

RESEARCH ARTICLE

APOE interacts with tau PET to influence memory independently of amyloid PET in older adults without dementia

Alexandra J. Weigand¹ | Kelsey R. Thomas^{2,3} | Katherine J. Bangen^{2,3} |
Graham M.L. Eglit² | Lisa Delano-Wood^{2,3} | Paul E. Gilbert⁴ | Adam M. Brickman⁵ |
Mark W. Bondi^{2,3} | for the Alzheimer's Disease Neuroimaging Initiative¹

¹ San Diego State University/University of California, San Diego Joint Doctoral Program, San Diego

² Veterans Affairs San Diego Healthcare System, San Diego, California, USA

³ Department of Psychiatry, University of California, San Diego, California, USA

⁴ Department of Psychology, San Diego State University, California, USA

⁵ Taub Institute for Research on Alzheimer's Disease and the Aging Brain, Department of Neurology, College of Physicians and Surgeons, Columbia University, New York, New York, USA

Correspondence

Mark W. Bondi, VA San Diego Healthcare System (116B), 3350 La Jolla Village Drive, San Diego, CA 92161, USA.
Email: mbondi@ucsd.edu

Funding information

NSF, Grant/Award Number: DGE-1650112; NIH, Grant/Award Numbers: R01 AG049810, R01 AG054049; Alzheimer's Disease Neuroimaging Initiative, Grant/Award Number: U01 AG024904; DOD; ADNI; National Institute on Aging; National Institute of Biomedical Imaging and Bioengineering

Abstract

Introduction: Apolipoprotein E (APOE) interacts with Alzheimer's disease pathology to promote disease progression. We investigated the moderating effect of APOE on independent associations of amyloid and tau positron emission tomography (PET) with cognition.

Methods: For 297 nondemented older adults from the Alzheimer's Disease Neuroimaging Initiative, regression equations modeled associations between cognition and (1) cortical amyloid beta (A β) PET levels adjusting for tau and (2) medial temporal lobe (MTL) tau PET levels adjusting for A β , including interactions with APOE ϵ 4-carrier status.

Results: Adjusting for tau PET, A β was not associated with cognition and did not interact with APOE. In contrast, adjusting for A β PET, MTL tau was associated with all cognitive domains. Further, there was a stronger moderating effect of APOE on MTL tau and memory associations in ϵ 4-carriers, even among A β -negative individuals.

Discussion: Findings suggest that APOE may interact with tau independently of A β and that elevated MTL tau confers negative cognitive consequences in A β -negative ϵ 4 carriers.

KEYWORDS

amyloid, apolipoprotein E, cognition, memory, positron emission tomography, tau

1 | INTRODUCTION

The apolipoprotein E (APOE) ϵ 4 allele has been consistently identified as the strongest genetic susceptibility marker for increased risk and accelerated onset of sporadic Alzheimer's disease (AD).^{1,2} Most research on mechanisms underlying APOE ϵ 4 allelic effects has focused on the role of pathologic amyloid beta 1-42 (A β), demonstrating that APOE isoforms are involved in the oligomerization, aggregation, degradation, and clearance of A β ,^{3,4} and that ϵ 4-carriers have increased incidence of A β positron emission tomography (PET) positivity as well as accelerated A β PET accumulation.^{5,6}

Recent findings, however, point to the influence of APOE on pathologic tau accumulation and consequent neurodegeneration, even in the context of normal A β . Specifically, Shi et al. demonstrated that, in a transgenic mouse model of tauopathy, mice with a knock-in APOE ϵ 4 genotype had accelerated phosphorylation of tau and associated neurodegeneration despite the absence of pathologic A β .⁷ A subsequent study by this group revealed that this APOE-tau association was primarily mediated by increased microglial activation.⁸ Further, a recent PET study found that ϵ 4-carriers had increased tau PET uptake in the entorhinal cortex and hippocampus independently of A β and other demographic factors.⁹ These findings suggest an A β -independent

pathway by which APOE genotype acts upon tau pathology to promote neuronal and cognitive dysfunction. Although inflammatory mechanisms appear to have a primary role in this APOE–tau association, possibly by increasing cytokine-induced phosphorylation of healthy tau monomers,¹⁰ other mechanisms including cerebrovascular alterations and gliotransmitter dysfunction may also be at play.^{11,12}

Although animal studies implicate APOE ϵ 4 genotype in the pathologic role of tau independently of A β ,^{7,13} there is a paucity of analogous research investigating APOE-related effects on human biomarkers of tau independently of one's A β level. Specifically, studies investigating APOE, tau PET, and their interactive effects on cognition in A β negative (A–) individuals are notably lacking. Given this gap in the literature, we independently assessed associations between cognitive performance and (1) cortical A β PET controlling for medial temporal lobe (MTL) tau PET, and (2) MTL tau PET controlling for cortical A β PET, including interactions with APOE ϵ 4 status. For any observed interaction between PET and ϵ 4 status, we also ran stratified follow-up analyses assessing these interactions separately among individuals with negativity and positivity for the other pathology (eg, tau by APOE interaction on cognition separately in A β positive [A+] and A– individuals). Both linear and quadratic effects of A β and tau were examined a priori given the possible threshold effect of these pathologies, as demonstrated by previous non-linear associations of A β , tau, and APOE biomarkers with brain metabolism¹⁴ and cognition,^{15,16} as well as the non-linear dynamic trajectories of these pathologic and cognitive markers.¹⁷ We hypothesized that presence of an APOE ϵ 4 allele would have a deleterious moderating effect on the association between tau and cognition independent of A β PET level and regardless of A β positivity status.

2 | METHODS

2.1 | Participants

Data were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). The ADNI was launched in 2003 as a public–private partnership. The primary goal of ADNI has been to test whether serial magnetic resonance imaging, PET, other biological markers, and clinical and neuropsychological assessment can be combined to measure the progression of mild cognitive impairment (MCI) and early AD. This research was approved by the Institutional Review Boards of all participating sites, and written informed consent was obtained for all study participants. Two-hundred ninety-seven participants without dementia ($n = 209$ cognitively normal [CN]; $n = 88$ with MCI) from ADNI were selected based on the availability of tau and A β PET data acquired within 12 months of each other, as well as APOE genotype data.

