroimage, 101, 569–582.
- Wang G, Forsyth D, Hoiem D (2010) Comparative object similarity for improved recognition with few or no examples. In: 2010 IEEE Computer Society Conference on Computer Vision and Pattern Recognition. p. 3525–3532.
- Wang H, Shen Y, Wang S, Xiao T, Deng L, Wang X, Zhao X (2019) Ensemble of 3d densely connected convolutional network for diagnosis of mild cognitive impairment and Alzheimer's disease. Neurocomputing 333:145–156.
- Wee C-Y, Yap P-T, Shen D, Initiative ADN (2013) Prediction of alzheimer's disease and mild cognitive impairment using cortical morphological patterns. Human Brain Mapping 34 (12):3411–3425.
- Wu C, Guo S, Hong Y, Xiao B, Wu Y, Zhang Q, Initiative ADN, et al. (2018) Discrimination and conversion prediction of mild cognitive impairment using convolutional neural networks. Quantitative Imaging Med Surgery 8(10):992.
- Xu L, Yao Z, Li J, Lv C, Zhang H, Hu B (2019) Sparse feature learning with label information for Alzheimer's disease classification based on magnetic resonance imaging. IEEE Access 7:26157–26167.
- Yang Z, Liu Z (2020) The risk prediction of Alzheimer's disease based on the deep learning model of brain 18f-fdg positron emission tomography. Saudi J Biolog Sci 27(2):659–665.
- Young J, Modat M, Cardoso MJ, Mendelson A, Cash D, Ourselin S, Initiative ADN, et al. (2013) Accurate multimodal probabilistic prediction of conversion to Alzheimer's disease in patients with mild cognitive impairment. NeuroImage: Clinical 2:735–745.
- Yue L, Gong X, Li J, Ji H, Li M, Nandi AK (2019) Hierarchical feature extraction for early Alzheimer's disease diagnosis. IEEE Access 7:93752–93760.
- Zeng N, Qiu H, Wang Z, Liu W, Zhang H, Li Y (2018) A new 713
 switching-delayed-pso-based optimized svm algorithm for 714
 diagnosis of Alzheimer's disease. Neurocomputing 320:195–202. 715

(Received 14 June 2020, Accepted 3 January 2021) (Available online xxxx)