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Neuropathologic Features of Antemortem Atrophy-Based Subtypes of Alzheimer Disease

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#### Abstract

**Objectives:** To investigate whether antemortem MRI-based atrophy subtypes of Alzheimer's disease (AD) differ in neuropathological features and comorbid non-AD pathologies at postmortem.

**Methods:** From the ADNI cohort, we included individuals with: antemortem MRI evaluating brain atrophy within 2y before death; antemortem diagnosis of AD dementia/mild cognitive impairment; postmortem-confirmed AD neuropathologic change. Antemortem atrophy subtypes were modeled as continuous phenomena based on a recent conceptual framework: *typicality* (spanning *limbic-predominant AD* to *hippocampal-sparing AD*) and *severity* (spanning *typical AD* to *minimal atrophy AD*). Postmortem neuropathological evaluation included AD hallmarks, amyloid-beta and tau as well as non-AD pathologies, alpha-synuclein and TAR DNA-binding protein-43 (TDP-43). We also investigated the overall concomitance across these pathologies. Partial correlations assessed the associations between antemortem atrophy subtypes and postmortem neuropathological outcomes.

**Results:** In 31 individuals (26 AD dementia/5 mild cognitive impaired, mean age=80y, 26% females), antemortem typicality was significantly negatively associated with neuropathological features, including amyloid-beta (rho=-0.39 overall), tau (rho=-0.38 regionally), alpha-synuclein (rho=-0.39 regionally), TDP-43 (rho=-0.49 overall), and concomitance of pathologies (rho=-0.59 regionally). Limbic-predominant AD was associated with higher Thal phase, neuritic plaque density, and presence of TDP-43 compared to hippocampal-sparing AD. Regionally, limbic-predominant AD showed higher presence of tau and alpha-synuclein pathologies in medial temporal structures, higher presence of TDP-43

and concomitance of pathologies subcortically/cortically compared to hippocampal-sparing AD. Antemortem severity was significantly negatively associated with concomitance of pathologies (rho=-0.43 regionally), such that typical AD showed higher concomitance of pathologies than minimal atrophy AD.

**Discussion:** We provide a direct antemortem-to-postmortem validation, highlighting the importance of understanding atrophy-based heterogeneity in AD relative to AD and non-AD pathologies. We suggest that: (a) typicality and severity in atrophy reflect differential aspects of susceptibility of the brain to AD and non-AD pathologies; (b) limbic-predominant AD and typical AD subtypes share similar biological pathways, making them more vulnerable to AD and non-AD pathologies compared to hippocampal-sparing AD, which may follow a different biological pathway. Our findings provide a deeper understanding of associations of atrophy subtypes in AD with different pathologies, enhancing prevailing knowledge of biological heterogeneity in AD and could contribute towards tracking disease progression and designing clinical trials in the future.

**Keywords:** Alzheimer's disease, neuropathology, postmortem, MRI, antemortem, biological subtypes, Heterogeneity, Amyloid, Tau, TDP-43, Alpha-synuclein, Lewy bodies

#### Introduction

Alzheimer's disease (AD) is pathologically defined by the hallmarks of amyloid beta (Aβ) plaques and tau neurofibrillary tangles (NFT). However, pure AD is increasingly recognized as not being the most prevalent form of the disease<sup>1–3</sup>. Concomitant forms of pathological proteins such as  $\alpha$ -synuclein ( $\alpha$ -syn) and TAR DNA-binding protein 43 (TDP-43) have been reported in over 40%<sup>4</sup> and 50%<sup>5</sup> of the AD cases respectively.

Does this multimorbid view of the brain in AD suggest that atrophy may be downstream to not only the AD hallmark pathologies, but also to the interactions with one or more concomitant pathologies? De Flores et al. examined medial temporal atrophy measured on antemortem magnetic resonance imaging (MRI) in relation to postmortem neuropathology and reported that tau pathology was associated with posterior hippocampal atrophy, whereas TDP-43 pathology was associated with anterior medial temporal atrophy<sup>6</sup>. Medial temporal atrophy, although a common characteristic, is not always observed in AD. Converging evidence suggests that biological heterogeneity in AD may manifest as distinct atrophy subtypes: *typical* AD, *limbic-predominant* AD, *hippocampal-sparing* AD, and *minimal-atrophy* AD<sup>7</sup>, with the last two showing relatively preserved medial temporal gray matter structure. Thus, revising the initial question, we ask: does this multimorbid view of the brain in AD suggest that atrophy *subtypes* may be downstream to not only the AD hallmark pathologies, but also to the interactions with one or more concomitant pathologies? To our knowledge, the answer to this question is yet to be explored. We currently lack *in vivo* biomarkers to assess pathologies such as  $\alpha$ -syn and TDP-43. Therefore, we investigated the relationship between antemortem MRI-based atrophy subtypes and postmortem neuropathological profiles in AD. Our key research questions are (a) whether antemortem atrophy subtypes of AD are related to individual and/or concomitance of AD and non-AD pathologies at postmortem, and (b) whether this subtype-to-pathology relationship varies by brain region. Corresponding to these research questions, we hypothesized that antemortem atrophy subtypes of AD may be differentially associated with different AD and non-AD pathologies assessed postmortem, which may vary by brain region.