3 | PET processing

Processing methods for ADNI A β PET (¹⁸F-AV-45, florbetapir) and tau PET (¹⁸F-AV-1451, flortaucipir) have been previously described

HIGHLIGHTS

- Tau positron emission tomography (PET) is associated with multiple domains of cognition independently of amyloid.
- Amyloid PET is not associated with any cognitive domains independently of tau.
- Apolipoprotein E (APOE) ϵ 4 strengthens tau PET associations with memory independently of amyloid.
- APOE ϵ 4 genotype and tau interactions persist among amyloid negative individuals.

RESEARCH IN CONTEXT

1. **Systematic review:** A literature review was conducted using traditional sources (eg, PubMed). Although existing research has not focused on the relationship between tau and apolipoprotein E (APOE) genotype independently of amyloid, there are a number of studies examining this independent relationship in animal models, as well as tau positron emission tomography (PET) more generally in the context of Alzheimer's disease (AD), which have been cited.
2. **Interpretation:** Our results indicated that tau PET was most strongly associated with memory in APOE ϵ 4-carriers independently of amyloid beta (A β) PET level, and these effects persisted even among A β -negative individuals. These findings suggest that tau pathology may have a primary deleterious role on cognition within the AD prodrome.
3. **Future directions:** Our findings warrant future studies that (1) replicate the current analyses in a more demographically diverse sample; (2) examine alternative genetic risk factors, including AD polygenic risk, on PET-cognition associations; and (3) identify other polypathologic (eg, TDP-43) interactions with APOE.

elsewhere.^{18,19} Based on extant processing recommendations, regional standardized uptake values (SUVs) were intensity normalized using the whole cerebellum^{18,20} (A β PET) or inferior cerebellar gray^{19,21} (tau PET) to create SUV ratios (SUVRs). Tau PET data were partial volume corrected using the geometric transfer method.²² For A β PET, a cortical summary measure was created by averaging across FreeSurfer-derived frontal, cingulate, lateral parietal, and lateral temporal regions of interest to capture early vulnerable regions for A β deposition.¹⁸ For tau PET, a Braak stage I/II composite region was created by averaging across FreeSurfer-derived hippocampal and entorhinal regions of interest to recapitulate tau progression in early Braak stages.^{19,21}

Although previous work has determined positivity thresholds via differing methods,²³⁻²⁵ positivity thresholds in this study were derived using conditional inference decision tree regression with the `ctree()` function from the `party` package in R (<https://cran.r-project.org/>) in order to: (1) remain consistent with prior derivations of tau PET thresholds in ADNI;^{21,26} (2) maintain comparable methods in the derivation of optimal thresholds for both A β and tau; and (3) independently derive thresholds for A β and tau, rather than determining thresholds for one based on discrimination of the other biomarker.²³⁻²⁵ Thresholding methods have been described in detail elsewhere.²⁷ Briefly, thresholds were determined using binary classification of individual SUVRs based on global cognitive function. A larger sample of individuals ($n = 523$) spanning all diagnostic categories (ie, CN, MCI, dementia) with tau PET data and a subset with A β PET data ($n = 350$) were included for threshold derivation. A threshold of SUVR > 1.14 was determined for cortical A β positivity (A+/A-), which is generally consistent with other commonly used A β thresholds (> 1.11).^{18,28} For tau PET, thresholds were first determined for higher Braak composite stages (ie, > 1.96 for stage V/VI and > 1.51 for stage III/IV), with individuals surpassing the positivity threshold for higher stages iteratively removed during derivation of lower-stage thresholds, as described elsewhere.^{26,27} Ultimately, a threshold of SUVR > 1.18 was determined for tau Braak I/II (ie, MTL) positivity (T+/T-), largely consistent with a previously derived threshold using similar methods (> 1.13).²¹

4 | Cognitive testing

Participants underwent neuropsychological testing that included measures from the following domains: attention/executive function (Trail-Making Test Parts A and B); language (confrontation naming [ie, Boston Naming Test or Multilingual Naming Test] and animal fluency); and memory (Wechsler Memory Scale–Revised Logical Memory Story A Immediate and Delayed Recall). All raw scores were converted to z-scores based on predicted values from regression equations adjusting for age, sex, and education derived within a robust normal control group (ie, CN throughout their duration in ADNI) based on the entire ADNI sample. Z-scores were then averaged within domains to create attention/executive, language, and memory composites. Trail-Making Test Parts A and B were reverse coded such that higher scores indicate better performance.

MCI was diagnosed using actuarial neuropsychological criteria.^{29,30} Participants were diagnosed with MCI if they (1) had two impaired scores in one cognitive domain or (2) had one impaired score across all three cognitive domains. We combined CN participants and participants with MCI given that we were interested in the association between biological and cognitive changes along the prodromal AD continuum, to increase the range of observed cognitive scores, and to retain statistical power for models assessing interactive and polynomial effects. However, we have included sensitivity analyses split by cognitive diagnosis in Tables S6–S10 in supporting information.

5 | APOE genotyping

All participants had APOE $\epsilon 4$ genotyping data available. $\epsilon 4$ -carriers and non-carriers were determined based on presence of at least one $\epsilon 4$ allele. Of the 297 participants overall, 99 (33%) were categorized as $\epsilon 4$ -carriers (heterozygotes $n = 82$; homozygotes $n = 17$) and 198 (67%) as non-carriers. Notably, one participant had an $\epsilon 2/\epsilon 4$ genotype. This individual was classified as an $\epsilon 4$ -carrier given recent evidence that individuals with this genotype have a three-fold increased risk for AD and higher amyloid load relative to $\epsilon 3/\epsilon 3$ individuals.³¹

6 | Statistical analyses

Cognitive domain z-scores were shifted to a positive scale and Box-Cox transformed to improve normality. Tau PET SUVRs were also Box-Cox transformed to improve normality. Figures depict untransformed values to facilitate interpretation. Chi-squared tests and t tests assessed for differences in demographic variables, PET values, and cognitive composite scores between $\epsilon 4$ -carriers and non-carriers and between CN and MCI groups. Regression equations predicted demographically adjusted and Box-Cox transformed cognitive z-scores as a function of cortical A β PET SUVRs while controlling for MTL tau PET SUVRs (model 1) and MTL tau PET SUVRs while controlling for cortical A β PET SUVRs (model 2). Both models included linear and quadratic SUVR-of-interest main effects controlling for APOE $\epsilon 4$ status and, in separate models, interactions with APOE $\epsilon 4$ status. For any statistically significant linear or quadratic interactions, follow-up analyses examined these interactions stratified by PET positivity (eg, tau PET and $\epsilon 4$ interactions separately for A- and A+ individuals). All analyses and figures were generated in R version 3.5.0 (<https://cran.r-project.org/>) using the following packages: `QuantPsyc`, `dplyr`, `psych`, `sjPlot`, `olsrr`, `ggplot2`.

7 | Role of the funding source

Study sponsors had no role in the analysis or interpretation of data, writing of the manuscript, nor in the decision to submit this manuscript for publication. Data collection and sharing for this project was funded by the ADNI (National Institutes of Health Grant U01 AG024904) and DOD ADNI (Department of Defense award number W81XWH-12-2-0012). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report.

8 | RESULTS

8.1 | Sample characteristics

Group differences in demographic, PET, and cognitive variables between $\epsilon 4$ -carriers and non-carriers are presented in Table 1.

TABLE 1 Apolipoprotein E ϵ 4-carrier and non-carrier group differences in demographic and PET variables

	ϵ 4 carriers (n = 99)	Non-carriers (n = 198)	Test statistic	P value
Age (mean[SE])	74.28 (.72)	76.50 (.52)	t = 2.50	P = .01
Sex (% female)	53.5% female	46.5% female	$\chi^2 = 1.05$	P = .30
Education (mean[SE])	16.51 (.27)	16.83 (.17)	t = 1.05	P = .29
A β status (% A+)	50.5% A+	23.7% A+	$\chi^2 = 20.30$	P < .001
Tau status (% T+)	72.7% T+	71.7% T+	$\chi^2 = .002$	P = .96
Cortical amyloid SUVR (mean[SE])	1.21 (.02)	1.09 (.01)	t = 5.07	P < .001
MTL tau SUVR (mean[SE])	1.39 (.03)	1.32 (.02)	t = 2.13	P = .03
Attention/executive (mean[SE])	-.45 (.13)	-.25 (.08)	t = 1.37	P = .17
Language (mean[SE])	-.46 (.14)	-.38 (.09)	t = .49	P = .62
Memory (mean[SE])	-.65 (.14)	-.43 (.08)	t = 1.41	P = .16

Abbreviations: A β , amyloid beta; MTL, medial temporal lobe; PET, positron emission tomography; SE, standard error; SUVR, standard uptake value ratio.

TABLE 2 Diagnostic (cognitively normal versus mild cognitive impairment) group differences in demographic characteristics, cognition, and PET measures

	Cognitively normal (n = 209)	Mild cognitive impairment (n = 88)	Test statistic	P value
Age (mean[SE])	75.07 (.49)	77.04 (.80)	t = 22.14	P = .03
Sex (% female)	51.4% female	43.8% female	$\chi^2 = 1.16$	P = .28
Education (mean[SE])	16.92 (.17)	16.16 (.29)	t = 2.40	P = .02
APOE status (% ϵ 4+)	29.2% ϵ 4+	43.2% ϵ 4+	$\chi^2 = 4.85$	P = .03
A β status (% A+)	26.4% A+	48.3% A+	$\chi^2 = 12.65$	P < .001
Tau status (% T+)	68.3% T+	79.8% T+	$\chi^2 = 3.46$	P = .06
Cortical amyloid SUVR (mean[SE])	1.11 (.01)	1.18 (.02)	t = 2.57	P = .01
MTL tau SUVR (mean[SE])	1.28 (.01)	1.49 (.04)	t = 6.31	P < .001
Attention/executive (mean[SE])	.01 (.05)	-1.08 (.17)	t = 8.11	P < .001
Language (mean[SE])	-.10 (.08)	-1.11 (.14)	t = 6.29	P < .001
Memory (mean[SE])	-.05 (.06)	-1.56 (.13)	t = 11.44	P < .001

Abbreviations: A β , amyloid beta; APOE, apolipoprotein E; MTL, medial temporal lobe; PET, positron emission tomography; SE, standard error; SUVR, standard uptake value ratio.

Participants' sex distribution and years of education did not differ between carriers and non-carriers, but ϵ 4-carriers were younger. APOE ϵ 4-carriers had a higher average cortical A β SUVR and a higher proportion of A+ individuals relative to non-carriers. Further, ϵ 4-carriers had a higher average MTL tau SUVR relative to non-carriers. APOE ϵ 4-carriers and non-carriers did not differ on average scores in any cognitive domain. Group differences in these variables between CN and MCI participants can be found in Table 2. Notably, MCI participants were older, had lower education, higher proportions of A+ and ϵ 4+ individuals, higher amyloid and tau SUVRs, and poorer cognitive scores across all domains. Across the entire sample, cortical amyloid and MTL tau PET were correlated at $r = .29$, $P < .001$. Figure 1 depicts distributions

of amyloid (1A) and tau (1B) PET SUVRs split by tau and amyloid positivity, respectively.

8.2 | APOE by A β interaction on cognition

In models adjusting for MTL tau PET SUVR and ϵ 4 status, there was no linear or quadratic main effect of A β on cognitive performance in any domain (all t s < |1.44|, P s > .15; see Table S1A-C and Figure S1 in supporting information). Notably, when tau was removed from the model, A β was significantly linearly associated with attention/executive ($P = .003$) and memory domains ($P = .04$). Further, no moderating effect of