## Methods

#### Participants

Participants were selected from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (PI: M. Weiner; http://adni.loni.usc.edu/). Launched in 2003, the goal of the ADNI is to test and use biomarkers, clinical and neuropsychological assessments to track disease progression in AD. We included data from participants who had antemortem MRI and postmortem neuropathological assessments (Version 11, 04/12/2018). **eFigure 1** shows the selection criteria for this study. Our final cohort comprised 31 participants with intermediate or high AD neuropathologic change (ADNC) at postmortem examination (i.e., pathology-confirmed AD dementia; low ADNC is not an adequate explanation for cognitive impairment or dementia)<sup>9</sup> and availability of an antemortem MRI scan within 2 years prior to death (for a more accurate antemortem approximation of the postmortem/final subtype of an individual and to avoid long antemortem-to-postmortem interval being a potential confound).

#### Standard Protocol Approvals, Registrations, and Patient Consents

All the ADNI protocols were approved by the institutional review boards of each participating institution. All participants provided written and informed consent in accordance with the Declaration of Helsinki.

# Antemortem neuroimaging and cognition

MRI scans were acquired on 1.5T or 3T scanners with T1-weighted sagittal 3D magnetizationprepared rapid gradient echo (MPRAGE) sequences (detailed ADNI imaging protocols: adni.loni.usc.edu/methods/). MRI were processed cross-sectionally using FreeSurfer 6.0.0 (http://freesurfer.net/), automated through TheHiveDB system<sup>10</sup>. Resulting segmentations were visually screened for quality control. Screened scans were included for subsequent analyses. Automatic region of interest parcellation yielded volumes of 41 cortical and subcortical areas<sup>11, 12</sup> per hemisphere, serving as a measure of brain atrophy. We used mini mental state examination (MMSE)<sup>13</sup>, clinical dementia rating (CDR), and composite scores for memory (ADNI-MEM)<sup>48</sup>, and executive function (ADNI-EF)<sup>49</sup> corresponding to the MRI visit as the main outcomes to evaluate the level of cognitive impairment.

## Antemortem atrophy subtypes

Following the recently proposed conceptual framework for AD subtypes<sup>7</sup>, we quantified MRI-based atrophy subtypes in terms of two principal dimensions: typicality and severity. Given the limited sample size, we modeled atrophy subtypes on a continuous scale for

greater sensitivity<sup>14</sup> rather than categorizing individuals into subgroups or categorical subtypes. *Typicality* was proxied by the ratio of hippocampal volume to whole cortical volume (ratio henceforth referred to as *H:C*), similar to the index adopted by the original neuropathological subtyping study<sup>15</sup>. *Severity* was proxied by the global brain atrophy index, measured by the ratio of whole brain volume to volume of cerebrospinal fluid<sup>16</sup> (ratio henceforth referred to as *BV:CSF*), such that lower values of the index correspond to more atrophy (i.e. higher severity).

# Postmortem Neuropathological Assessment

Neuropathological assessments were conducted as part of the ADNI neuropathology core (neuropathologist: Dr. Nigel Cairns, the Knight Alzheimer's Disease Research Center, Washington University School of Medicine, St. Louis, http://adni.loni.usc.edu/about/#core-container)<sup>17</sup>. Assessments followed the NIA-AA guidelines for the neuropathological assessment of AD<sup>9</sup> (<u>https://www.alz.washington.edu/NONMEMBER/NP/npguide10.pdf</u>).

# Antemortem-to-Postmortem Validation Approach

We modeled MRI-based antemortem atrophy subtypes in AD as continuous phenomena<sup>14</sup> of two orthogonal *typicality* and *severity* dimensions, following the recent conceptual framework for AD subtypes<sup>7</sup>. We then examined the relationship of these dimensions to postmortem neuropathological features including AD (A $\beta$ , tau), non-AD ( $\alpha$ -syn, TDP-43) pathologies and concomitance across them. To investigate our first research question of whether antemortem atrophy subtypes of AD may be related to neuropathological differences, we examined: (a) established semiquantitative rating scales for AD-specific neuropathological measures, including Thal phase of regional distribution of A $\beta$  (diffuse and cored) plaques (A0-A3), Braak stage of NFT distribution (B0-B3), and the Consortium to Establish a Registry for Alzheimer's Disease (CERAD) scores for density of neuritic plaques (C0-C3)<sup>9</sup>; and (b) presence/absence of comorbid non-AD pathologies, including overall  $\alpha$ -syn (Lewy body, LB) pathology, assessed across the brainstem, limbic region, neocortex, amygdala and olfactory bulb as per the modified McKeith criteria<sup>9,18</sup>, and overall TDP-43 pathology assessed as immunoreactive inclusions (comprising any of neuronal cytoplasmic inclusion, NCI, neuronal intraneuronal inclusion, dystrophic neurite or glial cytoplasmic inclusion) across the amygdala, hippocampus, entorhinal cortex/inferior temporal gyrus, and frontal neocortex<sup>19</sup>.