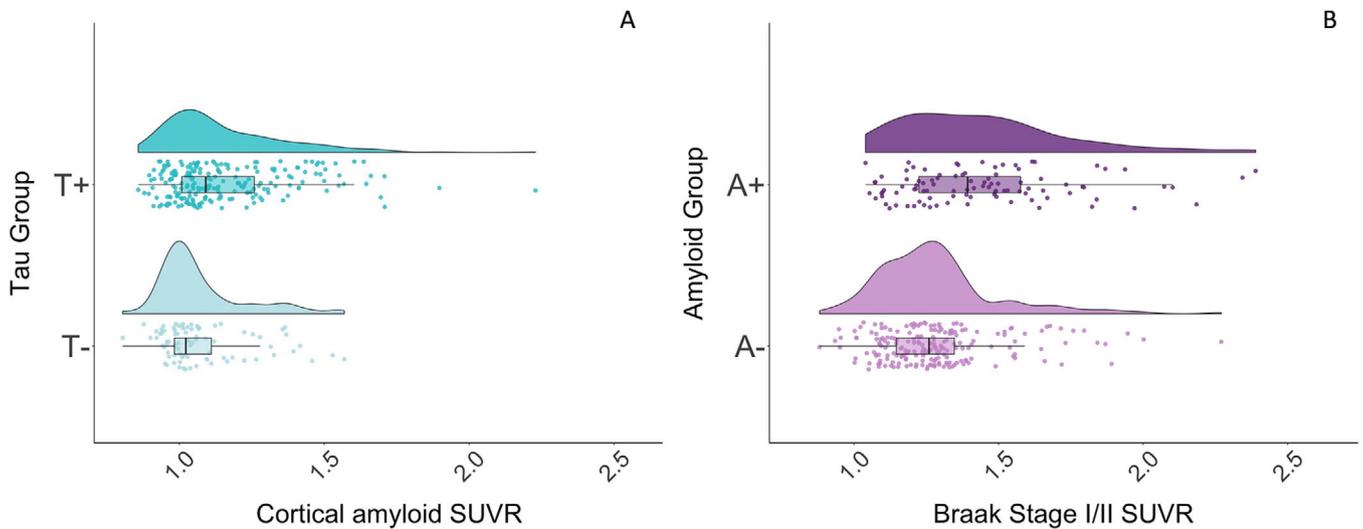


FIGURE 1 Amyloid and tau positron emission tomography (PET) distributions. Raincloud plots depicting standard uptake value ratio distributional properties for cortical amyloid PET split by tau positivity (A) and medial temporal lobe tau PET split by amyloid positivity (B)

$\epsilon 4$ status was observed for linear or quadratic associations between cortical $A\beta$ and any cognitive domain (all t s < |1.23|, P s > .21) while controlling for tau (see Table S3A-C in supporting information). All interactions remained non-significant when tau was removed from the model.

8.3 | APOE by tau interaction on cognition

In models adjusting for cortical $A\beta$ PET SUVR and $\epsilon 4$ status, there was a linear main effect of tau for attention/executive performance ($\beta = -3.55$, $t = -3.47$, $P = .001$) such that higher tau was associated with poorer attention/executive function (see Table S2A and Figure S2 in supporting information). There was also a quadratic main effect of tau for language ($\beta = -3.33$, $t = -3.46$, $P = .001$) and memory ($\beta = -2.31$, $t = -2.45$, $P = .02$) such that higher tau was associated with worse performance and this negative association was disproportionately stronger at higher levels of tau (see Table S2B and S2C, respectively, and Figure S2). Further, a moderating effect of $\epsilon 4$ status was observed for the association between quadratic tau and memory ($\beta = -7.35$, $t = -3.54$, $P < .001$) such that $\epsilon 4$ -carriers exhibited a disproportionately stronger negative association between tau and memory at higher levels of tau (see Figure 2 and Table S4C in supporting information). An examination of main effects revealed that there was a significant linear association between tau and memory for non-carriers ($P = .002$) and a highly significant quadratic association between tau and memory for $\epsilon 4$ -carriers ($P < .001$). Notably, the moderating effect of $\epsilon 4$ status on quadratic MTL tau-memory associations was upheld even among individuals who had not yet reached Braak stage III/IV ($\beta = -6.34$, $t = 2.91$, $P = .004$). There were no moderating effects of $\epsilon 4$ status for the associations between tau and language or attention/executive function (all t s < |1.59|, P s > .11; see Table S4A and S4B in supporting information).

Follow-up stratified analyses were conducted for the interaction between $\epsilon 4$ status and MTL tau on memory to examine the effects sep-

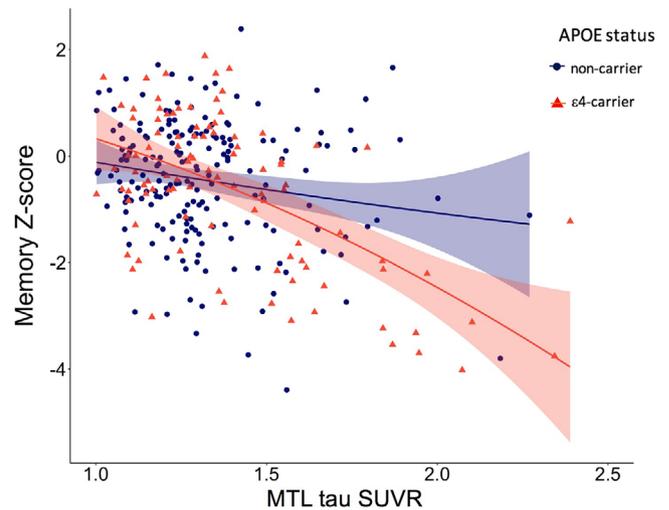


FIGURE 2 Apolipoprotein E $\epsilon 4$, tau positron emission tomography (PET), and memory. Quadratic moderating effect of $\epsilon 4$ -carrier status on the association between medial temporal lobe tau and memory performance

arately for A- and A+ individuals. Among A+ individuals, a moderating effect of $\epsilon 4$ status on linear tau and memory was observed ($\beta = -4.02$, $t = -2.19$, $P = .03$) such that higher levels of tau were more strongly associated with poor memory performance among $\epsilon 4$ -carriers (see Figure 3A and Table S5 in supporting information). However, unlike in the full sample, no quadratic interaction was observed ($\beta = -1.43$, $t = -.79$, $P = .43$). In contrast, among A- individuals, a moderating effect of $\epsilon 4$ status on quadratic tau and memory was observed ($\beta = -5.70$, $t = -2.12$, $P = .04$) such that a negative association between tau and memory emerged only for higher levels of tau among $\epsilon 4$ -carriers (see Figure 3B and Table S5).

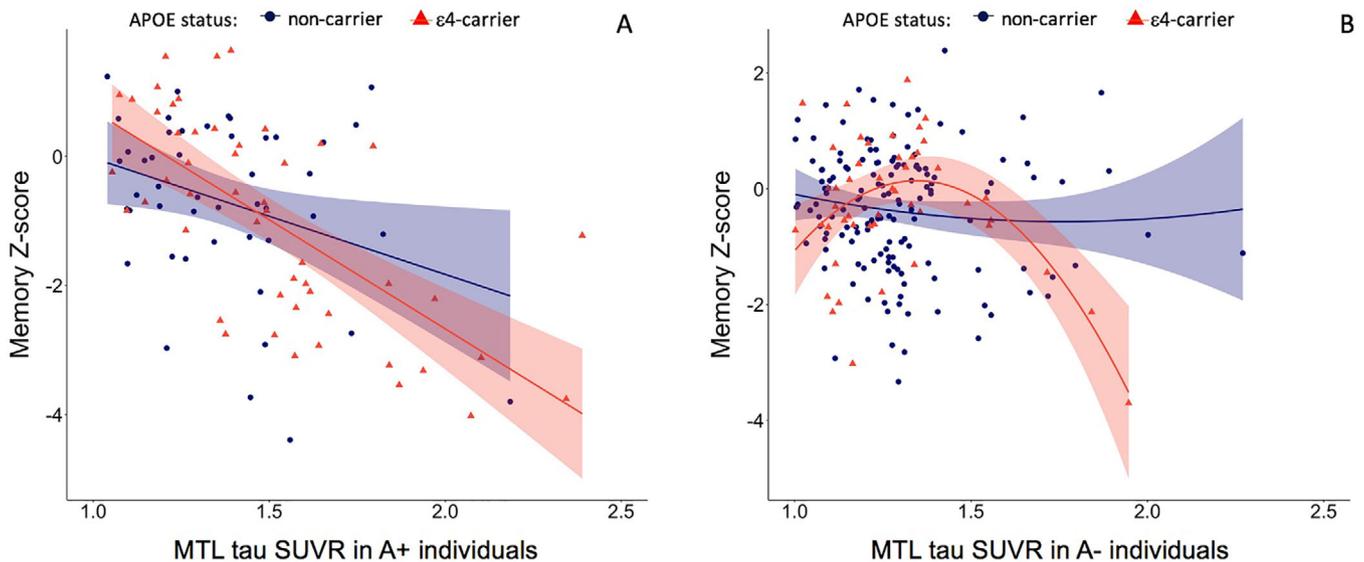


FIGURE 3 Apolipoprotein E (APOE) $\epsilon 4$, tau positron emission tomography (PET), and memory stratified by amyloid beta ($A\beta$) positivity. A, Linear moderating effect of $\epsilon 4$ -carrier status on the association between medial temporal lobe tau and memory performance among $A\beta$ -positive individuals. B, Quadratic moderating effect of $\epsilon 4$ -carrier status on the association between medial temporal lobe tau and memory performance among $A\beta$ -negative individuals

9 | DISCUSSION

Moderating effects of $\epsilon 4$ status on the association between AD PET biomarkers and cognition were observed only for tau–memory associations, such that higher levels of tau were more strongly associated with poorer memory among $\epsilon 4$ carriers, and this effect was disproportionately strong at higher levels of tau. Notably, this interaction was observed independently of $A\beta$ as measured either on a continuous scale or via a threshold method. Further, independently of $A\beta$ and $\epsilon 4$ status, tau was negatively associated with both language and attention/executive performance. In contrast, when adjusting for tau, no main effects of $A\beta$ or moderating effects of $\epsilon 4$ status on cognition were observed. Although main effects of $A\beta$ on attention/executive function and memory were observed when tau was removed from the model, the fact that these associations were absent when tau was included in the model indicates that tau accounts for a significant portion of the amyloid–cognition associations. Overall, these findings suggest that tau interacts with APOE $\epsilon 4$ independently of $A\beta$ to exert negative influences on cognition, warranting a primary role for tau within the pre-clinical AD framework.^{32,33}

There is accumulating evidence in support of a continuum hypothesis in which tau pathology accumulates in the brainstem and propagates to transentorhinal cortex independently of and—importantly—prior to $A\beta$.^{34–37} Further, tau PET has consistently been linked to neurodegenerative processes and cognitive dysfunction, with effects strengthened in the presence of pathologic $A\beta$ yet persisting even in its absence.^{38–41} Accordingly, research on $A\beta$ -independent mechanisms of pathologic tau formation, propagation, and neurodegenerative consequences are warranted, including the interaction between tau and

APOE $\epsilon 4$ genotype. Such research may have important implications for updated nosology regarding the pathogenesis of AD and for novel treatment targets in AD-related clinical trials.

A recent study using a transgenic mouse model of tauopathy with APOE allelic differences assessed their combined effects on neurodegeneration.⁷ Results indicated that, independent of $A\beta$, $\epsilon 4$ genotype exacerbated the association between tau pathology and neurodegeneration, and a follow-up study has demonstrated that this neurodegeneration occurs primarily through enhanced microglial activation.⁸ Our findings of a moderating effect of APOE $\epsilon 4$ status on tau and memory associations independent of $A\beta$, which persists among A- individuals, provides converging translational evidence for $A\beta$ -independent interactions of tau pathology and APOE.

The mechanisms by which APOE $\epsilon 4$ exerts its deleterious effects are incompletely understood, although both $A\beta$ -dependent and -independent avenues have been explored. In addition to its role in the aggregation and clearance of $A\beta$, APOE has been implicated in neuroinflammatory processes, cerebrovascular alterations, and synaptic plasticity,¹¹ but its effects on tau pathology remain unclear. Evidence suggests that misfolding and aggregation of tau may be directly influenced by APOE-relevant processes.¹³ Further, mouse models of tauopathy expressing different APOE genotypes demonstrated that $\epsilon 4$ knock-in mice had, in addition to higher tau levels, higher microglial reactivity and tumor necrosis factor alpha (TNF- α) secretion.^{7,8,11} Thus, our findings may reflect APOE-moderated neuroinflammatory processes exacerbating tau-mediated neurodegeneration, resulting in the observed associations with cognition.