To investigate our second research question of whether antemortem atrophy subtypes of AD may be related to postmortem pathologies varying by brain regions, we examined regional pathological outcomes: we analyzed regions most relevant to atrophy subtypes in  $AD^7$ , i.e., structures of the medial temporal lobe including the hippocampus at the level of the lateral geniculate nucleus (cornu Ammonis1 or CA1, dentate gyrus, parahippocampal gyrus), amygdala and entorhinal cortex, and structures of the association cortex including the middle frontal gyrus, superior and middle temporal gyri and inferior parietal lobe (angular gyrus). We focused on specific forms of pathologies binarized for presence/absence: (a) AD-specific neuropathological measures of A $\beta$  (positive for both

diffuse and cored plaques) and tau (NFT); and (b) non-AD-specific neuropathological measures of  $\alpha$ -syn (LB) and TDP-43 (NCI).

To investigate whether antemortem atrophy subtypes of AD may be related to concomitance of pathologies which may also vary regionally, we evaluated the total number of pathologies present per region as an outcome: each pathology was binarized for presence/absence and summed, considering both AD-specific and non-AD-specific pathologies (concomitance ranging from 0 through 4).

# Statistical analysis

We analyzed the association between antemortem atrophy subtypes (typicality and severity as continuous independent variables in separate models) and cognition as well as neuropathological outcomes as dependent variables using linear partial correlations, controlled for age at MRI scan, MRI scanner field strength. Further, each model with typicality as independent variable was controlled for severity and vice-versa, to examine if the correlation may be solely explainable by the dimension treated as independent variable. Due to the limited sample size in this rare antemortem-postmortem data set, we report significant results at an uncorrected *p*-value < 0.05, akin to previous radiological-pathological association studies<sup>20,21</sup>. Additionally, we assessed the role of sex (binarized as female or male) and *APOE* status (categorized by all combinations of pairs of the alleles, i.e., 2-4, 3-3, 3-4, 4-4) through mediation analyses<sup>47</sup>. All statistical analyses and visualizations were conducted using MATLAB R2020b (The MathWorks, Inc., Natick, Massachusetts, USA).

#### Data Availability

Data used in this study have been made publicly available by the ADNI in the Laboratory of Neuro Imaging database.

### Results

#### Participants

**Table 1** shows the demographic and ante-/postmortem characteristics of the cohort. The age at antemortem MRI was  $80.0 \pm 6.7$  y while the age at death was  $81.2 \pm 6.78$  y. The level of cognitive impairment was higher in individuals with AD dementia than those with amnestic mild cognitive impairment (aMCI) in the cohort based on MMSE, CDR, ADNI-MEM, and ADNI-EF. All individuals had markers of cerebrovascular disease postmortem (one or more types of the following: macroscopic vascular brain injury, microinfarcts, microbleeds, microhemorrhages, arteriolosclerosis, white matter rarefaction or other vascular changes).

#### Antemortem atrophy subtypes

**Figure 1A** shows the atrophy subtypes in antemortem MRI, characterized by the continuousscale measures of typicality (H:C) and severity (BV:CSF). We show four examples to illustrate the extremes on each dimension. On the typicality dimension, case RID 1203 represents hippocampal-sparing AD towards the higher extreme while case RID 1393 represents limbicpredominant AD towards the lower extreme. Similarly, on the severity scale, case RID 1271 represents typical AD towards the lower extreme (higher severity) while case RID 1425 represents minimal-atrophy AD towards the higher extreme (lower severity). The association between typicality and severity was not statistically significant (r=0.3, *p*=0.09). Antemortem severity (r=0.5, *p*=0.01; controlled for typicality) but not typicality (r=-0.1, *p*=0.6; controlled for severity) was significantly associated with MMSE.

## Association between antemortem typicality and neuropathological outcomes

**Table 2** shows the association between typicality and established neuropathological rating scales of AD and non-AD pathologies. Most individuals showed a high ADNC at postmortem (**Figure 1B**). Typicality was significantly associated with Thal Aβ phase (96.8% at A3, i.e., Phase 4-5; **Figure 2A**), neuritic plaques (87.1% at C3, i.e., frequent neuritic plaques; **Figure 2C**) and presence of TDP-43 inclusions (**Figure 3B**). These significant associations were negative, i.e., a lower value of H:C (limbic-predominant AD) was associated with higher pathologic burden or presence of pathology.

**Figure 4A** shows the association between typicality and regional neuropathological measures. Typicality was significantly associated with presence of: (a) tau in the dentate gyrus; (b)  $\alpha$ -Syn in the parahippocampal gyrus; (c) TDP-43 in the parahippocampal gyrus, dentate gyrus, entorhinal cortex, amygdala, superior/middle temporal gyri; and (d) concomitance of the AD and non-AD pathologies. These associations were negative, i.e., a

lower value of H:C (limbic-predominant AD) was associated with presence of pathology or higher concomitance of pathologies (**eFigure 2-3**).

#### Association between antemortem severity and neuropathological outcomes

There were no significant associations between severity and established neuropathological rating scales of AD and non-AD pathologies (Table 2).

Neither were there any significant associations between severity and regional neuropathological measures (Figure 4B). However, severity was negatively associated with concomitance of AD and non-AD pathologies in the entorhinal cortex. This indicates that a lower value of BV:CSF showed higher concomitance of multiple pathologies (**eFigure 3**).

# Antemortem atrophy subtypes and primary and secondary postmortem diagnosis

The primary neuropathological diagnosis was ADNC in all individuals (Figure 1B). Several cases had a secondary neuropathological diagnosis (Figure 1C), including LB disease (n=16, 51.610%), medial temporal TDP-43 pathology and/or hippocampal sclerosis (n=4, 12.900%), and cerebrovascular pathology (subdural hemorrhage, intracerebral hemorrhage, and/or subcortical arteriosclerotic leukoencephalopathy (n=3, 9.690%). Qualitatively, cases assigned to have TDP-43 in the medial temporal lobe or hippocampal sclerosis inclined towards limbic-predominant AD or typical AD. Cases assigned to have LB pathology tended to be limbic-predominant (dementia with LB pathology), hippocampal-sparing AD (amygdala-predominant LB pathology) or minimal-atrophy AD (both forms). The single isolated cases

with intracerebral hemorrhage, subdural hemorrhage and subcortical arteriosclerotic leukoencephalopathy tended towards minimal-atrophy AD.

#### The role of sex and APOE status as mediators

Corresponding to each significant association detected, we found that neither sex nor *APOE* status were likely mediators of the antemortem-postmortem relationship.

#### Discussion

Our study investigated the relationship between antemortem atrophy subtypes and combinations of different AD and non-AD pathologies assessed postmortem. Heterogeneity in AD is a multifaceted phenomenon involving combinations of protective factors, risk factors and concomitance of non-AD pathologies<sup>7</sup>. The relative contribution of different pathologies to disease heterogeneity has been primarily reported from the postmortem (neuropathological) perspective<sup>15,22–26</sup> with only one study offering an antemortem (neuroimaging) perspective<sup>27</sup>, to our knowledge. Our study serves as a direct antemortem-to-postmortem investigation examining the interplay of different pathologies in atrophy subtypes of AD.

From the antemortem perspective, we treated biological heterogeneity in atrophy as continuous phenomena<sup>14</sup>, i.e., we examined an MRI-based operationalization of the conceptual framework for AD subtypes in terms of typicality and severity<sup>7</sup>. This approach is complementary to previous studies which conventionally categorize individuals into distinct

subtypes<sup>28–31</sup>. We observed a non-significant association between typicality and severity, suggesting that disease typicality (proxied by H:C) may not be influenced by disease staging or severity (proxied by BV:CSF), thus serving as orthogonal dimensions of heterogeneity. It is important, however, to note that our initial approach of treating typicality and severity dimensions separately (while controlling for the other dimension) may be rather simplistic and deserves future exploration. This is best exemplified by cases RID 1203 and RID 1452 (**Figure 1A**). Despite having a lower severity (higher BV:CSF), case RID 1203 was described as hippocampal-sparing AD rather than a minimal-atrophy AD. Thus, the combined contribution of typicality and severity must be factored in, i.e., every individual along the typicality dimension must also be interpreted in conjunction with the corresponding severity level and vice-versa.

Our key finding was that antemortem typicality, but not severity, was associated with different pathologies observed postmortem including A $\beta$ , tau,  $\alpha$ -syn, and TDP-43. One reasoning for the lack of association between antemortem severity and postmortem pathologies could be that most individuals were at advanced disease stages (high ADNC), contributing to a low variability in postmortem disease severity. Below we discuss the role of individual pathologies in relation to antemortem heterogeneity in atrophy.