Given findings that APOE regulates clearance of $A\beta$,^{42,43} one may speculate its involvement in the clearance of tau as well. Although

pathologic tau aggregates intraneuronally to form large fibrillar tangles, smaller soluble oligomers exist both intra- and extra-cellularly. These toxic oligomeric tau species spread through neighboring cells in a transsynaptic process to seed intraneuronal tangles,⁴⁴ and they may exacerbate inflammatory processes⁴⁵ as well as inhibit post-synaptic proteins, reduce gliotransmitter release, and impair memory independently of A β .^{12,46} Reduced clearance of these tau oligomers in ϵ 4-carriers may potentiate these negative effects, resulting in the observed strengthened associations between tau and memory among ϵ 4-carriers.

Notably, the interaction between tau PET and ϵ 4 status was only significant within the memory domain. Several studies have noted increased atrophy,^{47,48} tau PET uptake,⁹ and bioenergetic changes⁴⁹ specifically within the MTL in the presence of the ϵ 4 allele. Importantly, we examined the effect of Braak stage I/II (ie, MTL) tau on cognition given the importance of this region early in the AD pathologic continuum. As the primary substrate of memory, MTL tau is most likely to have an effect on this domain, particularly within the CN and MCI individuals sampled in the current study.

When stratifying the tau–memory associations based on A β positivity, differential patterns of ϵ 4 moderation were observed. Among A– individuals, ϵ 4-carriers exhibited a quadratic effect such that a negative association between tau and memory emerged only at higher levels of tau. In contrast, among A+ individuals, a linear interaction was observed such that the negative association between all levels of tau and memory was stronger in ϵ 4 carriers. Importantly, the moderating effect of ϵ 4 status among A– individuals appears to primarily be driven by a small group of individuals with high levels of tau, and therefore this interaction should be interpreted with caution. However, it is noteworthy that these specific ϵ 4+/A– individuals with high tau did not represent a subthreshold amyloid group, as their average amyloid level (.98) was well below the average for the entire ϵ 4+/A– group (1.05) and certainly lower than the A β positivity threshold of 1.14.

It is conceivable that, in the context of high A β , tau is associated with poorer memory even at relatively lower tau levels because the combined effects of A β and ϵ 4 status on neuroinflammatory processes lower the threshold such that less tau is needed to initiate neurodegeneration and cognitive dysfunction. Instead, when A β levels fall below the positivity threshold, a higher amount of tau in combination with ϵ 4-associated processes may be needed to exert a negative effect on memory, explaining the observed quadratic effect among A– individuals. Given the quadratic nature of the interaction such that effects on memory were most prominent at higher levels of MTL tau, analyses were re-run among only individuals negative for Braak stage III/IV; the ϵ 4 interaction on quadratic MTL tau-memory associations was retained, suggesting that the strengthened effect for high MTL tau is not driven by individuals who have reached Braak stage III/IV.

We conducted sensitivity analyses stratified by diagnostic group (eg, CN and MCI). Notably, no main effects of tau PET on cognition were retained within the control group, although quadratic effects at $P < .10$ were observed for language and memory. Further, linear effects of tau PET were significant within the MCI group for these domains.

Amyloid PET was not significant for any cognitive domain for either CN or MCI groups, although there was a linear effect at $P < .10$ for memory among MCI participants. These stratified effects indicate that tau PET may impact cognition in different ways between CN and MCI groups. Individuals with MCI may exhibit a linear association between tau PET and cognition such that cognition is equally impacted at all levels of tau PET due to the more advanced clinical stage of this group, similar to effects observed in A+ individuals. In contrast, CN individuals follow a quadratic pattern in which tau PET is more strongly associated with cognition at higher levels of tau, as observed in A– individuals.

The primary strength of this study was the investigation of the moderating effects of APOE ϵ 4 on both A β and tau PET independently of the other, resulting in the novel finding that the quadratic association between tau PET and memory was retained in ϵ 4 carriers even among A– individuals. Further, assessing multiple cognitive domains broadened the scope of investigation beyond memory to demonstrate robust main effects of MTL tau on language and attention/executive function independent of A β level. However, generalizability is limited given that this study was conducted in a racially/ethnically homogeneous, clinic-based sample with few medical comorbidities. Replication of these findings in a more representative sample will better inform the range of pathologic and associated cognitive changes in AD. Further, the limited sampling of cognitive domains with the few existing tests within ADNI warrants replication of our findings in a dataset with a more robust and comprehensive neuropsychological battery. Additionally, extending analyses to other regions of tau deposition and other pathologies such as TDP-43 and cerebrovascular changes will provide more insight into the possible polypathologic nature of AD and the widespread influence of APOE genotypic variations on disease expression.

Our findings suggest that APOE may exert deleterious effects on cognition through specific interactions with tau pathology and that these effects may occur independently of and prior to the amyloidosis of AD. This relationship has important implications for models of AD pathogenesis by supporting an A β -independent role of APOE and tau during the preclinical period of AD and warranting a targeted approach toward early stage tau pathology in clinical trials for AD.

ACKNOWLEDGMENTS

The authors would like to acknowledge the valuable consultation from Dr. Susan Landau and Dr. Anne Maass regarding methods for SUVR threshold derivation. We also thank Aidan Bindoff for his suggestion of the package::function sjPlot::tab_model for the calculation of standardized regression coefficients and seamless integration of model parameters into a table format. We are also grateful to ADNI study investigators and participants for providing the data used in this manuscript, and would especially like to thank all individuals at the University of California, Berkeley who processed and made available the PET data used in this manuscript. Last, we would like to thank medRxiv for posting the preprint of this manuscript and AlzForum for covering the preprint at <https://www.alzforum.org/news/research-news/apoe4-and-tau-alzheimers-worse-we-thought-especially-women#comment-form>.

CONFLICTS OF INTEREST

Ms. Weigand, Dr. Thomas, Dr. Bangen, Dr. Eglit, Dr. Delano-Wood, Dr. Gilbert, and Dr. Brickman report no competing interests. Dr. Bondi receives royalties from Oxford University Press and serves as a consultant for Eisai, Novartis, and Roche Pharmaceutical companies.

FUNDING INFORMATION

This work was supported by NSF fellowship DGE-1650112 (A.J.W.), NIH grants (R01 AG049810 and R01 AG054049 to M.W.B.), and VA Clinical Science Research & Development grants (Merit Award 1I01CX001842 to K.J.B. and Career Development Award-2 1K2CZ001865 to K.R.T). Data collection and sharing for this project was funded by the Alzheimer's Disease Neuroimaging Initiative (ADNI; National Institutes of Health Grant U01 AG024904) and DOD ADNI (Department of Defense award number W81XWH-12-2-0012). ADNI is funded by the National Institute on Aging, the National Institute of Biomedical Imaging and Bioengineering, and through generous contributions from the following: AbbVie; Alzheimer's Association; Alzheimer's Drug Discovery Foundation; Araclon Biotech; BioClinica, Inc.; Biogen; Bristol-Myers Squibb Company; CereSpir, Inc.; Cogstate; Eisai Inc.; Elan Pharmaceuticals, Inc.; Eli Lilly and Company; EuroImmun; F. Hoffmann-La Roche Ltd and its affiliated company Genentech, Inc.; Fujirebio; GE Healthcare; IXICO Ltd.; Janssen Alzheimer Immunotherapy Research & Development, LLC; Johnson & Johnson Pharmaceutical Research & Development LLC; Lumosity; Lundbeck; Merck & Co., Inc.; Meso Scale Diagnostics, LLC; NeuroRx Research; Neurotrack Technologies; Novartis Pharmaceuticals Corporation; Pfizer Inc.; Piramal Imaging; Servier; Takeda Pharmaceutical Company; and Transition Therapeutics. The Canadian Institutes of Health Research is providing funds to support ADNI clinical sites in Canada. Private sector contributions are facilitated by the Foundation for the National Institutes of Health (www.fnih.org). The grantee organization is the Northern California Institute for Research and Education, and the study is coordinated by the Alzheimer's Therapeutic Research Institute at the University of Southern California. ADNI data are disseminated by the Laboratory for Neuro Imaging at the University of Southern California.

REFERENCES

- Corders EH, Saunders EM, Strittmatter WJ, et al. Gene dose of apolipoprotein E type 4 allele and the risk of Alzheimer's disease in late-onset families. *Science*. 1993;261(5123):921-923.
- Hersi M, Irvine B, Gupta P, Gomes J, Birkett N, Krewski D. Risk factors associated with the onset and progression of Alzheimer's disease: a systematic review of the evidence. *Neurotoxicology*. 2017;61:143-187.
- Kanekiyo T, Xu H, Bu G. ApoE and A β in Alzheimer's disease: accidental encounters or partners?. *Neuron*. 2014;81(4):740-754.
- Zhao N, Liu CC, Qiao W, Bu G. Apolipoprotein E, receptors, and modulation of Alzheimer's disease. *Biol Psychiatry*. 2018;83(4):347-357.
- Lim YY, Mormino EC; Alzheimer's Disease Neuroimaging Initiative. APOE genotype and early β -amyloid accumulation in older adults without dementia. *Neurology*. 2017;89(10):1028-1034.
- Ossenkuppele R, Jansen WJ, Rabinovici GD, et al. Prevalence of amyloid PET positivity in dementia syndromes: a meta-analysis. *JAMA*. 2015;313(19):1939-1949.
- Shi Y, Yamada K, Liddelov SA, et al. ApoE4 markedly exacerbates tau-mediated neurodegeneration in a mouse model of tauopathy. *Nature*. 2017;549(7673):523-527.
- Shi Y, Manis M, Long J, et al. Microglia drive APOE-dependent neurodegeneration in a tauopathy mouse model. *J Exp Med*. 2019;216(11):2546-2561.
- Therriault J, Benedet AL, Pascoal TA, et al. Association of apolipoprotein E ϵ 4 with medial temporal tau independent of amyloid- β . *JAMA Neurol*. 2020;77(4):470-479.
- Domingues C, da Cruz E Silva OAB, Henriques AG. Impact of cytokines and chemokines on Alzheimer's disease neuropathological hallmarks. *Curr Alzheimer Res*. 2017;14(8):870-882.
- Liao F, Yoon H, Kim J. Apolipoprotein E metabolism and functions in brain and its role in Alzheimer's disease. *Curr Opin Lipidol*. 2017;28(1):60-67.
- Piacentini R, Li Puma DD, Mainardi M, et al. Reduced gliotransmitter release from astrocytes mediates tau-induced synaptic dysfunction in cultured hippocampal neurons. *Glia*. 2017;65(8):1302-1316.
- Wolf AB, Valla J, Bu G, et al. Apolipoprotein E as a β -amyloid-independent factor in Alzheimer's disease. *Alzheimers Res Ther*. 2013;5(5):38.
- Pascoal TA, Mathotaarachchi S, Mohades S, et al. Amyloid- β and hyperphosphorylated tau synergy drives metabolic decline in preclinical Alzheimer's disease. *Mol Psychiatry*. 2017;22(2):306-311.
- Hanseeuw BJ, Betensky RA, Jacobs HIL, et al. Association of amyloid and tau with cognition in preclinical Alzheimer disease: a longitudinal study. *JAMA Neurol*. 2019;76(8):915-924.
- Toledo JB, Da X, Weiner MW, et al. CSF Apo-E levels associate with cognitive decline and MRI changes. *Acta Neuropathol*. 2014;127(5):621-632.
- Oxtoby NP, Young AL, Cash DM, et al. Data-driven models of dominantly-inherited Alzheimer's disease progression. *Brain*. 2018;141(5):1529-1544.
- Landau S, Jagust W. Florbetapir processing methods. <http://adni.loni.usc.edu/>. Published December 3, 2015.
- Landau S, Jagust W. Flortaucipir (AV-1451) processing methods. <http://adni.loni.usc.edu/>. Published March 2, 2016.
- Landau SM, Mintun MA, Joshi AD, et al. Amyloid deposition, hypometabolism, and longitudinal cognitive decline. *Ann Neurol*. 2012;72(4):578-586.
- Maass A, Landau S, Baker SL, et al. Comparison of multiple tau-PET measures as biomarkers in aging and Alzheimer's disease. *Neuroimage*. 2017;157:448-463.
- Baker SL, Maass A, Jagust WJ. Considerations and code for partial volume correcting [18F]-AV-1451 tau PET data. *Data Brief*. 2017;15:648-657.
- Jack CR Jr, Wiste HJ, Therneau TM, et al. Associations of amyloid, tau, and neurodegeneration biomarker profiles with rates of memory decline among individuals without dementia. *JAMA*. 2019;321(23):2316-2325.
- Mishra S, Gordon BA, Su Y, et al. AV-1451 PET imaging of tau pathology in preclinical Alzheimer disease: defining a summary measure. *Neuroimage*. 2017;161:171-178.
- Wang L, Benzinger TL, Su Y, et al. Evaluation of tau imaging in staging Alzheimer disease and revealing interactions between β -amyloid and tauopathy. *JAMA Neurol*. 2016;73(9):1070-1077.
- Schöll M, Lockhart SN, Schonhaut DR, et al. PET imaging of tau deposition in the aging human brain. *Neuron*. 2016;89(5):971-982.
- Weigand AJ, Bangen KJ, Thomas KR, et al. Is tau in the absence of amyloid on the Alzheimer's continuum?: a study of discordant PET positivity. *Brain Commun*. 2020;2(1):fz046.