*Amyloid pathology:* We found an association between typicality and Thal A $\beta$  stages, suggesting lower A $\beta$  in hippocampal-sparing AD *atrophy* subtype, which is consistent with a recent meta-analysis evaluating the proportion of A $\beta$  positivity in this subtype<sup>7</sup>. This result may be expected given that A $\beta$  hallmark pathology in AD is rather diffuse, which may be

indirectly associated with some degree of downstream atrophy<sup>32</sup>. However, we did not find a significant association of typicality with regional ratings of A $\beta$  density, perhaps because A $\beta$ accumulation is usually widespread and homogeneous, with little regional specificity. To some degree, this lack of regional associations likely reflects the lack of topographical correspondence between A $\beta$  and atrophy, as evidence suggests a closer relationship between atrophy and tau than atrophy and A $\beta^{33-35}$ .

Tau pathology: We did not observe an association between typicality or severity and Braak NFT stages even though the AD dementia cases (N=26 at Braak stage V or VI) were at relatively more advanced stages than the aMCI (N=5 at Braak stage III or V). This lack of association is most likely due to little variability in this measure, as all but two cases (Braak stage III, both aMCI) were at Braak stages V or VI. When assessing NFT load regionally, however, limbic-predominant AD atrophy subtype was associated with presence of tau pathology in the hippocampus. This is not surprising since tau pathology is a hallmark of AD affecting the hippocampus, particularly the dentate gyrus, which is known to contain the largest density of synapses<sup>36</sup>. Thus, presence of tau pathology may eventually be reflected in significant atrophy in the region, which is a key characteristic of the limbic-predominant AD atrophy subtype. Conversely, the hippocampal-sparing AD atrophy subtype was associated with absence of tau pathology in the dentate gyrus of the hippocampus. Supporting evidence for the association between atrophy and tau pathology in this subtype is not straightforward, owing to factors including the interval between assessments of these biomarkers<sup>14</sup>, regional non-specificity of atrophy and disagreement of subtyping methods based on these biomarkers<sup>8</sup>. Altogether, our study is useful in providing a direct link

between antemortem atrophy and postmortem tau pathology, suggesting that hippocampal atrophy relative to neocortical atrophy can track postmortem NFT subtypes<sup>15</sup>.

 $\alpha$ -syn pathology: When assessing  $\alpha$ -syn LB pathology regionally, we observed that limbicpredominant AD *atrophy* subtype may be more prone to presence of this pathology. We also found that the parahippocampal gyrus was significantly associated with presence of overall  $\alpha$ -syn pathology. These findings corroborate previous postmortem neuropathological studies showing increased  $\alpha$ -syn pathology in typical AD and limbic-predominant AD tau subtypes<sup>15,22</sup>. However, other postmortem neuropathological studies have reported increased  $\alpha$ -syn pathology in the hippocampal-sparing AD tau subtype<sup>23,24,27</sup>. Moreover, a recent antemortem MRI study in dementia with LB observed predominance of hippocampalsparing *atrophy* subtype<sup>37</sup>. It must, however, be noted that most of the postmortem studies reporting presence of  $\alpha$ -syn pathology to date have characterized tau subtypes, which are not necessarily interchangeable with atrophy subtypes in AD<sup>8,14</sup>. Therefore, future in vivo investigations are warranted to confirm the role of  $\alpha$ -syn pathology in AD heterogeneity. Further,  $\alpha$ -syn LB (neocortical) pathology may potentially interact with tau (Braak stage V-VI) pathology and advanced age in our cohort, explaining atrophy in the limbic-predominant AD atrophy subtype, given that limbic atrophy is not observable in the absence of these factors<sup>38</sup>.

*TDP-43 pathology:* Our most robust findings included the association of limbic-predominant AD *atrophy* subtype with presence of TDP-43 pathology. Limbic-predominant AD *tau* subtype has been described to be more prone to exhibiting TDP-43 in previous postmortem

studies<sup>15,22,24</sup>. It is thus plausible for the limbic-predominant AD atrophy subtype to follow suit, given the topographical similarity between tau and atrophy patterns in limbicpredominant AD<sup>14</sup>. Congruent with the report from the recent meta-analysis<sup>7</sup>, our study provides an antemortem-to-postmortem validation and evidence supporting the association of limbic-predominant AD atrophy subtype with TDP-43. We observed a gradually increasing number of brain regions being affected by TDP-43 as one moves along the typicality dimension towards limbic-predominant AD. Regional examination revealed the strongest association between typicality and presence of TDP-43 in the amygdala, an initial affected site by this pathology<sup>26</sup>, as well as in other medial temporal lobe structures (hippocampus, entorhinal cortex), shown to be affected by a recent antemortem  $study^{6}$ . As a main contributor of pathology affecting the hippocampus, TDP-43-associated hippocampal atrophy may be detectable at least 10 years prior to death<sup>39</sup> Thus, the limbic-predominant AD atrophy subtype is most likely to exhibit Limbic-predominant age-related TDP-43 encephalopathy neuropathological changes (LATE-NC)<sup>40</sup>. In the absence of *in vivo* biomarkers assessing TDP-43, antemortem atrophy-based typicality (H:C) as a consistent correlate of postmortem TDP-43 in our study indicates the potential of this index as an antemortem proxy for this pathology.

Another main finding of our study was that both typicality and severity were regionally associated with concomitance of pathologies. This relationship was such that limbicpredominant AD and typical AD subtypes were associated with higher concomitance while hippocampal-sparing AD and minimal-atrophy AD subtypes were associated with lower concomitance. There also appears to be a region-specific effect, whereby some regions may accumulate a greater number of pathologies while other regions may be spared. For example, limbic-predominant AD was associated with higher concomitance of different pathologies, particularly in the medial and superior temporal structures and typical AD was associated with higher pathological concomitance in the entorhinal cortex. Interestingly, hippocampal structures including the dentate gyrus and CA1 demonstrated a generally lower concomitance than other regions. The divergent reports mentioned previously on  $\alpha$ -syn pathology being associated with limbic-predominant and typical atrophy subtypes may be due to the higher susceptibility of the subtypes to multiple or mixed pathologies.

Finally, although qualitative, individual-level secondary postmortem diagnoses aided in providing greater confidence to our quantitative findings. Two cases with lower H:C index (towards limbic-predominant AD) were diagnosed to have TDP-43 in the medial temporal region, consistent with our main quantitative findings. Two additional cases with lower H:C index were diagnosed to have hippocampal sclerosis, which is known to correlate well with TDP-43 pathology<sup>15,22</sup>. Five out of six cases with relatively higher H:C index (towards hippocampal-sparing AD) were assigned to have amygdala-predominant LB pathology, a distinct pathological entity<sup>4</sup>. Whether/how the presence of LB pathology in the amygdala plays a role in the disposition of hippocampal-sparing AD atrophy subtype to the pathology remains to be seen. Three cases with relatively higher H:C (towards hippocampal-sparing AD) and higher BV:CSF (towards minimal-atrophy AD) indices were diagnosed with cerebrovascular pathologies. Although lack of variability in the measure of cerebrovascular disease did not allow us to account for it in our quantitative analyses, these qualitative

observations align with recent evidence, showing that cerebrovascular disease may particularly affect hippocampal-sparing AD<sup>41</sup> and minimal atrophy AD subtypes<sup>41,42</sup>.

Considering our current findings, we propose two hypotheses for future work as larger antemortem-postmortem datasets become available (Figure 5): (a) biological heterogeneity, characterized by the orthogonal dimensions of typicality and severity, capture different aspects of vulnerability of the brain to AD and non-AD pathologies. While typicality may be relatively more sensitive to individual pathologies varying regionally, severity may predominantly reflect a cumulative contribution of several pathologies, measured as concomitance; (b) limbic-predominant AD and typical AD subtypes may follow a unique biological pathway which tends to be affected by greater accumulation, interaction and concomitance of various pathologies, distinct from the pathway followed by hippocampalsparing AD subtype which may be less affected. It is unclear which pathway the minimal atrophy AD subtype may follow: at antemortem, individuals tending towards minimal atrophy AD were at early disease stages (i.e., amnestic mild cognitive impairment) and could have eventually progressed into one of the other three subtypes, thus possibly following either of the two hypothesized pathways; at postmortem, however, many of these individuals showed high ADNC despite having minimal atrophy, suggesting that minimal atrophy AD may share the pathway common to the hippocampal-sparing AD subtype of being less affected by concomitance of various pathologies. While our current and recent works<sup>43,44</sup> provide initial support, these hypotheses need to be tested by future studies to understand their potential validity across different modalities (heterogeneity assessed by

measures other than atrophy), pathologies (e.g., vascular burden) and disease stages (including pre-dementia cases).

Our study has some limitations. Firstly, the sample size of our cohort was limited, which may reduce the power to detect significant associations and generalize findings. However, our sample size was comparable to prior studies combining antemortem and postmortem data<sup>45,46</sup>. Despite the size, we observed representation of four subtypes and we chose methodologies was proportionate to this limited sample size by modeling heterogeneity as the continuous measures (typicality, severity), and analyzing heterogeneity with partial correlation models to maximize statistical power. Secondly, postmortem pathologies were only available as semi-quantitative scores (i.e., gross burden of pathology), which we further binarized for presence/absence of pathologies for sufficient statistical power. These scales may not be as sensitive as quantitative scores obtained from digital histology techniques (e.g., specific counts, density, or percentage of pathology per region). Thirdly, most of the individuals showed a high ADNC (low variability in postmortem severity), which may have influenced the finding that the associations of antemortem MRI typicality with postmortem pathologies were stronger than those of MRI severity. Future investigations should include a broader range of pathological severity to fully explore associations for the severity dimension. Finally, all data were sourced from the ADNI, known to have relatively strict inclusion criteria. Therefore, our current findings would need to be further validated by future studies using less restrictive and more heterogeneous cohorts.