28. Joshi AD, Pontecorvo MJ, Clark CM, et al. Performance characteristics of amyloid PET with florbetapir F 18 in patients with Alzheimer's disease and cognitively normal subjects. *J Nucl Med*. 2012;53(3):378-384.
29. Bondi MW, Edmonds EC, Jak AJ, et al. Neuropsychological criteria for mild cognitive impairment improves diagnostic precision, biomarker associations, and progression rates. *J Alzheimers Dis*. 2014;42(1):275-289.
30. Jak AJ, Bondi MW, Delano-Wood L, et al. Quantification of five neuropsychological approaches to defining mild cognitive impairment. *Am J Geriatr Psychiatry*. 2009;17(5):368-375.
31. Oveisgharan S, Buchman AS, Yu L, et al. APOE ϵ 2 ϵ 4 genotype, incident AD and MCI, cognitive decline, and AD pathology in older adults. *Neurology*. 2018;90(24):e2127-e2134.
32. Jack CR Jr, Bennett DA, Blennow K, et al. NIA-AA research framework: toward a biological definition of Alzheimer's disease. *Alzheimers Dement*. 2018;14(4):535-562.
33. Sperling RA, Aisen PS, Beckett LA, et al. Toward defining the preclinical stages of Alzheimer's disease: recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. *Alzheimers Dement*. 2011;7(3):280-292.
34. Braak H, Del Tredici K. When, where, and in what form does sporadic Alzheimer's disease begin? *Curr Opin Neurol*. 2012;25(6):708-714.
35. Ehrenberg AJ, Nguy AK, Theofilas P, et al. Quantifying the accretion of hyperphosphorylated tau in the locus coeruleus and dorsal raphe nucleus: the pathological building blocks of early Alzheimer's disease. *Neuropathol Appl Neurobiol*. 2017;43(5):393-408.
36. Braak H, Thal DR, Ghebremedhin E, Del Tredici K. Stages of the pathologic process in Alzheimer's disease: age categories from 1-100 years. *J Neuropathol Exp Neurol*. 2011;70(11):960-969.
37. Duckyaerts C, Braak H, Brion JP, et al. PART is part of Alzheimer disease. *Acta Neuropathol*. 2015;129(5):749-756.
38. Brier MR, Gordon B, Friedrichsen K, et al. Tau and A β imaging, CSF measures, and cognition in Alzheimer's disease. *Sci Transl Med*. 2016;8(338):338ra66.
39. Ossenkoppele R, Smith R, Ohlsson T, et al. Associations between tau, A β , and cortical thickness with cognition in Alzheimer disease. *Neurology*. 2019;92(6):e601-e612.
40. Schultz SA, Gordon BA, Mishra S, et al. Widespread distribution of tauopathy in preclinical Alzheimer's disease. *Neurobiol Aging*. 2018;72:177-185.
41. La Joie R, Visani AV, Baker SL, et al. Prospective longitudinal atrophy in Alzheimer's disease correlates with the intensity and topography of baseline tau-PET. *Sci Transl Med*. 2020;12(524):eaau5732.
42. Castellano JM, Kim J, Stewart FR, et al. Human apoE isoforms differentially regulate brain amyloid- β peptide clearance. *Sci Transl Med*. 2011;3(89):89ra57.
43. Verghese PB, Castellano JM, Garai K, et al. ApoE influences amyloid- β (A β) clearance despite minimal apoE/A β association in physiological conditions. *Proc Natl Acad Sci U S A*. 2013;110(19):E1807-E1816.
44. Lasagna-Reeves CA, Castillo-Carranza DL, Guerrero-Muoz MJ, Jackson GR, Kaye R. Preparation and characterization of neurotoxic tau oligomers. *Biochemistry*. 2010;49(47):10039-10041.
45. Nilson AN, English KC, Gerson JE, et al. Tau oligomers associate with inflammation in the brain and retina of tauopathy mice and in neurodegenerative diseases. *J Alzheimers Dis*. 2017;55(3):1083-1099.
46. F M, Puzzo D, Piacentini R, et al. Extracellular tau oligomers produce an immediate impairment of LTP and memory. *Sci Rep*. 2016;6:19393.
47. Geroldi C, Pihlajamki M, Laakso MP, et al. APOE-epsilon4 is associated with less frontal and more medial temporal lobe atrophy in AD. *Neurology*. 1999;53(8):1825-1832.
48. Mishra S, Blazey TM, Holtzman DM, et al. Longitudinal brain imaging in preclinical Alzheimer disease: impact of APOE ϵ 4 genotype. *Brain*. 2018;141(6):1828-1839.
49. Area-Gomez E, Larrea D, Pera M, et al. APOE4 is associated with differential regional vulnerability to bioenergetic deficits in aged APOE mice. *Sci Rep*. 2020;10(1):4277.

SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

How to cite this article: Weigand AJ, Thomas KR, Bangen KJ, et al. APOE interacts with tau PET to influence memory independently of amyloid PET in older adults without dementia. *Alzheimer's Dement*. 2020;1-9.
<https://doi.org/10.1002/alz.12173>