In conclusion, we examined the relationship between antemortem MRI-based atrophy subtypes (modeled as continuous phenomena) and postmortem neuropathology in AD. In our cohort, antemortem typicality shared a stronger overall and region-specific association with different postmortem pathologies including A $\beta$ , tau,  $\alpha$ -synuclein, and TDP-43, compared to antemortem severity. This suggests that the novel operationalization of biological heterogeneity in AD including typicality as a continuum is a promising proxy for presence and regional distribution of pathologies, irrespective of disease staging (severity). Thus, factoring in contributions of core AD and comorbid non-AD pathologies towards biological heterogeneity in unspecific markers of neurodegeneration may subsequently serve as an avenue for precision medicine and future multi-factorial therapies.

# WNL-2022-200625\_sup --- http://links.lww.com/WNL/C4

# WNL-2022-200625\_coinvestigator\_appendix --- http://links.lww.com/WNL/C7

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Ν		31	
Age at antemortem MRI (y)		80.032 ± 6.745	
MRI field strength (% 3 Tesla)		41.940	
Age at death (y)		81.226 ± 6.781	
Antemortem MRI to postmortem interval (y)		1.193 ± 0.601	
Diagnosis at antemortem MRI		26 AD Dementia, 5 aMCI	
Sex (% female)		25.810	
Education (y)		16.129 ± 2.247	
APOE ε4 (% carriers)		80.650	
MMSE at antemortem MRI	Overall AD Dementia aMCl	18.161 ± 6.738 16.461 ± 5.846 27 ± 3.240	
CDR at antemortem MRI	Overall AD Dementia aMCI	1.339 ± 0.723 1.500 ± 0.678 0.500 ± 0	
ADNI-MEM at antemortem MRI	Overall AD Dementia aMCI	-1.268 ± 1.001 -1.515 ± 0.814 0.012 ± 0.968	
ADNI-EF at antemortem MRI AD Dementia aMCI		-1.455 ± 1.312 -1.779 ± 1.147 0.165 ± 0.802	
Presence of markers of cerebrovascular disease postmortem (%)		100	

# Table 1. Characteristics of the selected cohort

Abbreviations: MRI=magnetic resonance imaging; AD=Alzheimer's disease; aMCI=amnestic mild cognitive impairment; *APOE*=apolipoprotein; MMSE=mini mental state examination; CDR=clinical dementia rating; ADNI-MEM=composite cognitive score for memory; ADNI-EF=composite cognitive score for executive function.

<b>Table 2.</b> Association of antemortem atrophy subtype dimensions with AD neuropathological rating	
scales and presence of comorbid non-AD pathologies	

Postmortem pathology	Antemortem Typicality rho ( <i>p</i> )	Antemortem Severity rho ( <i>p</i> )
Thal Aβ phase	-0.39 (0.035)	0.18 (0.37)
Braak Tau stage	-0.19 (0.32)	-0.18 (0.35)
Neuritic plaque	-0.40 (0.034)	0.18 (0.61)
α-syn	-0.03 (0.86)	-0.21 (0.29)
TDP-43 inclusions	-0.49 (0.011)	-0.16 (0.46)

Note: Overall  $\alpha$ -syn was evaluated across brainstem-predominant, limbic/transitional, neocortical/diffuse and amygdala-predominant stages) and overall TDP-43 was evaluated across amygdala, hippocampus, entorhinal/inferior temporal cortex and neocortex.  $\alpha$ -syn and TDP-43 pathologies were binarized to evaluate presence or absence; Associations between typicality or severity and individual pathologies were evaluated using partial correlation, adjusted for field strength, age at scan, and the other dimension of subtypes (severity or typicality); rho=linear partial correlation coefficient; p < 0.05 are shown in **bold**.

Abbreviation: TDP-43=TAR DNA-binding protein 43.

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#### **Figure Legends**

# Figure 1. Distribution of (A) antemortem MRI-based heterogeneity and (B-C) postmortem neuropathology superposed on MRI-based heterogeneity

Note: (A) antemortem atrophy subtypes modeled as continuous phenomena by the dimensions of typicality and severity. Four individual cases are highlighted showing the extremes on each dimension; (B) postmortem AD neuropathologic change; (C) postmortem secondary diagnosis assigned per individual. All plots show antemortem MRI-based typicality on the horizontal scale, proxied by the index= $\left(\frac{hippocampal \ volume}{cortical \ volume}\right)$ ; All plots show antemortem MRI-based severity on the vertical scale, proxied by the global brain atrophy index= $\left(\frac{total \ brain \ volume}{cerebrospinal \ fluid \ volume}\right)$ , whereby higher values correspond to lower severity.

Abbreviations: AD=Alzheimer's disease; aMCI=amnestic mild cognitive impairment; MRI=magnetic resonance imaging; TAD=typical AD; HS=hippocampal-sparing AD; MA=minimal-atrophy AD; LP=limbic-predominant AD; RID=Assigned individual ID in the AD Neuroimaging Initiative dataset; ADNC=AD neuropathological change; ALB=amygdala Lewy bodies; DLB=dementia with Lewy bodies; HS=hippocampal sclerosis; ICH=intracerebral hemorrhage; SAL=subcortical arteriosclerotic leukoencephalopathy; SDH=subdural hemorrhage; TDP-MTL=TAR DNA-binding protein in the medial temporal lobe.



#### Figure 2. Distribution of postmortem AD neuropathologies superposed on MRI-based heterogeneity

Note: Postmortem AD pathologies used to assess ADNC, encompassing the "ABC" scores of **(A)** Thal phase for A $\beta$ , **(B)** Braak stage for tau, and **(C)** Consortium to Establish a Registry for AD neuritic plaques. All plots show antemortem MRI-based typicality on the horizontal scale, proxied by the index= $\left(\frac{hippocampal volume}{cortical volume}\right)$ ; All plots show antemortem MRI-based severity on the vertical scale, proxied by the global brain atrophy index= $\left(\frac{total brain volume}{cortespinal fluid volume}\right)$ , whereby higher values correspond to lower severity.

Abbreviations: AD=Alzheimer's disease; MRI=magnetic resonance imaging; TAD=typical AD; HS=hippocampal-sparing AD; MA=minimal-atrophy AD; LP=limbic-predominant AD; ADNC=AD neuropathological change.



# Figure 3. Distribution of postmortem non-AD neuropathologies superposed on MRI-based heterogeneity

Note: Postmortem non-AD pathologies including **(A)**  $\alpha$ -synuclein Lewy bodies, and **(B)** TDP-43. All plots show antemortem MRI-based typicality on the horizontal scale, proxied by the index= $\left(\frac{hippocampal \ volume}{cortical \ volume}\right)$ ; All plots show antemortem MRI-based severity on the vertical scale, proxied by the global brain atrophy index= $\left(\frac{total \ brain \ volume}{cerebrospinal \ fluid \ volume}\right)$ , whereby higher values correspond to lower severity.

Abbreviations: AD=Alzheimer's disease; MRI=magnetic resonance imaging; TAD=typical AD; HS=hippocampal-sparing AD; MA=minimal-atrophy AD; LP=limbic-predominant AD; TDP-43=TAR DNA-binding protein 43; A+E=TDP-43 immunoreactive inclusions are present in the amygdala and entorhinal/inferior temporal cortex; A+H+E=TDP-43 immunoreactive inclusions are present in the amygdala, hippocampus and entorhinal/inferior temporal cortex; A+H+E=TDP-43 immunoreactive inclusions are present in the amygdala, hippocampus and entorhinal/inferior temporal cortex; A+H+E+N=TDP-43 immunoreactive inclusions are present in the amygdala, hippocampus, entorhinal/inferior temporal cortex and neocortex; ALB=amygdala Lewy bodies; DLB=dementia with Lewy bodies.



# Figure 4. Association between antemortem MRI-based (A) typicality and (B) severity and regional neuropathological features

Note: Associations between each of typicality or severity and presence of regional pathologies were evaluated using linear partial correlation, adjusted for field strength, age at scan, and the other dimension (severity or typicality); Linear partial correlation coefficient (rho) and significant *p*-values are indicated.

Abbreviations: MRI=magnetic resonance imaging; PHG=hippocampus at the level of lateral geniculate nucleus including parahippocampal gyrus; DG=hippocampus at the level of lateral geniculate nucleus including dentate gyrus; CA1=hippocampus at the level of lateral geniculate nucleus including cornu ammonis1 subfield; ERC=entorhinal cortex; AMYG=amygdala; IPL=inferior parietal lobe (angular gyrus); STG=superior and middle temporal gyri; MFG=middle frontal gyrus; Aβ=beta-amyloid (diffuse and cored plaques); Tau=phosphorylated tau assessing neurofibrillary tangles;  $\alpha$ -syn=alpha-synuclein Lewy body pathology; TDP-43=phosphorylated TAR DNA-binding protein 43 neuronal cytoplasmic inclusion.



## Figure 5. Susceptibility of antemortem MRI-based heterogeneity to AD and non-AD neuropathologies

Associations of antemortem typicality and severity with postmortem neuropathological features may generate the following hypotheses: (a) the orthogonal dimensions of biological heterogeneity, typicality and severity, may offer complementary information regarding the vulnerability of the brain to AD (amyloid, tau) and non-AD ( $\alpha$ -syn, TDP-43) pathologies; (b) limbic-predominant AD along the typicality dimension and typical AD along the severity dimension may share similar underlying biological pathway(s), which make them more susceptible to pathologies whereas hippocampal-sparing AD along the typicality dimension and minimal AD long the severity dimension may share similar pathway(s), making them less susceptible.





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